

## ATLANTOAXIAL INSTABILITY IN CHILDREN, 26 YEARS OF EXPERIENCE

### Abstract

**Study design:** Retrospective case study.

**Objective:** To determine the history, clinical and imaging signs, diagnosis, treatment and clinical follow-up.

**Summary of background data:** The cranio vertebral junction (CVJ) is a complex transitional area between the skull, the upper cervical spine, the brain and the upper cervical cord. It concerns all ages. Instability can be the result of congenital malformations or traumatic injury.

**Methods:** It is a retrospective study of patients files in department of paediatric-neurosurgery and the department of pediatric orthopedic of Marseille, during 26 years , Between 16th October 1981 and 16th June 2007. In each medical record, we determined identity, circumstances of instability discovery, clinical and paraclinical signs , diagnosis, therapeutic protocol and patient's outcome after treatment.

The inclusion criteria were the medical records with complete information regarding the patients, who were treated for atlantoaxial instability (AAI). Patients presenting clinical instability with incomplete files, have been excluded.

**Results:** In our retrospective study, 22 children have been treated for AAI, with 10 boys (45%) and 12 girls (55%), aged 5 to 17 years old , mean age was 10.

the main circumstance of lesion was accident 45,5 % , sport accident 27,3%,road accident 18,2%) ,the others circumstances are 55,5%.

The past history included : congenital malformation in 18,2% (Down's syndrome, Klippel-Fiel's syndrome, cardiac malformation), sport accident(9,0%), neurological deficit (13,7%), cervical trauma already treated(4,5%), learning deficit (4,5%), and plagiocephaly (4,5%); for 45,6%, there was no evidence etiology.

The clinical signs were quadriparesis (31,9%), quadriplegia (13,6%), torticoli (9,1%), quadriplegia and priapism (4,5%), headache and dizziness (4,5%), abnormal head position attitude (4,5%). Clinical exam was normal for 31,9%.

Standard X-ray was performed for 50,0%, CT scan for 50,0% and MRI for 40,8%.

These paraclinical examination showed that AAI were traumatic in 59,1% ( luxation 36,4%, odontoid fracture 13,7%, C1C2 dislocation 4,5 % , C2 arch, articular fracture and pseudoarthrosis 4,5%), congenital malformation in 40,9%.

The orthopedic treatment was used for 13,5% of the patients and surgical treatment was used for 86,5% of the patients (posterior approach 72,8 %, anterior approach 9,2%, anterior and posterior approach 4,5%).

Complications were observed in 33% of the patients (consisted of infections 14%, Medulla oblongata compression 5%, basilar impression)

72% of the patients recovered without sequela after 15 years of follow up, 28% had a neurological deficit during the same time .13% conserved their deficit 5 years after treatment.

**Conclusion:** In many cases, AAI is an insidious affliction in many cases. A neurological deficit is a sinister presentation often leading to significant sequela even after treatment.

**Key-words:** Atlanto-axial Instability, treatment, children.

## I. INTRODUCTION

The cranio vertebral junction (CVJ) is a complex transitional area between the skull, the upper cervical spine, the brainstem and the upper spine cord. The atlantoaxial region in paediatric patients has several anatomical, biomechanical and physiological characteristics that predispose to injury: increased ligamentous laxicity, more horizontally oriented facets, less mature bone ossification, higher inertia and fulcrum of cervical movement. The relatively underdeveloped neck musculature and the higher inertia and torque forces, associated with a larger head to body mass ratio increase the risk of injury to the CVJ and hence atlantoaxial instability (AAI) (3,6,11,16).

The principal causes of instability in children are:

Malformations (Goldenhar's syndrome, skeletal dysplasia, Conradi's syndrome and Klippel-Feil's syndrome, Diseases such as Down's syndrome have a 14-20% incidence of AAI. In Morquio's syndrome, a combination of odontoid, AAI, and cervicothoracic abnormalities occur in 30-50%. The incidence of atlas assimilation is approximately 0.25 % of the population); Trauma, laminectomy, vertebral infections, rheumatoid arthritis, primary tumors (benign and malignant) and metastases (1,5).

