

1 **Review Article**

2 **Upper crossed syndrome: trends and recent advances in physiotherapy**

Comment [SZ1]: Title can be more specific "Trends and recent advances in the Physiotherapy Treatment."

3 **Abstract:**

4 **BACKGROUND:** how does it affect the quality of life of the patient?

Comment [SZ2]: Abstract Background is too long . Shorten it and make it specific . Can add points in introduction instead.

5 Upper Crossed Syndrome (UCS) is also discussed as proximal or shoulder crossed
6 syndrome. According to Vladimir Janda, UCS is characterized by the tightness of the levator
7 scapulae muscle, upper trapezius muscle, on dorsal sides crosses with tightness of pectoralis
8 major muscle and minor muscle. Weakness of the deep cervical flexors ventrally crosses
9 along with weakness of the middle and the lower trapezius. The weakness and tightness lead
10 to postural imbalance. In addition to this, it creates postural patterning of rounded shoulder
11 forward head posture, loss of cervical lordosis and increased kyphosis. These can lead to
12 overall changes postural adjustments in the pattern of upper quarter of the body.

Comment [SZ3]: Overall changes

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Comment [SZ4]: This paragraph is more suitable for Introduction than the abstract.

13 What are the available treatments? Stretching, strengthening, myofascial release, postural
14 relaxation exercise, electrical stimulations, and deep neck flexors activations are the most
15 used techniques. Recent trends are also shown some benefits in terms of time efforts, and
16 prognosis. Those are dry needling, corrective games, scapular stabilization exercise,
17 physio ball exercise, and PNF techniques, reference?

Comment [SZ5]: Add reference. Is dry needling a valid and reliable measure in the treatment of Physiotherapy? Also physio ball ? And how

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18 **MATERIALS AND METHODS:** out of 17 articles screened 8 studies are included in this
19 review according to the inclusion and exclusion criteria. The inclusion criteria are forward
20 head posture, students, articles from the year 2011 to 2021, age of 20-50 years old, an article
21 published in English languages, articles available in full text book and RCT'S. The exclusion
22 criteria are Persons without forward head posture, articles before 2011, age less than 20 years
23 and more than 50 years old, articles published other than English languages, and text not
24 available in full relevant studies were retrieved through Cochrane, PubMed, google scholar
25 and Embase databases from the year 2011 to 2021. The keywords used for the search were
26 upper crossed syndrome, forward head posture, rehabilitation, postural disorders, and
27 rounded shoulder. Total 8 randomized controlled trials were included in this study according
28 to the inclusion criteria.

Comment [SZ6]: Google scholar is not a database! Which can be relied upon if you are using other reliable databases which are of high quality

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Comment [SZ7]: Keywords can be described in the methodology elaborately instead of abstract.

29 **Result:** a total of 17 articles were screened. 8 met the inclusion criteria.

Comment [SZ8]: Results are what you is your inference and not your extraction methods.

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30 CONCLUSION: all physiotherapy interventions like strengthening and stretching, resistance
31 exercises, postural correction exercises, and ROM exercises have a possible effect on the
32 prevention and treatment of upper crossed syndrome. Also, recent trends like dry needling,
33 ~~physio-ball~~ exercises, yoga therapy, myofascial trigger release, eccentric muscle energy
34 technique, Kinesio taping, and IFT and EMG activity has shown beneficial result in UCS.

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35 Keywords: upper crossed syndrome, forward head posture, physiotherapy in upper crossed
36 syndrome, postural disorders, and rounded shoulder.

Comment [SZ9]: This is not a keyword!

37 1. INTRODUCTION:

38 UCS is also known as 'cervical crossed syndrome' was coined by Vladimir Janda. There are
39 two major categories of derangements, tightness, and weakness. A tight muscle included of
40 the upper trapezius, pectoralis major & levator scapula, and a weakening group comprises of
41 rhomboids, middle trapezius, and lower trapezius, serratus anterior, and a deep neck flexor,
42 frequently the scalene muscles ⁽¹⁾. The condition is defined as a postural disorder or postural
43 imbalance with an overactive upper trapezius and pectoralis musculature ⁽²⁾. Also, there is
44 inhibition of the middle and lower trapezius, which results in mainly winging of the scapula,
45 elevated, protracted, and abducted scapula ⁽³⁾.

46 There can be a muscular imbalance between tonic and phasic muscles. Among which tonic
47 muscles go for tightness and phasic muscles go for weakness based on over facilitations and
48 lower activation respectively ⁽⁴⁾.

