

Survival outcomes of histopathological subtypes of colorectal adenocarcinoma in Ugandan patients

ABSTRACT

Background: High grade mucinous adenocarcinomas have been found to be more common in younger patients and are associated with a poor prognosis in the West. In Uganda, survival outcomes of the different histopathologic subtypes of colorectal adenocarcinoma (CRC) and lymphovascular invasion (LVI) is unknown. We determined the survival outcome of the different histopathologic subtypes of CRC and LVI among Ugandan patients.

Methods: A retrospective cohort study on patients diagnosed with CRC from 2008 to 2018 were identified from the Kampala Cancer Registry and hospital medical records. Retrieved data included date of diagnosis, demographics, stage, grade and location of CRC. Our outcome was survival, and the main predictor variables were the histopathologic subtype, stage, grade and LVI. We plotted Kaplan-Meier curves for survival, tested the equality of survival by log-rank tests and used multivariable Cox regression to determine factors associated with survival.

Results: 12.4% patients predominantly had mucinous adenocarcinoma/signet ring colorectal carcinoma (MAC/SRCC) and 87.6% patients had classical adenocarcinoma (AC). The median age (SD) at diagnosis of MAC/SRCC was 47.8 (16.6) years and 53.8 (15.9) years for AC. SRCC/MAC was significantly associated with more LVI than AC ($p=0.002$). In multivariate analysis, factors associated with increased mortality included stage III ($aHR=2.56$; $p=0.009$) and stage IV ($aHR=6.64$; $p <0.001$). After adjusting for lymph node involvement and metastasis, SRCC/MAC patients had a shorter survival than AC patients; however, this difference was not statistically significant ($p=0.229$).

Conclusions: In Uganda, the proportion of MAC is similar to that found in the Western world. SRCC/ MAC were associated with more LVI than AC. SRCC/MAC showed a tendency towards decreased overall survival. In Uganda, more patients present with advanced-stage CRC which was associated with poor survival hence national screening guidelines are necessary to improve survival.

Keywords: colorectal adenocarcinoma; mucinous adenocarcinoma; signet ring colorectal carcinoma; classical adenocarcinoma; lymphovascular invasion; Uganda

Introduction

One of the major leading causes of cancer mortality worldwide is colorectal carcinoma [1]. Colorectal carcinoma is the fifth most common malignancy in Sub-Saharan Africa according to the International Agency for Research on Cancer and American Cancer Society [2].

Across the world, the incidence of CRC varies with Africa and Asia having a low incidence and Western Europe, the USA, Australia/New Zealand and Japan having a high incidence of CRC. In Uganda, CRC is the fourth most common gastrointestinal malignancy [3]. The Kampala Cancer Registry has shown that colorectal carcinoma has a low incidence in Uganda; however, there are increases occurring, especially among women (4). The age standardized incidence rate has increased from 5.2 per 100,000 population for 1991-1995 to 9.0 per 100,000 population for 2006-2010 in females [4]. This gives a 4.1% annual percentage change in the incidence of CRC in females in Uganda, which is a greater increase than that in males [4].

49
50 The histopathologic subtypes of colorectal adenocarcinoma have been classified according to the
51 WHO (World Health Organisation) classification of gastrointestinal tumours into mucinous
52 adenocarcinomas (MACs), signet ring carcinomas (SRCCs) and classic adenocarcinomas (ACs)
53 [5]. Apart from the TNM stage, the histopathologic subtype of colorectal adenocarcinoma may
54 influence outcome [5]. Appropriate treatment strategies may be adopted by clinicians with
55 knowledge of the effect of these histopathologic subtypes of colorectal adenocarcinoma on
56 survival in Ugandan patients.

57
58 In 10%-15% of CRC, lymphovascular invasion has been identified, which is the presence of
59 tumour cells in vascular channels or endothelium-lined channels [6,7,8]. A crucial step in the
60 dissemination of cancer cells and lymph node metastases is lymphovascular invasion (LVI). LVI
61 has been shown in localized carcinoma to increase the risk of micrometastases [9]. Studies in
62 various cancers, including CRC, have shown the unfavourable prognosis associated with LVI
63 [10-12]. Mucinous adenocarcinoma and signet ring cell carcinoma are two histopathologic
64 subtypes of colorectal adenocarcinoma that have been associated with higher lymphovascular
65 invasion and lymph node involvement [13-15].

66
67 A recent study in Uganda reported that younger patients commonly have mucinous
68 adenocarcinoma and poorly differentiated histopathology [16]. Recent studies from the West
69 have also found that MAC and SRCC present predominantly in female patients at a younger age,
70 with a more advanced stage and with more peritoneal involvement [15-19]. Additionally, MAC
71 and SRCC have been considered to have a poor prognosis compared to classical AC [15,19]. In
72 Uganda, the clinicopathological features and survival of the different histopathologic subtypes
73 and LVI status in colorectal adenocarcinoma are unknown. Hence, the aim of this study was to
74 determine the effect of the histopathologic subtypes and lymphovascular invasion in colorectal
75 adenocarcinoma on survival outcome in Ugandan patients.

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77

78 **Methodology**

79 **Study Design/Setting**

80 This was a retrospective cohort study that was conducted on colorectal adenocarcinoma
81 participants with data linked to the Kampala Cancer Registry and/or data from medical records
82 from Masaka Regional Referral Hospital, Mulago National Referral Hospital, Uganda Martyrs'
83 Hospital Lubaga, Mengo Hospital and Hospice Africa Uganda. These CRC participants had data
84 from the Kampala Cancer Registry and/or data from medical records that were linked to their
85 corresponding tissue blocks situated in the archives of the Department of Pathology, Makerere
86 University and archives of Multisystems Histology Laboratory in Kampala.

87 Mulago Hospital is the largest specialised hospital and the National Referral Hospital in Uganda,
88 with a 1,500 bed capacity. Masaka Regional Referral Hospital, Mulago National Referral
89 Hospital, Uganda Martyrs' Hospital Lubaga, Mengo Hospital and Hospice Africa Uganda are
90 located in Central Uganda and receive patients from all regions of the country.

91

92 **Study Population and Selection of Participants**

93 Data from 201 colorectal adenocarcinoma participants, recorded from 2008 to 2018 were
94 retrieved retrospectively from the Kampala Cancer Registry, and medical records from the
95 hospitals mentioned in the study setting.

96 The retrieved data included patient demographics (age, sex), pathological factors such as CRC
97 location, histopathological subtype (AC, MAC, SRCC), stage, grade and lymphovascular
98 invasion of CRC tumors. The age in completed years on the incidence date was defined as the
99 age at diagnosis. The radiological staging system was used to stage CRC. This was based on the
100 size of the primary tumor (T), the extent of lymph node metastasis (N) and the presence of
101 distant metastases (M) [20].

102 The site of colon cancer was defined as the cecum, ascending colon, hepatic flexure, transverse
103 colon, splenic flexure, descending colon, sigmoid colon and rectosigmoid colon. Rectal cancer
104 was defined as a cancer within 5 cm of the anal verge.

105 **Inclusion criteria**

106 Participants with histologically confirmed colorectal adenocarcinoma linked to data in the
107 Kampala Cancer Registry and/or the clinical case files in the participating hospitals with the date
108 of diagnosis were included in this study.

109

110 **Exclusion criteria**

111 We excluded participants with missing/poor tissue block samples as the outcome variable could
112 not be determined with certainty, participants with tissue blocks obtained after having had
113 chemotherapy or radiotherapy, participants with multiple cancers other than colorectal
114 adenocarcinoma and duplicate cases, in situations of double entry.