The clinical presentation of AAI may be insidious or rapidly evolving, with, sometimes, false localizing signs. The most common deficits are: monoparesis, hemiparesis, quadriplegia, paraplegia (1, 19, 23, 24).

The primary imaging modalities used for evaluation of the craniotovertebral junction (CVJ) include plain radiography, computer tomography (CT), and magnetic resonance imaging (MRI). It identifies the neural abnormalities as well as the osseous compression

The surgical management of AAI in paediatric patients is associated with certain unique challenges. While the indications for internal fixation in children are similar to those in adults, surgical approaches include posterior approaches, anterior approaches or combining anterior decompression and posterior fusion. Most of the data concerning techniques, complications, and outcomes of spinal instrumentation come from experience with adult patients. Cervical arthrodesis in the paediatric age group might limit growth potential and cause secondary spinal deformity. The development of resorbable instrumentation may reduce long-term risks associated with spinal metallic instrumentation in paediatric patients (1, 6).

## **II. Material and methods**

It is a retrospective study of patients' files in the department of pediatric neurosurgery and the department of pediatric orthopedics Marseille. In each medical record, we determined identity, diagnosis, the circumstances surrounding instability discovery, therapeutic protocol and patients' outcome after treatment.

Patients' files with complete information treated for AAI surgically or orthopedically have been accepted. We included orthopedic treatment only if reduction and traction under general anesthesia of the instability.

Patients with C1 C2a1 instability with incomplete files or, treated by traction, reduction and Minerva without anesthesia have been excluded.

Diagnosis is obtained after plain radiography, computed tomography and magnetic resonance imaging reveal on facial and parasagittal views of craniotovertebral junction. That gives craniometry parameters and identifies the different lesions. The different lesions characteristics are described in the Table I, (3, 18).

**Table 1** Craniometry of the Craniovertebral Junction

Line/Angle	Anatomic Landmark	Normal Value	Pathologies
Chamberlain's line	Posterior pole of hard palate to opisthion	Dens should not extend more than 5 mm above it	Basilar invagination Basilar impression
Wackenheim-clivus baseline	Draw along posterior surface of clivus and extend inferiorly	Lies tangent to the odontoid may transect the odontoid in its posterior one-third	Basilar invagination Basilar impression Atlantoaxial dislocation Atlanto-occipital dislocation
Clivus-canal angle	Angle between Wackenheim-clivus baseline and line drawn along posterior surface of the odontoid	150 (flexion) to 180 degrees (extension)	Spinal cord compression if less than 150 degrees
Welcher-basal angle	Intersection of nasion-tuberculum line with tuberculum-basion line	Less than 140 degrees	Increased with platybasia
Atlantodental interval	Distance between posterior surface of anterior arch of C1 and anterior surface of dens	3–5 mm	Widened in atlantoaxial instability
Atlanto-occipital joint axis angle	Intersection of lines drawn parallel to the atlanto-occipital joint	124 to 127 degrees	Increased with occipital condyle hypoplasia

### III. Results

This retrospective review concerns 22 children treated for AAI from October 1981 to June 2007 in paediatric neurosurgery with the collaboration of the paediatric orthopedic service of Marseille Timone children hospital. The study duration is 26 years with 22 patients including 10 boys (45%) and 12 girls (55%), with the age ranging from 5 to 17 years with mean age of 10. One 17-year-old patient was included in this study because he had been followed in pediatric neurosurgery all his life. All patients were treated in paediatric neurosurgery.

The AAI was diagnosed in 27,3% of patients after a sport accident, 18,2% after a road traffic accident, 13,6% incidentally (9,1% after radiological Down's syndrome investigation, 4,5% after a MRI for epilepsy investigation), 13,6% after the apparition of a neurological deficit (quadriparesia, quadriplegia), 9,2% after Abnormal head attitudes. Painless torticollis, cervical pain after excess pressure on the head, hearing strange noises during head movement, Hemiatrophy of right side were described in 4,5% of the patients. Table

Table 2. Circumstances of discovery

Circumstance of discovery	Number of patient	Frequency
Road traffic accident	4	18,2%
Sports accident	6	27,3%
Abnormal head attitudes	2	9,2%
Incidental discovery	3	13,6%