49 UCS is a direct effect of flexor-dominated postures. People who present with the upper
50 crossed syndrome will have clinical features of a forward head posture, slouching of the
51 thoracic spine (rounded upper back), protracted and elevated shoulders, scapular winging,
52 and decreased flexibility of the thoracic spine ⁽⁵⁾.

53

54 ~~How this will affect the patient?~~

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56 Patients frequently complain of neck pain, headache and interscapular pain. This form of
57 imbalance creates cervical and thoracic joint dysfunction, predominantly at the
58 atlantooccipital joint region, C4-C5 segment, cervicothoracic joint, T4-T5 segment and
59 glenohumeral joint, strain, intersegmental joint dysfunction, discogenic pain, rotator cuff
60 syndrome, degeneration, vertigo, costo-vertebral dysfunction, thoracic outlet syndrome, and
61 TMD ⁽⁶⁾. Not only can UCS prompt to postural changes in the upper back (it is also called as

62 | hyperkyphosis of the thoracic spine region) which will also lead to ~~asthma~~ and respiratory
63 | problems ⁽⁷⁾. Proprioceptive senses is having two significant roles in the neck region: they
64 | give information on cervical spine movement pattern or motion to the central nervous
65 | system, and posture and they attain stability by cervical reflexes and protect the cervical
66 | spine.

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67 | Biomechanical variations and posture imbalance will result in the early onset of osteoarthritis
68 | in the lower cervical spine and upper thoracic spine region. Another probable complication of
69 | UCS is it can lead to functional shoulder impingement ⁽⁸⁾.

70 | Where does the examination starts from?

71 | Assessment of UCS starts with observation ⁽⁸⁾. The accurate standing posture, when viewed
72 | from the lateral side, in a plumb line normally passing through the ear, shoulder region,
73 | greater trochanter, and slightly anterior to the lateral malleoli. Postural evaluation of patients
74 | with UCS will express a forward head and neck posture with upper cervical lordosis,
75 | protracted and elevated shoulders, thoracic hyperkyphosis and scapular winging ⁽⁹⁾.

76 | Hypertonicity may precipitate in upper trapezius, levator scapulae, pectoralis major, and
77 | Sternocleidomastoid ⁽²⁾. On palpation UCS tenderness or trigger point activity will be present
78 | in the above-mentioned muscles as well as the simultaneously weak rhomboids, serratus
79 | anterior, middle trapezius & lower trapezius, deep neck flexors and scaleneus. Four to six
80 | sessions of MFR therapy are frequently recommended before the stretching treatment starts.

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Comment [SZ10]: Reference to this statement is necessary

81 | The treatment must involve all the muscles linked with UCS. Functional assessment of neck
82 | flexion is evaluated by “neck flexion test”.

83 | Patients with upper crossed syndrome will often demonstrate abnormal shoulder flexion and
84 | abduction. Arthokinetic reflex also should be analyzed at the time of testing ⁽¹¹⁾. The normal
85 | sequence for shoulder abduction is the progressive firing of the supraspinatus, deltoid,
86 | infraspinatus, middle and lower trapezius, and contralateral quadratus lumborum muscle.
87 | Patients with upper crossed syndrome commonly demonstrate early shoulder elevation. Also,
88 | patients have weak scapular stabilizers (serratus anterior) ⁽⁶⁾.

89 | What are the conventional physiotherapy treatments?

90 | Stretching will improve the capability to rotate a single joint or sequences of joints smoothly
91 | and effortlessly through an unrestricted, pain-free range of motion, joint integrity, muscle
92 | length, and periarticular soft tissue extensibility all interact to determine flexibility ⁽¹⁰⁾.

93 | Strengthening the weak muscles will bring back into good posture and helps in attaining good

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94 strength. Position maintenance, Bruegger's position, and postural realization exercise should
95 be thought to the patient so that they can maintain the position by their own. A myofascial
96 release is a manual therapy technique, involves the application of a low load, long-duration
97 stretch to the myofascial complex involved in UCS, proposed to restore optimal length,
98 decrease pain, and improve function. Helps in getting rid of the trigger points⁽¹¹⁾. The
99 proprioceptive neuromuscular facilitation technique's main goal of the treatment is
100 neuromuscular re-education. Some of the PNF techniques used were contract-relax, hold
101 relax, and rhythmic initiation. Recent literature says these treatments are beneficial. We aim
102 to produce a quality review on upper crossed syndrome and the recent trends in physical
103 therapy⁽¹²⁾.