115

116 **Follow-up**

117 A follow-up period of three (3) years for each study participant was imposed. A patient's follow-
118 up began at the date of CRC diagnosis [time zero (t_0)]; and continued up to the occurrence of:- (i)
119 death, (ii) loss to follow-up or (iii) censoring at the end of three years. Both passive and active
120 follow-up methods were employed if necessary. The data regarding vital status were obtained
121 partly from the Kampala cancer registry and partly from clinical case files. Active contact tracing
122 was carried out in different regions of Uganda, if necessary, by research assistants for those
123 participants who fell outside the catchment area of the Kampala cancer registry.

124 For participants in which information on vital status at the closing date was not available,
125 telephone calls or home visits were carried out. For each participant, vital status was achieved at
126 the closing date to achieve complete follow-up. Figure 1 illustrates the recruitment of colorectal
127 adenocarcinoma patients linked to CRC tissue blocks and clinical data.

128

129 **Censoring**

130 Participants who were lost before the closing period of this study or dropped out were considered
131 censored. Random or noninformative censoring was considered when due to a factor unrelated to
132 the study outcome. Nonrandom or informative censoring was considered when due to a factor
133 related to the study outcome, death.

134 Age at diagnosis and stage of CRC were the determinants tested for association with loss to
135 follow-up using the Cox model.

136 **Index date and closing date to follow up**

137 The starting date for the calculation of survival was the index date and is actually the date of
138 diagnosis of colorectal cancer by histological diagnosis. The inclusion dates were between 1
139 January 2008 and 31 December 2018 with a closing date on 31 December 2021.

140 **Survival time**

141 A follow up period of 3 years was imposed. Survival time was calculated at the time in months
142 between the index date and the date of death, closing date or loss to follow-up whichever was
143 earliest.

144

145 **Data Quality**

146 All CRC cases had histologically confirmed colon or rectum adenocarcinoma. CRC cases were
147 not based only on death certification. Age in completed years on the incidence date defined the
148 age at diagnosis. Birth certificates were not necessarily used to verify age, as they were not
149 available.

150

151 **Evaluation of histopathology, grade and lymphovascular invasion of colorectal
152 adenocarcinoma**

153 The diagnosis was confirmed to be invasive adenocarcinoma and the histopathologic subtype of
154 colorectal adenocarcinoma (AC, SRCC and MAC) was determined by hematoxylin and eosin
155 (H&E) staining on slides obtained from the corresponding tissue blocks. The WHO Pathologic
156 classification of colorectal adenocarcinoma was used to classify the histopathologic subtypes of
157 colorectal adenocarcinomas as classical adenocarcinoma (AC), mucinous adenocarcinoma
158 (MAC), or signet ring colorectal carcinoma (SRCC) (5). Classical adenocarcinoma (AC) is
159 defined as having classical glandular formation and glandular structures that are configured.
160 Signet ring colorectal carcinoma (SRCC) was defined by the presence of >50% of tumour cells
161 having signet ring cell features and having an intracytoplasmic mucin vacuole that pushes the
162 nucleus to the periphery. Mucinous adenocarcinoma (MAC) was defined as having large
163 glandular structures having pools of extracellular mucin with more than 50% of the tumour
164 occupied by extracellular mucin.

165

166 The histological grade of colorectal carcinoma was determined using the WHO classification
167 system: well differentiated (G1), moderately differentiated (G2) or poorly differentiated (G3)
168 depending on the extent of glandular appearance (21,22). Adenocarcinomas displaying more
169 than 95% gland formation were considered grade 1; Grade 2 in those between 50 and 95% gland
170 formation; Grade 3 in those less than 50% gland formation. The presence of lymphovascular
171 invasion was denoted by 1, and the absence of lymphovascular invasion was denoted by 0.

172 The confirmation of invasive adenocarcinoma, subtype of colorectal adenocarcinoma, grading
173 and presence or absence of lymphovascular invasion were reported by two consultant
174 pathologists who were blinded for vital status. These laboratory investigations were carried out at
175 the Department of Pathology, School of Biomedical Sciences, College of Health Sciences,
176 Makerere University.

177 **Statistical Analysis**

178 Participants' background characteristics were summarized by the mean or median (depending on
179 the distribution) for continuous variables and percentages for categorical variables. The Kaplan-
180 Meier method was used to estimate overall survival (OS). The log-rank test was used to compare
181 the survival of histopathologic subtypes of adenocarcinoma. Bivariate and multivariate
182 modelling was carried out using Cox proportional hazards regression to identify the significance
183 of the variables associated with survival. Statistical significance was considered with a p-value of
184 <0.05, and all statistical analyses were performed using STATA 14.0.

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186
187

188 **Results**

189 Of the 201 colorectal cancer patients, the mean age (SD) at diagnosis for AC was 53.8 (15.9)
190 years and 47.8 (16.6) years for SRCC or MAC. The study participants were predominantly
191 female in the AC group (52.8%), predominantly female in the MAC group (59.1%) and
192 predominantly male (66.7%) in the SRCC group.

193

194 The frequencies of ACs, MACs and SRCCs were 87.6%, 11.0% and 1.5%, respectively. The
195 majority: 53.2% were females, 48.8% were in the 50-74 year age group, 53.9% were stage III,
196 43.5% were T3, 44.2% were N1, 57.7% were moderately differentiated adenocarcinoma, 54.2%
197 were rectal adenocarcinoma and 79% of all colorectal adenocarcinomas had lymphovascular
198 invasion (Table 1).

199

200 **Clinicopathological characteristics**

201

202 *Location of CRC*

203 SRCC and MAC were commonly found in the rectum (60%), while AC was more commonly
204 found in the rectum (53.4%) and sigmoid colon (25.0%). The distribution of SRCC and MAC
205 and AC across the different parts of the colon is shown in Table 1.

206

207

208 ***Grade of CRC***

209 The percentage of SRCC and MAC and AC varied across the grade distribution. SRCC and
210 MAC presented as high-grade tumours (poorly differentiated) in 16.0% of patients and 10.2% of
211 high-grade tumours in AC (Table 1). SRCC and MAC presented as moderately differentiated
212 tumours in 60% of patients, and AC presented with moderately differentiated tumours in 57.4%
213 of patients (Table 1). ACs were well differentiated in 32.4% of patients, while 24% of SRCC and
214 MAC were well differentiated (Table 1).

215

216 ***Primary Tumour invasion (T)***

217 Table 1 shows that the majority of patients with SRCC and MAC (52.9%) at the time of
218 presentation had diffuse invasion of tumours in the colonic wall, as demonstrated by their T3 or
219 T4 stage. The majority of patients with AC (73.0%) also had diffuse invasion of tumours in the
220 colonic wall (T3 or T4 stage) at the time of presentation.

221

222 ***Lymph node involvement***

223 The majority of SRCC and MAC (65.7%) had lymph node involvement (N1 or N2+N3) at the
224 time of presentation (Table 1). Among SRCC+MAC, the percentage of node-negative disease
225 (N0) was 47 (34.3%) and 7 (41.2%) among ACs (Table 1).

226

227 ***AJCC Stage***

228 The majority of SRCC+MAC and AC tended to present at an advanced stage: stage III+IV:
229 SRCC+MAC, 64.7% and AC, 66.4% (Table 1). Early stage presentations (stage I+II:
230 SRCC+MAC were 35.3% and 33.6% were AC (Table 1).