Painless torticollis	1	4,5%
Tetraplegia	2	9,2%
cervical pain after excess pressure on the head	1	4,5%
Cervical pain and tetraplegia	1	4,5%
hearing strange noises during head movement	1	4,5%
Hemiatrophy of right side	1	4,5%
	22	100,0%

### Past history

In the history 45,6% had no particular past history, 18,2% of patients had a malformation (Down's syndrome, klippel-Feil syndrome, cardiac malformation), 13,7% presented with quadriplegia and encephalopathy, 4,5% had a sports accident, 4,5% had a road traffic accident 4,5% had suffered cervical trauma antecedent, 4,5% scholastic delay, and 4,5% plagiocephaly and strabismus, (table 3)

**Table 3 Past history**

Antecedents		Number of patient	%
Malformations	Down's Syndrom	2	9,2%
	Klippel-Feil syndrom	1	4,5%
	cardiac malformation	1	4,5%
Neurological Deficit	Spastic quadriplegia , Encephalopathy post anoxic seize	2	9,2%

	Spastic quadriplegia, Encephalopathy, odontoid Fracture since 8month with Osteosynthesis in other hospital	1	4,5%
accident	Rugby	1	4,5%
	Road traffic	1	4,5%
Cervical trauma with orthopedic treatment, then trans oral Approach, 8 years before		1	4,5%
Scholastic delay		1	4,5%
Plagiocephaly and strabismus		1	4,5%
No past history		10	45,6%
Total		22	100,0%

### Clinical signs

The clinical examination of 31,9% of patients was normal, 31,9% of patients presented with quadriparesis, 13,6% quadriplegia, 9,1% torticollis, 4,5% quadriplegia and priapism, 4,5% headache and dizziness and 4,5% head abnormal attitude .(table 4)

**Table 4: clinical signs**

CLINICAL SIGNS	Number of patient	%
Quadriplegia	3	13,6%
Quadriparesis	7	31,9%
Quadriplegia +priapisma	1	4,5%
Torticollis	2	9,1%
Headache and dizziness	1	4,5%
Head abnormal attitude	1	4,5%
Normal examination	7	31,9%
TOTAL	22	100,0%

### Investigation:

This investigation associated standard with flexion- extension neck view. Open mouth craniovertebral X-rays were performed to best visualize odontoid lesions.

X-plain was done for 50% of patients and noticed luxation (8,9%), C1C2 malformation (8,9%), and C2 fracture, C2 dish-pan fracture , Odontoid agenesis, C1C2 anterior dislocation,

C1C2 dynamic instability, cervicocephalic junction malformation were each noticed for 4,5% of patients. 4,5% of patients had a normal result on x-rays but the diagnosis of instability for one patient had been established with MRI.(table 5)

**Table 5: radiography result**

Radiography	Number of patient	Effective
No radiography	11	50%
Normal	1	4,6%
Odontoid agenesis	1	4,6%
C1C2 anterior dislocation	1	4,6%
C2 dish-pan fracture	1	4,6%
C2 fracture	1	4,6%
C1C2 dynamic instability	1	4,6%
C1C2 luxation	2	8,9%
C1C2 malformation	2	8,9%
Cervicocephalic junction malformation	1	4,6%
Total	22	100%

**Computer tomography scan:** This investigation was performed in 50 % of patients, and identified C1C2 luxation (22,7%), odontoid fracture (9,3%). The bipedicular fracture, C2 dish-pan fracture, atlas malformation, and C1occipitalisation are each noticed in 4,5% of the patients. All of the CT scans were abnormal. (Table 6)

**Table 6: CT scan result**

CT SCAN	Number of patient	%
No Scan	11	50,0%
dish-pan fracture C2 and luxation	1	4,5%
Bipedicular fracture C2	1	4,5%
Odontoid fracture	2	9,3%
C1-C2 luxation	5	22,7%

Atlas malformation	1	4,5%
C1 occipital fusion	1	4,5%
TOTAL	22	100%

**Magnetic resonance imaging:** MRI was performed in 40,8% of the patients. C1 occipital fusion was described in 13,8% of patients. Congenital luxation, spinal compression, stenosis C0C7, spinal anomaly with C1 occipital fusion, spinal anomaly and foramen magnum stenosis with posterior compression, have each been described in 4,5% of the patients.