231

232 ***Lymphovascular invasion (LVI)***

233 LVI was detected in 124 (79.0%) of all colorectal adenocarcinomas, with the presence of 100%
234 LVI in SRCC+MAC and 75% LVI in AC. SRCC+MAC was significantly associated with more
235 lymphovascular invasion than AC ($p=0.002$). The comparison between CRCs with and without
236 LVI showed that the group more likely to be moderately and poorly differentiated was the LVI
237 group; however, this did not reach statistical significance ($p=0.711$). No significant association
238 was found for age, sex, tumour site, stage or grade between the LVI and non-LVI groups (Table
239 2).

240

241 ***Overall survival***

242

243 The overall 3-year survival rate was 32.4% for all colorectal adenocarcinomas in our study
244 (Figure 2). The 3-year survival rate for SRCC and MAC was 40.2% and was not significantly
245 different when compared to 31.4% for AC ($p=0.494$) (Figure 3, Table 3).

246

247 ***Survival by histopathological subtypes***

248

249 Table 4 shows the survival by histopathological subtype, where there was no difference in
250 overall survival between SRCC+MAC (40.2%) and AC (31.4%) ($p=0.494$). For stage III,
251 SRCC+MAC patients had better survival (67.5%) than AC patients (22.3%) ($p=0.029$). For
252 lymph node involvement, SRCC + MAC patients with N2+N3 had a better survival (80.0%) than

253 AC patients (14.8%) (p=0.024). There was no difference in survival between SRCC+MAC and
254 AC by grade, LVI or tumour depth.

255
256 The stage-specific overall survival rates, which included:- stage I: SRCC+MAC 40%; AC 65.3%
257 p=0.134; and stage III SRCC+MAC, had a better survival than AC, and this difference reached
258 statistical significance (p=0.029). The proportion of SRCC+MAC patients surviving with LVI
259 was 40.2% higher than that of AC patients with LVI (25.9%); however, this difference did not
260 reach statistical significance (p=0.27).

261 262 **Factors associated with survival**

263
264 Table 5 indicates that in the bivariate analysis, the risk of mortality was 0.82 (95% CI: 0.47-1.44)
265 times higher in the SRCC+MAC group than in the AC group. Mortality risk was 1.09 times
266 higher among females than males, and 0.76 and 0.79 times higher among patients aged 50-74
267 and >75 years at diagnosis than among those aged <49 years, respectively. Compared to patients
268 diagnosed at stage I, patients with stage II, III and IV disease were 1.98 (95% CI: 0.87-4.48),
269 2.50 (95% CI: 1.24-5.05), and 6.18 (95% CI: 2.74-13.95) times more likely to die. The LVI
270 group showed a 14% increased risk of death compared to the non-LVI group; however, this did
271 not reach statistical significance (cHR=1.14; p=0.594). Location of CRC, and grade were not
272 associated with mortality in the bivariate analysis.

273
274 In the multivariate model, the stage of CRC at diagnosis was the only factor independently
275 associated with mortality. Thus, compared to stage I patients, patients who were in stages II, III
276 and IV had a 2.00 (95% CI: 0.88-4.56), 2.56 (95% CI, 1.26-5.18) and 6.64 (95% CI, 2.85-15.44)
277 times likelihood of mortality, respectively. Age, sex and grade of adenocarcinoma did not
278 independently predict mortality. Although patients with SRCC+MAC showed a trend towards
279 shorter survival than patients with AC (Figure 5), this difference in survival was not statistically
280 significant after adjusting for lymph node involvement (p=0.229).

281 282

283 **Association of lymphovascular invasion with overall survival**
284 The 3-year overall survival for colorectal adenocarcinoma with lymphovascular invasion was
285 28.6% (95% CI: 20.7-37.0) and without lymphovascular invasion was 28.1% (95% CI: 13.4-
286 44.9) (Figure 4).

287 288 289

290 **Discussion**

291
292 This cohort study analysed the relationship between clinicopathological features and survival
293 outcomes of Ugandan patients with classic adenocarcinoma, mucinous adenocarcinoma and
294 signet ring colorectal carcinoma. We found that compared to AC, the clinicopathological
295 characteristics associated with SRCC and MAC involved a younger age and poorer grade of
296 differentiation. The rectum was the most commonly involved location for SRCC, MAC and AC.
297 SRCC and MAC were not predominantly found in the right colon. We also found that there was
298 a tendency for the different histopathological subtypes and the presence of LVI to affect the

299 overall survival. Many patients in Uganda present to hospital with an advanced stage of
300 colorectal adenocarcinoma and this was associated with poor survival.

301
302 In our study, almost nine in every ten patients had AC, approximately one in ten had MAC and
303 approximately two in every one hundred patients had SRCC. The proportions of the different
304 histopathologic subtypes reported in our study are similar to those reported in studies from Asian
305 countries (AC: 93.7%, MAC: 5-15%, SRCC: approximately 1%) and Western countries (AC:
306 88.8%; MAC: 10.3%; SRCC: 0.9%) [1]. A study on rectal carcinoma from India showed the
307 proportion of MAC to be 7.7%, while the proportion of SRCC was 13.6% [21-24]. This implies
308 that the proportions of MAC and SRCC tend to vary between populations in different parts of the
309 world [25].

310
311 We found that 12.4% of patients commonly present with MAC and SRCC and that they are more
312 likely to have lymphovascular invasion and lymph node metastasis. Apart from MAC and SRCC
313 being found more commonly in young patients and having poorer grades of differentiation, they
314 were also associated with higher lymphovascular invasion. This is consistent with results from
315 other studies [13-15, 23, 26-29]. These findings suggest that compared to AC, MAC and SRCC
316 have a stronger tendency to metastasize and to invade the bowel wall.

317
318 A more advanced stage at presentation with MAC and SRCC has been reported compared to AC
319 in Western and Asian studies [23, 26-29]. Before undergoing radical surgery, MAC and SRCC
320 may already have developed subclinical metastases. However in our study, even AC tended to
321 present with an advanced-stage CRC and this may be due to more patients in developing
322 countries presenting with advanced-stage CRC due to a delay in coming to hospital with
323 symptoms of CRC compared to developed countries, irrespective of the histopathological
324 subtype of CRC.

325 In CRC patients, the prognostic significance of MAC and SRCC has been controversial. Our
326 study tended to show a difference in overall survival between the SRCC+MAC group and the
327 AC group when adjusting for lymph node status and metastasis. This is consistent with findings
328 in small reports [30,31] and two meta-analyses [32,33] that identified MAC as an independent
329 factor predicting poor survival. There were however no significant differences confirmed
330 between SRCC+MAC and AC when analysed by tumour stage. However, for stage III, a better
331 overall survival was registered for the SRCC+MAC group compared to the AC group, and this
332 reached statistical significance. This finding is similar to findings in a study by Hogan J et al
333 [34]. A plausible explanation may be that increased extracellular mucin from MAC due to
334 alteration in gene expression may result in a degree of inhibition of cellular neoplastic migration
335 into the extracellular space and subsequently into the lymphatic and systemic circulations. This
336 results in less distant metastasis from stage III SRCC+MAC and hence a better prognosis [34].

337
338 When comparing survival outcomes, we found that the 3-year overall survival for MAC and
339 SRCC tended to be lower than that for AC when adjusting for lymph node status. Some studies
340 have also shown that MAC and SRCC have a negative prognostic effect on CRC patients
341 [14,35]. MAC has been found to have a negative prognostic factor for rectal cancer but not in
342 colon cancer on analysis of the US National Cancer data set (NCBD) [23]. Analysis of the US
343 Surveillance, Epidemiology and End Results (SEER) dataset found that MAC had a protective
344 effect on right-sided colon cancer but had no prognostic effect on left-sided colon cancer.

345 However, for rectal cancer, MAC and SRCC had a negative prognostic effect [19]. The results
346 from these population studies inferred that MAC and SRCC in different primary locations may
347 have different effects on CRC patients' overall survival. However, a study from Italy showed no
348 prognostic difference in overall survival between MAC and AC irrespective of tumour location
349 [36]. Similarly, a study from India, on rectal cancer patients showed no difference in overall
350 survival among SRCC, MAC and AC [25, 36-38].

351
352

353 MAC and SRCC showed a trend towards poorer survival in our study, similar to findings from
354 developed countries. Possible reasons are that the proportions of the different histopathological
355 subtypes are similar in Uganda compared to developed countries. However, the stage of CRC at
356 presentation differs in that Ugandan patients present at an advanced stage compared to patients
357 from developed countries, hence resulting in the poor overall 3-year survival of CRC in Ugandan
358 patients of only 32.4%.

359

360 Several studies have shown that mucinous and signet ring cell type tumours are more likely to
361 have organ infiltration and lymph node involvement [15,39]. In our study, although the majority
362 of SRCC and MAC presented with advanced tumour involvement of the bowel wall and lymph
363 node involvement, so did AC present with advanced tumour involvement of the bowel wall and
364 lymph node involvement, as many patients in Uganda present with advanced stage CRC due to a
365 delay in diagnosis. SRCC has been shown in studies to have a poorer survival rate [40], which
366 may be due to a higher tumour grade and stage and tendency for nodal spread and peritoneal
367 involvement. Our study showed a tendency towards a poor survival rate with SRCC; however,
368 there were only a few patients who presented with this histopathologic subtype. Unlike other
369 colorectal carcinomas, which arise from the adenoma-carcinoma sequence, SRCC is considered
370 to arise from flat colonic mucosa. Therefore few SRCC patients are diagnosed by screening
371 colonoscopy at an early stage [41]. This issue may be overcome in the future using DNA bowel
372 stool testing which is a noninvasive laboratory test that identifies DNA changes from colorectal
373 cancer cells shed in a stool specimen.

374

375 MAC is a carcinoma that consists of >50% extracellular mucin, while SRCC is a carcinoma that
376 consists of >50% signet ring cells. Mucin has been shown to demonstrate importance in the
377 prognosis of CRC in several studies [42,43]. Studies that have enrolled CRC patients receiving
378 chemotherapy (FOLFOX) in different stages, particularly stage III, have shown a poorer
379 prognosis in patients with MAC [42,43]. Other recent studies have also shown that MAC is
380 resistant to chemoradiation (33). SRCC and MAC tend to have a poor prognosis due to the
381 higher rate of lymphovascular invasion and infiltrating tumor growth pattern [44]. An increased
382 rate of lymph node involvement at presentation with SRCC and MAC compared to AC was
383 found in our study, which is similar to findings in other studies. The aggressive nature of SRCC
384 can be explained by understanding the exact molecular mechanisms underlying the pathogenesis
385 of this subtype of colorectal adenocarcinoma. Despite SRCC having a high level of microsatellite
386 instability, which is associated with better survival outcomes, the prognosis remains poor. SRCC
387 has high levels of BRAF V600E mutations and low levels of K-ras mutations compared to AC.
388 BRAF mutations are a poor prognostic factor and could explain the poor prognosis associated
389 with SRCC [41,42, 44-48]. Overexpression of mucin regulatory genes such as MUC2, HATH1,
390 SOX215, MUC5, claudin 18 and Reg IV in SRCC leads to excessive intracellular mucin

391 production, which results in disruption of cell-to-cell adhesions and the E-cadherin/ β -catenin
392 complex, and this results in metastases of CRC [34,49]. Other authors have shown that aberrant
393 hypermethylation due to the CpG island methylator phenotype (CIMP) in SRCC leads to reduced
394 expression of E-cadherin, facilitating the spread of the tumour [50].

395
396 This may explain the trend in poor survival in our study with SRCC and MAC histopathological
397 subtypes. However, compelling evidence cannot be obtained from the data in our study. Late
398 diagnosis at a more advanced stage and high risk of local recurrence with MAC has more clinical
399 importance than the relation to survival. The low suitability of the standard approach for treating
400 CRC may also explain the poor prognosis of patients with MAC and SRCC [51]. Special
401 treatment targeting the genetic constitutions of SRCC and MAC may improve the treatment and
402 prognosis of these histopathological subtypes.

403
404 In our study, a poorer survival outcome was registered with increasing stage for CRC. This
405 finding is in agreement with many studies that showed poorer survival associated with an
406 advanced tumour stage. For early-stage disease irrespective of histopathologic subtype, the
407 survival rate was high despite all the limitations in health service delivery. Therefore, the
408 findings in our study emphasize the importance of early diagnosis by having national screening
409 programmes in place and early treatment of CRC. However, the histological subtype of
410 colorectal adenocarcinoma may not affect survival in patients who have had resection of early-
411 stage primary CRC with no lymphovascular invasion and no lymph node involvement [52].

412
413 The proportion of lymphovascular invasion was 79% among all colorectal adenocarcinomas in
414 our study, with this proportion varying widely between 10% and 89.5% among populations
415 [6,7,52]. This high proportion in our population could be explained by the presentation at an
416 advanced stage of colorectal adenocarcinoma in Ugandan patients. Lymphovascular invasion
417 was more commonly associated with higher CRC stage and with moderately and poorly
418 differentiated adenocarcinomas. Therefore, lymphovascular invasion is closely related to the
419 features of aggressive tumours. While our study showed a trend towards poorer survival with all
420 colorectal adenocarcinomas associated with lymphovascular invasion, our findings have clinical
421 relevance in that it suggests that the presence of lymphovascular invasion is an indication for
422 more extensive resection of the colorectal tumour [53,54,55].

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424
425

426 **Conclusions**

427 Despite the younger age of presentation of CRC in our population, the proportion of MAC in
428 Uganda is similar to that found in the Western world. SRCC and MAC histopathological
429 subtypes presented with a higher incidence of lymphovascular invasion than AC. SRCC and
430 MAC histopathological subtypes showed a tendency for poorer survival compared to AC in
431 Ugandan patients. More patients present with an advanced stage of CRC in our population
432 compared to Western populations and this was associated with poor survival. This emphasizes
433 the need for a national screening programme to detect CRC at an early stage in Uganda, which
434 may result in a better survival outcome.

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436

437 **Limitations of study**

438 There are several limitations encountered in our study. Due to the retrospective nature of the
439 study, some data from the patients' clinical records and the Kampala Cancer Registry were
440 missing. This could have led to a selection bias. Furthermore, many CRC tissue blocks were
441 missing or of poor quality and could not be linked to data from the Kampala Cancer Registry and
442 patients' clinical records. This resulted in a reduction of our sample size. When vital status was
443 not recorded for participants outside the catchment area of the Kampala Cancer Registry, active
444 follow-up was necessary in the community. Underreporting deaths from other causes may
445 overestimate the cause-specific survival probability, as overall survival and not disease-free
446 survival were used as the outcome measures. The date of onset of the symptoms of colorectal
447 cancer in the patients would have been more appropriate for defining the start of counting the
448 survival time; however, the date of first diagnosis was used in this study. It may be observed that
449 the time lag between the onset of symptoms and presentation to the hospital for a diagnosis to be
450 made, may be long, which may have led to an underestimation in measuring survival. The small
451 number of cases of signet ring adenocarcinoma could not be analysed as a separate group;
452 however, given the similarities with mucinous adenocarcinoma as an aggressive tumour enabled
453 a fair comparison of classical adenocarcinomas (ACs) and signet ring cell carcinomas (SRCCs)
454 combined with mucinous adenocarcinomas (MACs).