Only one person had radiography, CT scan and MRI. (Table 7)

**Table7: MRI Result**

<b>MRI RESULT</b>	<b>Number of patient</b>	<b>%</b>
NO MRI	13	59,2%
C1 occipital fusion	3	13,8%
Cervical spinal congenital stenosis C0C7	1	4,5%
Spina compression	1	4,5%
C2 comminutive fracture	1	4,5%
Spinal hypersignal	1	4,5%
Congenital luxation c1 C2	1	4,5%
Foramen magnum stenosis with posterior compression	1	4,5%
NORMAL	0	0,0%
<b>Total</b>	<b>22</b>	<b>100,0%</b>

### **Diagnosis**

The AAI in our study is caused by traumatism in 60 % of the patients and 40% by congenital malformation.

Traumatic lesions consist of luxation (36,4%), odontoidum fracture (13,7%) ,C1C2 dislocation(4,5%) and arcus posterior atlantis fracture(4,5%)

Congenital malformations lesion include C1C2 complexes (9,2%) , total C1 Occipital fusion (9,2%), C1 arcus posterior occipital fusion 4,5% sprain associated to C1 spinal bifida (4,5%),

Atlas malformation 4,5%), Odontoid dens agenesis (4,5%), Pseudo-arthrosis C1C2 and instability of dens (4,5%) (Table 8)

**Table 8: Diagnostic**

<b>Diagnostics</b>		<b>Number of patient</b>	<b>%</b>	<b>%Total</b>
<b>TRAUMATIC</b>	Luxation	8	36,4%	59,1%
	odontoid fracture	3	13,7%	
	C1C2 dislocation	1	4,5%	
	Arcus posterior atlantis fracture ,and pseudo-arthrosis	1	4,5%	
<b>CONGENITAL MALFORMATION</b>	C1C2 sprain and spina bifida C1	1	4,5%	40,9%
	C1 occipital fusion	2	9,2%	
	C1 C2 complexe malformation	2	9,2%	
	C1 arcus posterior Occipital fusion	1	4,5%	
	Atlas malformation	1	4,5%	
	Odontoid dens agenesis	1	4,5%	
	Pseudo-arthrosis C1C2 and instability of dens	1	4,5%	
<b>TOTAL</b>		<b>22</b>	<b>100%</b>	<b>100%</b>

### **Treatment**

The treatment of AAI was orthopedic in 14% of children and surgical in 86%

#### **Orthopedic treatment:**

Children with AAI treated by reduction and cephalic traction with 1/10 of their weight without anesthesia during a week were hospitalized in orthopedic department. All patient treated with reduction under anesthesia were treated in the neurosurgical department.

Among the patients treated orthopedically, 4,5% had C1C2 rotation-luxation, 4,5% presented C1-C2 luxation and 4,5% had odontoid fracture with coma .This orthopedic treatment was completed with a surgical collar for 3 months .. The patient with coma was died in reanimation from some others multiples lesions.

### Surgical treatment:

Surgery was the main therapeutic approach, 86,5% of our patient were treated surgically (72,8% posterior approach, 9,2% anterior approach, 4,5% combine anterior and posterior approach) and orthopedic in 13,5%.

Occipito cervical osteosynthesis (22,7%), C1C2 fixation wire (22,7%), athrodesis and osteosynthesis hook (13,7%) are the main posterior approach. Bipedicular fusion (4,5%) and posterior decompression (9,2 %) are the other posterior technical approaches.

Transoral odondoid fixation (9,2%) was the main anterior approach used. (Table 9)

(Fig 1, Fig2, Fig 3)

**Table 9: Technical approach**

Approach	Technical approach	Number of patient	%	Total%
Posterior approach	Osteosynthesis			72.8%
	-arthrodesis and osteosynthesis hook(fig1)	3	13,7%	
	- occipito cervical osteosynthesis (fig2)	5	22,7%	
	- C1C2fixation WIRE(wire steel)(fig3)	5	22,7%	
	- bipedicular fusion	1	4,5%	
	Decompression	2	9,2%	
Anterior approach	Transoral fixation	2	9,2%	9,2%
Combined Posterior and anterior approach	occipito cervical Osteosynthesis, ablation, etrier cruschfiel, and transoral decompression and fixation	1	4,5%	4,5%

Orthopedic treatment	odontoid fracture with coma	1	4,5%	13,5%
	C1-C2 luxation	1	4,5%	
	C1C2 rotation-luxation	1	4,5%	
TOTAL		22	100,0%	100,0%

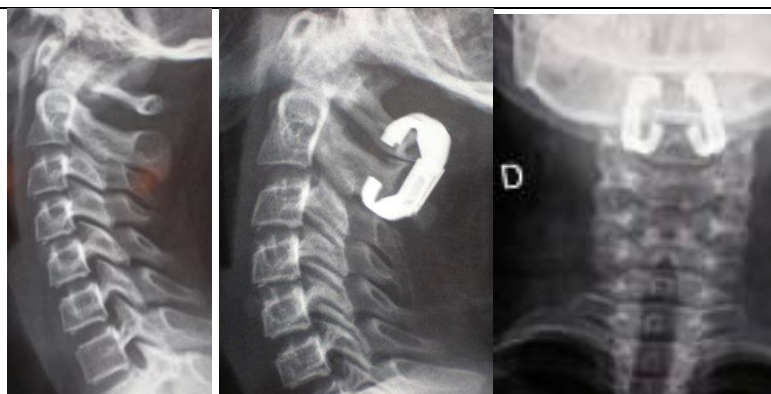


Fig 1 AAI Treated by osteosynthesis hook



Fig 2 C1 C2 instability with cervico vertebral fixation



Fig 3 C1 C2 instability with CIC2 wire steel fixation

### Complications and follow up

Complications were observed in 7 patients (33% of patients) and consisted of infections 3 patients including pneumococcal meningitis, lung infections, urinary tract infections and occipital bedsores, one patient had medulla oblongata compression after posterior approach, one patient with basilar impression, one other patient laterocollis attitude, one patient died as a result of their multiple traumatic lesions.

Treatment of medulla oblongata compression consisted of anterior decompression. Basilar impression was treated by C1 decompression and C2 arthrodesis and jacket halo.

15 patient (68,77% ) of patients had no further complications after 15 years of follow up,

5/22 ( patients (27, 27% ) had neurological deficits

One maintained their quadriplegia,

3 patients (13, 63%) maintained their quadriparesis

One patient presented with 36 hours of torticollis following on C1C2 luxation and suffered residual hemiparesis with a power of 4/5, 15 years after treatment.

One patient suffered from a motor deficiency.

Evaluation of the 3 on 22 patients presenting with quadriplegia at the first examination after 5 years demonstrates a complete regression in half.

#### **IV. Discussion**

AAI affects all ages. In our study in children, number of the new cases for this illness, the incidence is approximately 0, 84%. In some reviews the incidence in children varies from 1 to 10% (11). The anatomical and biomechanical aspects of immature craniovertebral junction, particularly the atlas and axis, are responsible for characteristic spinal patterns in this age group. A disproportionality large head, relatively underdeveloped neck musculature, and shallow occipital-atlas-axis articulation all predispose to AAI (6).

The clinical presentation of neurological deficits can be monoparesis, hemiparesis, paraparesis, and quadriplegia. One-third of patients have no symptoms and are neurologically free on examination.

At approximately 7 to 8 years old, vertebral form approximates that of adults'. Ligaments and articular capsules become resistant, the articular facets develop vertically and muscles become more developed. Concomitantly in this age, the child becomes more active. This can explain the mean age of our study being 10 years. 45% of patients are 6 to 10 years old. Sport accidents are most common presentation, (27,3%), followed by road traffic accidents (18,2%), 18,2 % of patients had a known congenital malformation syndrome, including: Down's syndrome, mitral congenital insufficiency, Klippel-Feil's Syndrome. The congenital malformation was the principal etiology of the AAI. In some studies, this lesion affects 10 – 20% individuals with Down syndrome (17). The ligamentous laxity associated with atlantoaxial subluxation predisposes to this instability, particularly in Down's syndrome. MENEZES and RYKEN described this mechanism in 15-20% of Down's syndrome patients.