455

456

457 **What is already known about this topic**

458

- 459 • Across the world, the incidence of CRC varies with Africa and Asia having a low
460 incidence and Western Europe, the USA, Australia/New Zealand and Japan
461 having a high incidence of CRC.
- 462 • In Uganda similar to the rest of Sub-Saharan Africa most cases of CRC present at
463 a later stage compared to the developed Western world.
- 464 • Studies from the West and in Uganda have found that MAC and SRCC
465 histopathological subtypes present predominantly at a younger age in female
466 patients, with a more advanced stage and hence a poorer prognosis.

467

468 **What this study adds**

469

- 470 • We found that 12.4% of patients in Uganda commonly present with MAC and
471 SRCC and that they are more likely to have lymphovascular invasion and lymph
472 node metastasis. This finding confirms that despite the younger age of
473 presentation of CRC in Uganda, the proportion of MAC in our population is
474 similar to that found in the Western world.
- 475 • The histopathological subtypes, SRCC and MAC showed a trend towards poor
476 survival compared to AC in Ugandan patients.
- 477 • Similar to other Sub-Saharan African countries, the majority of patients in
478 Uganda present with advanced stage CRC which is associated with a poor
479 survival. This emphasizes the need for a national screening programme to detect
480 CRC at an early stage in Uganda, which may result in a better survival outcome.

481

482

483 **Declarations**

484 **Ethical Considerations**

485 This work was part of the PhD study, which was approved by the Higher Degrees Research and
486 Ethics Committee, School of Biomedical Sciences, College of Health Sciences, Makerere
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488 Technology (HS-2574). To access and abstract data from the Kampala Cancer Registry, data
489 from case files in the respective hospitals and conduct experiments on the corresponding tissue
490 blocks of the participants, a waiver of consent was obtained from the Higher Degrees Research
491 and Ethics Committee, School of Biomedical Sciences, College of Health Sciences, Makerere
492 University.

493

494 **Consent for Publication**

495 Informed consent was obtained to actively follow up some of the participants in the community
496 to determine their vital status. For those participants who had their vital status recorded in the
497 Kampala Cancer Registry, the waiver of consent obtained from the Higher Degrees Research and
498 Ethics Committee, School of Biomedical Sciences, College of Health Sciences, Makerere
499 University was applied.

500

501 **Competing Interests**

502 **The authors declare that they have no competing interests. There are no conflicts of interest**
503 **between the authors.**

504

505 **Authors' contributions**

506 Richard Wismayer originated the concept and proposal, designed experiments, collected data,
507 performed the data analysis and wrote the first draft. Julius Kiwanuka performed data analysis
508 and provided statistical support. Michael Odida and Henry Wabinga interpreted the hematoxylin
509 and eosin slides for the histopathological subtype, grade and lymphovascular invasion status of
510 all the participants. Michael Odida and Henry Wabinga also performed critical reviews of the
511 manuscript. All authors approved of the final manuscript.

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533

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702 Abbreviations

703 SD – standard deviation
704 cHR – crude hazards ratio
705 aHR – adjusted hazards ratio
706 OS – overall survival
707 CRC – colorectal carcinoma
708 MAC – mucinous carcinoma
709 SRCC – signet ring colorectal carcinoma
710 AC – classical adenocarcinoma
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712 **Table 1: Baseline characteristics of colorectal adenocarcinoma patients**

Characteristic	SRCC + MAC n(%)	AC n(%)	Total n(%)
Sex			
Male	11 (44.0)	83 (47.2)	94 (46.8)
Female	14 (56.0)	93 (52.8)	107 (53.2)
Age			
Mean (SD)	47.8 (16.6)	53.8 (15.9)	53.0 (16.0)
<49	17 (68.0)	64 (36.4)	81 (40.2)
50-74	6 (24.0)	92 (52.3)	98 (48.8)
≥75	2 (8.0)	20 (11.4)	22 (11.0)
Clinical Stage			
I	5 (29.4)	20 (14.6)	25 (16.2)
II	1 (5.9)	26 (19.0)	27 (17.5)
III	10 (58.8)	73 (53.3)	83 (53.9)
IV	1 (5.9)	18 (13.1)	19 (12.3)

Tumor size			
T1	3 (17.7)	11 (8.0)	14 (9.1)
T2	5 (29.4)	26 (19.0)	31 (20.1)
T3	4 (23.5)	63 (46.0)	67 (43.5)
T4	5 (29.4)	37 (27.0)	42 (27.3)
Lymph Node Involvement			
N0	47 (34.3)	7 (41.2)	54 (35.1)
N1	63 (46.0)	5 (29.4)	68 (44.2)
N2+N3	27 (19.7)	5 (29.4)	32 (20.7)
Grading of the CRC			
G1	6 (24.0)	57 (32.4)	63 (31.3)
G2	15 (60.0)	101 (57.4)	116 (57.7)
G3	4 (16.0)	18 (10.2)	22 (11.0)
Location			
Caecum	3 (12.0)	4 (2.3)	7 (3.5)
Ascending colon	2 (8.0)	13 (7.4)	15 (7.5)
Transverse colon	0 (0.0)	6 (3.4)	6 (3.0)
Descending colon	1 (4.0)	9 (5.1)	10 (5.0)
Sigmoid colon	4 (16.0)	44 (25.0)	48 (23.9)
Rectosigmoid	0 (0.0)	6 (3.4)	6 (3.0)
Rectum	15 (60.0)	94 (53.4)	109 (54.2)
Lymphovascular Invasion			
Yes	25 (100.0)	99 (75.0)	124 (79.0)
No	0 (0.0)	33 (25.0)	33 (21.0)

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715 **Table 2: Distribution of Lymphovascular Invasion**

Characteristic (s)	Lymphovascular Invasion		P- Value
	Present	Abscent	
Sex			
Male	58 (81.7)	13 (18.3)	0.449
Female	66 (76.7)	20 (23.3)	
Age			
≤49	54 (79.4)	14 (20.6)	0.777
50-74	57 (77.0)	17 (23.0)	
≥75	13 (86.7)	2 (13.3)	
Clinical Stage			
I	14 (73.7)	5 (26.3)	0.775
II	15 (79.0)	4 (21.0)	
III	49 (77.8)	14 (22.2)	
IV	10 (66.7)	5 (33.3)	

Tumor Size			
T1	11 (84.6)	2 (15.4)	0.119
T2	13 (61.9)	8 (38.1)	
T3	40 (85.1)	7 (14.9)	
T4	24 (68.6)	11 (31.4)	
Tumor site			
Colon	55 (74.3)	19 (25.7)	0.176
Rectum	69 (83.1)	14 (16.9)	
Histopathological sub types			
AC	99 (75.0)	33 (25.0)	0.002
SRCC + MAC	25 (100.0)	0 (0.0)	
Grading of the CRC			
G1	37 (75.5)	12 (24.5)	0.711
G2	74 (81.3)	17 (18.7)	
G3	13 (76.5)	4 (23.5)	

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721 **Table 3: Overall survival by selected characteristics at 1,2 and 3 years**

Characteristic (s)	Time point	Number Beginning	Number Dead	Proportion Surviving (%)	95% Confidence Interval
Overall	1 year	123	66	65.9	58.7 - 72.2
	2 years	78	45	41.6	34.5 - 48.5
	3 years	60	17	32.4	25.8 - 39.2
SRCC+ MAC	1 year	17	7	71.5	49.3 - 85.3
	2 years	12	5	49.2	28.0 - 67.3
	3 years	9	2	40.2	20.7 - 59.1
AC	1 year	107	59	65.2	57.4 - 71.9
	2 years	67	40	40.6	33.1 - 478.0
	3 years	51	15	31.4	24.4 - 38.5
N0	1 year	40	11	79.1	65.4 - 87.9
	2 years	27	13	52.7	38.2 - 65.4
	3 years	23	3	46.7	32.5 - 59.6
N1	1 year	39	27	59.1	46.2 - 69.9
	2 years	24	15	35.8	24.4 - 47.3
	3 years	14	9	21.8	12.7 - 32.5

N2+N3	1 year	20	13	59.4	40.5 - 74.0
	2 years	12	8	34.4	18.8 - 50.6
	3 years	8	3	25	11.8 - 40.7
LVI-Present	1 year	74	44	63.4	54.0 - 71.3
	2 years	47	27	39.9	31.0 - 48.7
	3 years	33	13	28.6	20.7 - 37.0
LVI-Absent	1 year	23	7	77.3	58.1 - 88.6
	2 years	13	10	42.2	24.3 - 59.1
	3 years	8	4	28.1	13.4 - 44.9
Stage I	1 year	19	4	83.4	61.4 - 93.4
	2 years	17	2	74.1	51.0 - 87.5
	3 years	13	3	60.2	37.2 - 77.1
Stage II	1 year	21	6	77.6	56.8 - 89.3
	2 years	11	10	38.8	20.7 - 56.7
	3 years	10	0	38.8	20.7 - 56.7
Stage III	1 year	53	29	64.5	53.1 - 73.8
	2 years	34	19	40.9	30.2 - 51.3
	3 years	22	11	27.3	18.1 - 37.2
Stage IV	1 year	7	12	34.2	14.2 - 55.5
	2 years	2	5	5.7	0.4 - 22.9
	3 years	1	1	-	-

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724 **Table 4: Survival by histopathological sub-types of colorectal adenocarcinoma**

Study group	Characteristic (s)	SRCC + MAC		AC		P-Value
		Proportion Surviving (%)	95% Confidence Interval	Proportion Surviving (%)	95% Confidence Interval	
		Overall	40.2	20.7 - 59.1	31.4	
Stage	I	40.0	5.2 - 75.3	65.3	38.4-82.8	0.134
	II	-	-	40.4	21.6-58.5	
	III	67.5	29.1 - 88.3	22.3	13.5-32.4	0.029
	IV	-	-	-	-	
Grade	G1	50.0	11.1 - 80.4	24.4	13.9-36.4	0.261
	G2	32.6	10.3-57.5	35.7	26.1-45.3	0.769
	G3	50.0	5.8-84.5	29.8	10.9-51.6	0.536
LVI	Present	40.2	20.7-59.1	25.9	17.5-35.0	0.27
	Absent	-	-	28.1	13.4-44.9	

Depth of tumor	T1	66.7	5.4-94.5	50.5	18.7-75.7	0.630
	T2	60.0	12.6-88.2	53.1	31.3-70.8	0.705
	T3	75.0	12.8-96.1	29.1	18.5-40.7	0.155
	T4	20.0	0.8-58.2	5.6	1.0-16.4	0.236
Lymph node	N0	28.6	4.1-61.2	49.5	34.0-63.3	0.249
	N1	53.3	6.8-86.3	19.8	10.9-30.6	0.247
	N2+N3	80.0	20.4-96.9	14.8	4.7-30.5	0.024

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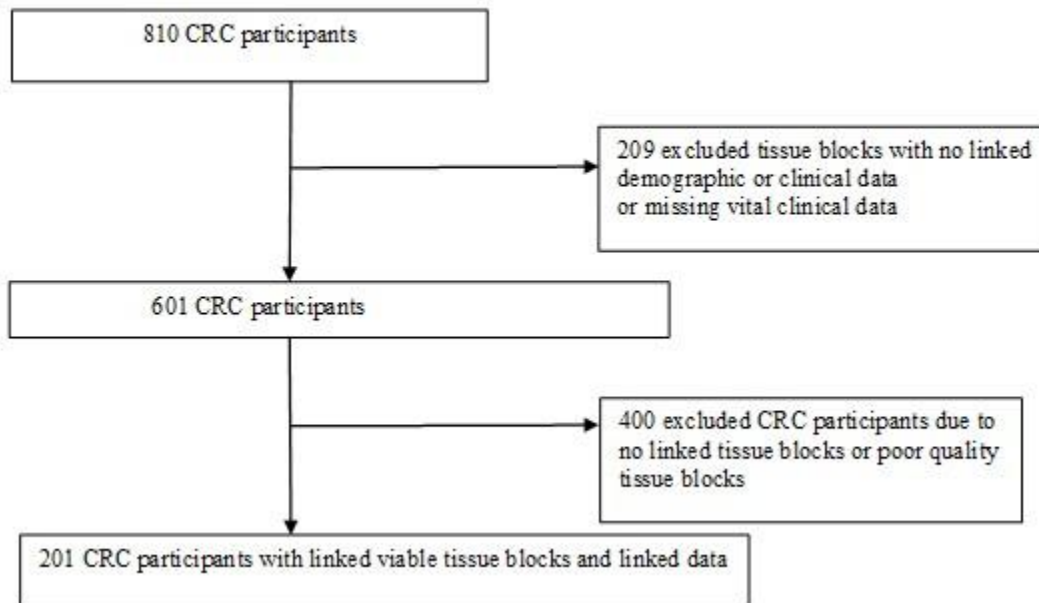
734 **Table 5: Factors associated with survival of colorectal adenocarcinoma**

Characteristic (s)	crude Hazard Ratio (cHR)	95% CI	p-value	adjusted Hazard Ratio (aHR)	95% CI	p-value
Sex						
Male	1.00			1.00		
Female	1.09	0.77-1.55	0.622	1.14	0.77-1.69	0.522
Age						
≤49	1.00			1.00		
50-74	0.76	0.53-1.10	0.145	0.75	0.49-1.13	0.173
≥75	0.79	0.432-1.45	0.450	0.72	0.35-1.48	0.371
Clinical Stage						
I	1.00			1.00		
II	1.98	0.87-4.48	0.102	2.00	0.88-4.56	0.099
III	2.50	1.24-5.05	0.011	2.56	1.26-5.18	0.009
IV	6.18	2.74-13.95	<0.001	6.64	2.85-15.44	<0.001
Tumor site						

Colon	1.00					
Rectum	1.07	0.75-1.52	0.706			
Histopathological sub types						
AC	1.00					
SRCC + MAC	0.82	0.47-1.44	0.495			
Grading of the CRC						
G1	1.00			1.00		
G2	0.78	0.53-1.14	0.194	0.96	0.62-1.47	0.836
G3	0.81	0.44-1.48	0.499	1.18	0.60-2.33	0.634
LVI Absent	1.00					
LVI Present	1.14	0.71-1.84	0.594			