In AAI, the excessive mobility of occipito-atlantoaxial joint may cause repeated trauma of the anterior spinal artery, perforating vessels of the upper spinal cord and the medulla oblongata as well as the vertebral artery. This can lead to spasm or occlusion and attendant neuronal deficit, causing hemiparesis, quadriparesis or paraparesis (1). In our study, the clinical exam was normal for 31,9% of patients. Asymptomatic AAI is described in the literature with a prevalence varying from 14 to 20% in Down's syndrome (17). This incidence cannot be compared with our results, since only Down's syndrome patient were included.

The clinical signs are dominated by quadriparesis (31,9%), quadriplegia (13,6%) and the torticollis (9%). The other neurological signs were Head abnormal attitude, Headache and dizziness was described in only one person. The clinical deficit particularly the focalization sign can be the emergency in the C1 C2 instability, sequel may be irreversible or lethal.

Imaging is the main diagnostic exam. In the AAI we studied, standard X-Rays were done for 50% of patients, computer tomography for 50% and the MRI for 40,8% . The standard X-Rays were normal for the 4,5% who presented with odontoid agenesia on CT (.Patrick et al); In their study related 9% of odontoid non union diagnosis on CT, had standard normal X-Rays .MRI is the best exam to analyse the musculo-ligament structures at the spine cord, for bony analysis standard X-Rays and CT scan are more specific and more sensible.

The lesions observed included traumatic lesions (59,5%) and congenital lesions (40,9%).The luxation was described in 36,4% and a C1C2 fracture in 18,5%,C1C2 dislocation in 4,5% ; These main lesions of AAI are due to the immaturity and fragility of the muscles, ligament, bones, and joints.

Surgery was the main treatment.being performed in 86,5% of patients with,72,8% had a posterior approach. 13,5%.received orthopedic treatment . In the Scoll et al study regarding AAI, orthopedic treatment was realized in 67% of the patients .This difference is due to the restriction of our inclusion criteria to that patient who received reduction under general anesthesia; their study includes all children presenting the C1C2 instability (11).

Surgical treatment was completed with Minerva collar; this maintains the ligament-muscle-skeleton system during the healing period and prevents the cervical cyphosis.

Daniel R. Fassett et al. when studying odontoid synchondrosis in children, initially treated their patients with reduction and external stabilization, with a Halo Vest,.A fusion rate of 93% was found after 3 to 6 months. This technique was very uncomfortable because of this external fixation. Surgery was performed after 6 months if there was nonunion odontoid fracture. In our protocol, surgery is the initial treatment after diagnosis of the odontoid fracture, and our all patients have complete fusion (14).

The follow up was marked by a 33% complication rate (infection, medulla oblongata compression, basilar impression, laterocollis and death). Complication rates were higher when neurologic deficits preexisted before surgical treatment. Only 50% of the patients with quadriplegia had recovered from their neurological deficit at the 5 years of follow-up. Hence a neurological deficit must be treated as an emergency. Our morbidity rate was 33% after surgery is the same as the Patrick Platzer's et al. although their study included both adults and

the elderly. Either in children or in adults, AAI carries with it a high rate of postoperative complications.

## **V. Conclusion**

AAI is rare in children with a prevalence of 0,84% every year in our department. It may occur in a patient presenting after a sports or road traffic accident, or in those with a known congenital malformation (Down syndrome, Klippel-Feil's Syndrome...). Clinical signs are poor but sometimes neurological deficits occur (quadriparesis and quadriplegia). The standard X-Ray, CT Scan and MRI are the main paraclinical investigations. AAI is to traumatic in 59,1% and congenital malformation in 40,9%.The treatment is surgical to 86,5% of patient with a posterior, anterior ,or combined anterior and posterior surgical approaches. Treatment is also to 13,5% under anesthesia after the failure of reduction without anesthesia. The complication 33% of the patients) are described as Infections, medulla oblongata compression and laterocollis are the main complications. Quadriparesia and quadriplegia are the most serious complications, 3 patients maintained their quadriparesis 26yers after treatment and are dependent from society

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