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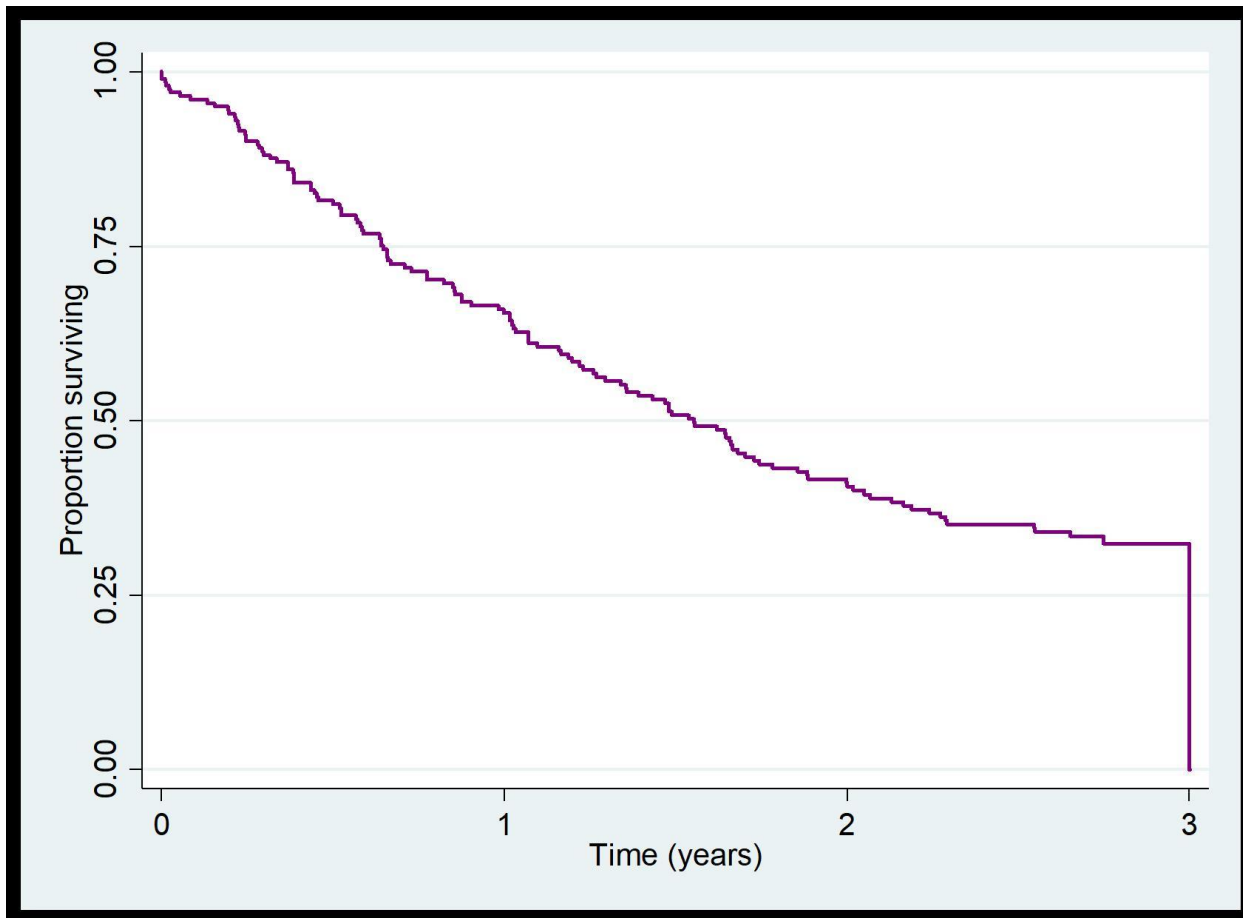
738 **Figure 1 is a flow diagram illustrating colorectal adenocarcinoma patient recruitment for**
 739 **the 2008-2018 cohort**

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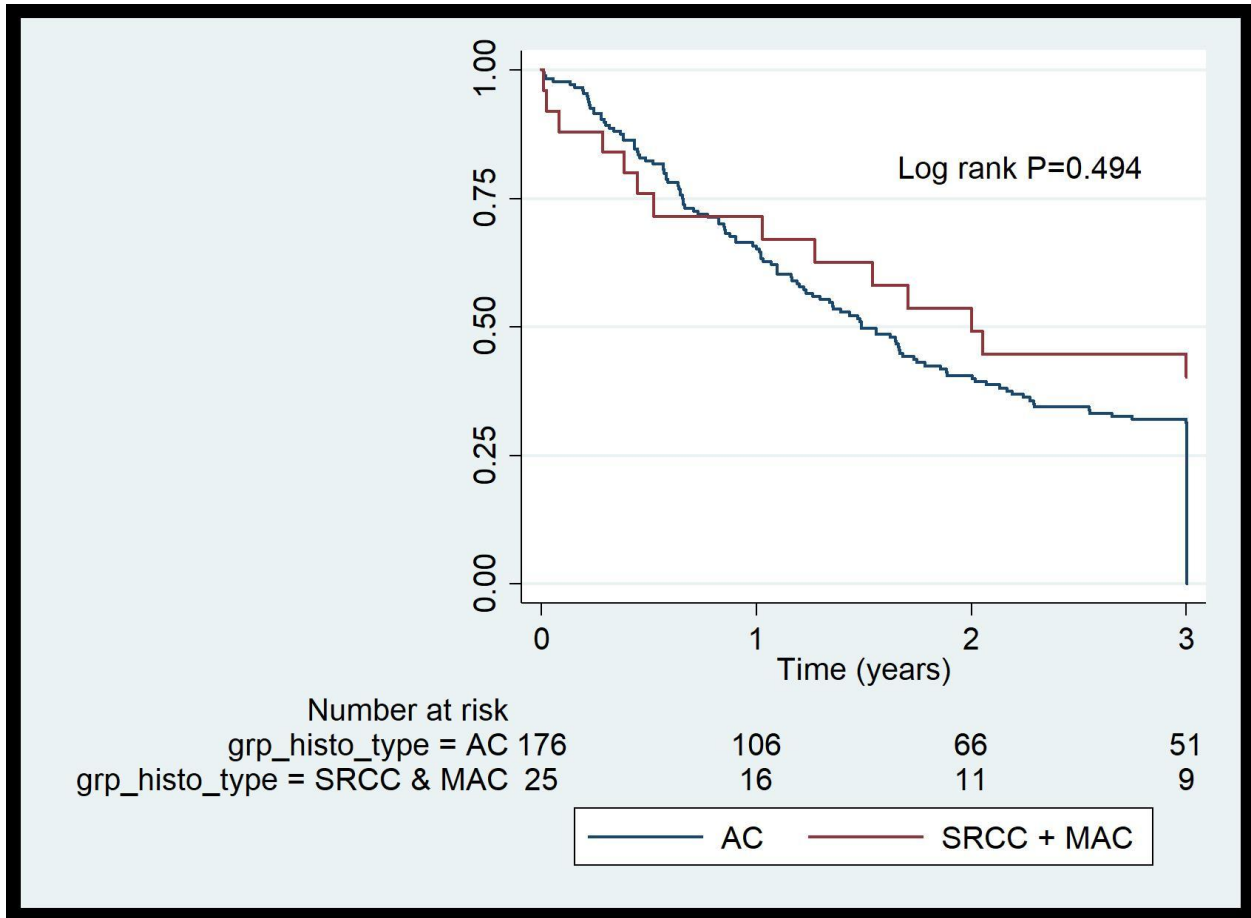
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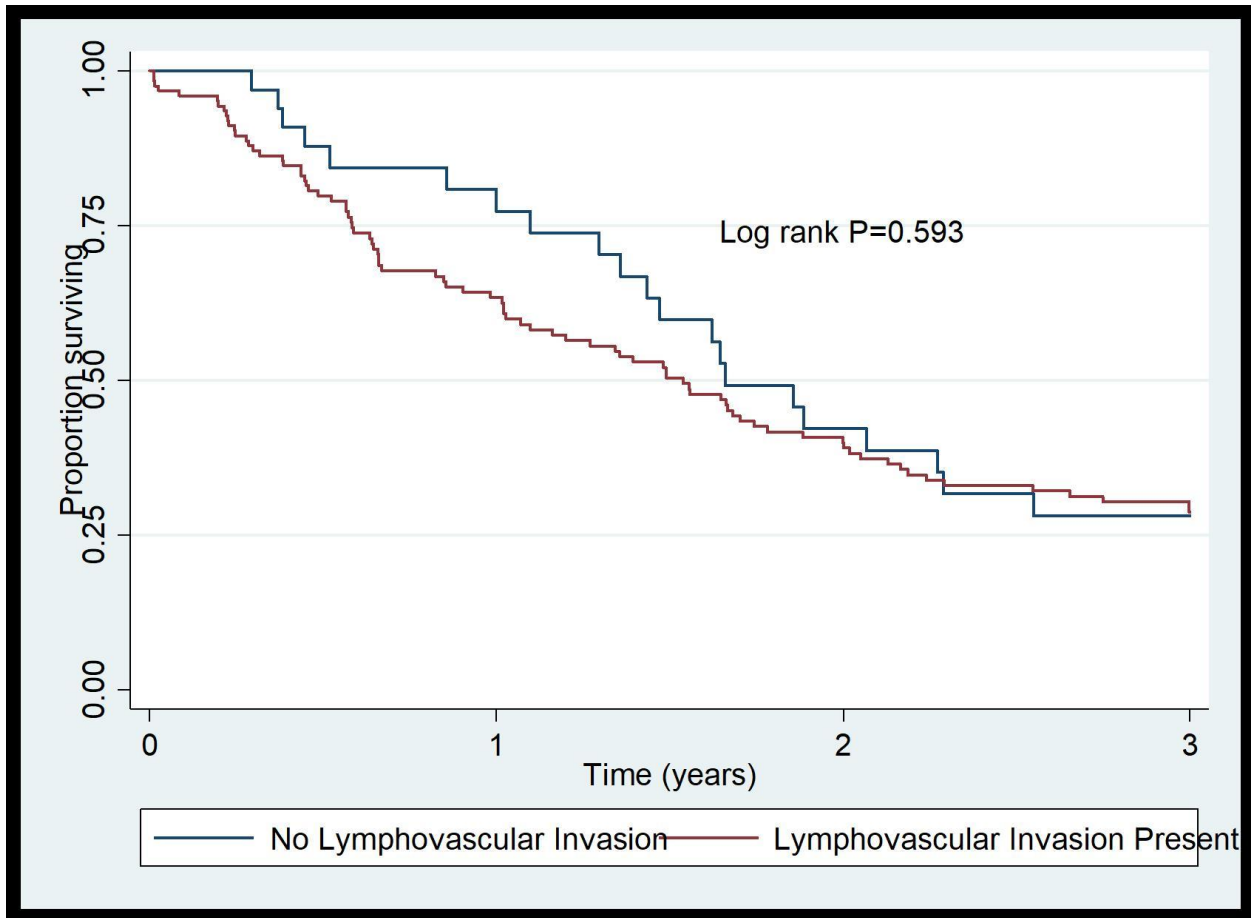
Figure 2: Overall survival of colorectal adenocarcinoma patients in Uganda



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Figure 3: Overall survival of SRCC+MAC compared to AC patients in Uganda

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Figure 4: Kaplan-Meier curve for 3-year overall survival according to LVI status

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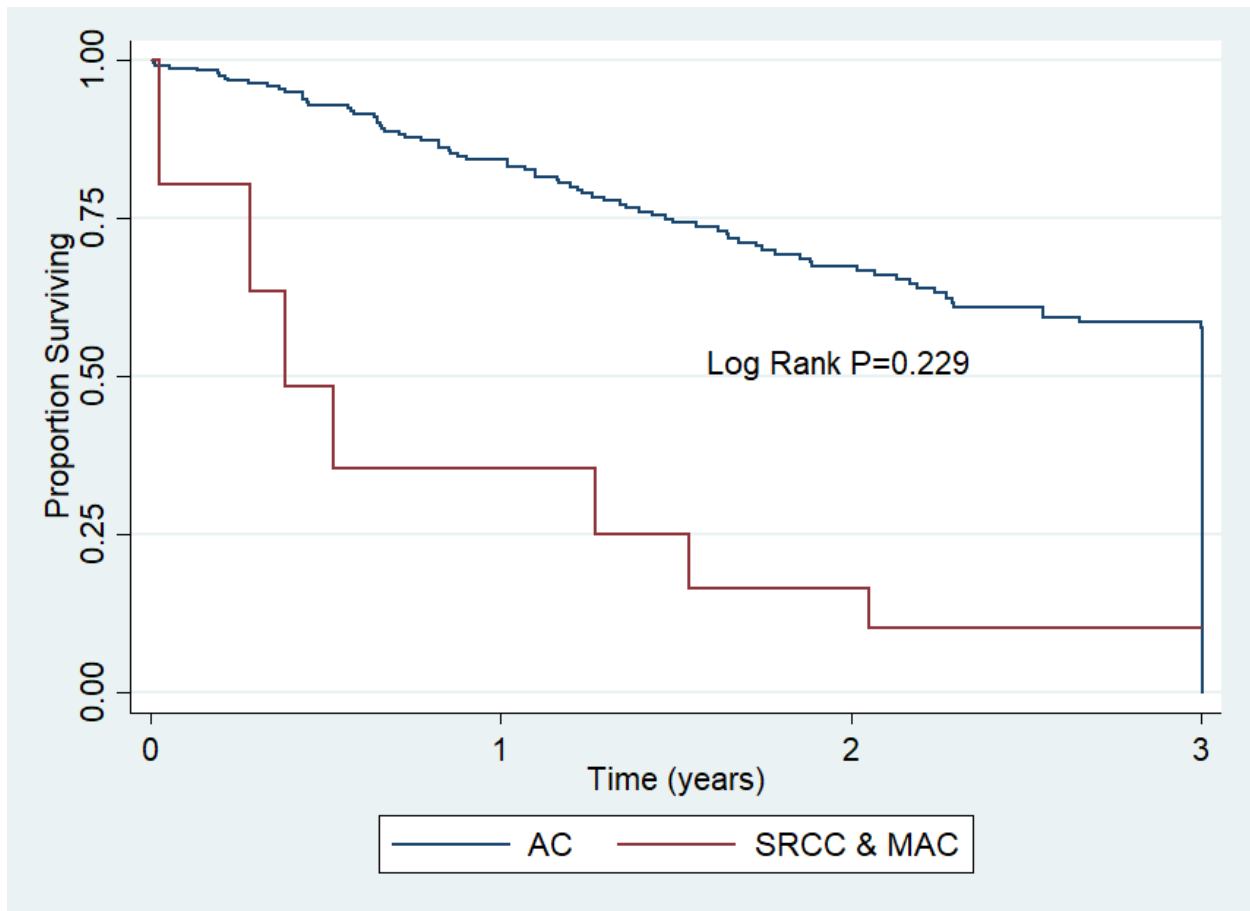
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 809 **Figure 5: Survival of SRCC/MAC compared to AC adjusted for lymph node status and**
 810 **metastasis**

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