Comment [SZ11]: Reference for this terminology needed

104 What are the recent trends?

105 According to recent reviews, Kinesio taping helps in improving the craniovertebral angle and
106 forward shoulder angle. In a tonus-decreasing muscle application, the elastic stretch tape,
107 kinesio taping exerts tension in the direction of insertion of the muscle to the fixed base and
108 similarly displaces the skin in the same direction. This brings about support of muscle
109 contraction. This causes a reduction in muscle contraction⁽¹⁾. yoga therapy is also showing
110 recent advances in correcting upper crossed syndrome. It affects the cervical, shoulder, and
111 thoracic flexion angles in people with UCS. Generally, maintaining such activity and a
112 healthy lifestyle through yoga exercise can be the main element in correcting the UCS⁽¹³⁾.
113 Corrective exercises program on EMG activity of scapular muscles and neck muscles
114 decreases the activity of SCM and upper trapezius muscles, serratus anterior and lower
115 trapezius ratio, increasing activity of serratus anterior and lower trapezius. it can be stated
116 corrective exercise (stretching, strengthening, and stabilization exercises) is safe to improve
117 the muscles of the upper quadrant⁽¹⁴⁾. Physioball exercise shows significant improvement in
118 upper crossed syndrome and decreases the kyphotic posture too⁽¹⁵⁾.

Comment [SZ12]: Reference 1 is after reference 11

119

120 Study selection and data extractions:

121 Inclusion criteria: randomized controlled trials, articles published in English language,
122 population age ranges between the age group 20-50 years old, patients with forward head
123 posture, data collected in between the years 2011-2021, RCT'S and most of the studies done
124 in students.

125 Exclusion criteria: studies not related to upper crossed syndrome were excluded, persons
126 without forward head posture, articles before 2011, age less than 20 years and more than 50
127 years old, articles published other than English languages, and text not available in full

Comment [SZ13]: Please describe the extraction methodology

128
129 **DISCUSSION:**

Comment [SZ14]: Result section is missing .
Tables with information of RCTs can be helpful and interesting to see what these RCTs offer? Results are hidden

130 upper crossed syndrome is one of the most frequent conditions occurring among young adults
131 and persons who work in a postural imbalance pattern for a longer time. according to Global
132 Burden Of Disease (GBD) 2010, neck pain is 21st amongst of overall burden of disease. over
133 usage of myofascial or stressed myofascial where it develops adhesion and becomes trigger
134 points ⁽²⁾. muscle imbalance can directly affect the body's normal alignment and causes
135 postural abnormalities. commonly seen in people who sit for extended period of time or in
136 people who apply recurrent overload patterns to the upper girdles. Research has shown that
137 strengthening, stretching, MFR, taping, IFT, dry needling, Bruegger's position maintenance
138 can improve the entire posture and bring back the imbalanced posture into normal alignment
139 ⁽¹⁶⁾. Among all the articles selected these few shows recent advances and trends those are,
140 Shakeel Ahmed et. Al (2019) has conducted an RCT in which the experimental group
141 received myofascial trigger point release technique for 1 session per week and continued for
142 6 weeks and the control group received self-stretching technique of upper trapezius muscles,
143 pectoralis muscle and levator scapula muscle, hold for 10 - 15 seconds of 10 repetitions in
144 each session along with the experimental group exercise. That patients in the control group
145 improved pain and disability more than group a with pain and disability and myofascial
146 trigger point release along with self-stretching is an effective method compared to myofascial
147 trigger point manual release alone in UCS and it is shown to be beneficial' Amrutkwar
148 rayjade et.al (2020) randomized into two groups a received pectoralis major inhibitory
149 technique, middle and lower trapezius facilitation. Serratus anterior and Deep neck flexors
150 strengthening, along with a hot pack for 15 minutes can also be given. Group b was given a
151 hot pack for 15 minutes, IFT for 20 minutes for upper back and deep neck flexors, serratus
152 anterior strengthening, and stretching exercise. The outcome measures used are visual analog
153 scale, craniovertebral angle & forward shoulder angle measurements. The study showed that
154 there was a significant improvement in craniovertebral angle and forward shoulder angle
155 within the pre and post-group interventions and the experimental study ⁽¹⁾. Syeda nida gillani
156 et.al (2020) conducted a study. The experimental group received conventional TENS was

157 applied for up to 10-20 minutes. Soft tissue tension and pain were treated using either TENS
158 or hydrocollatoral pack & infrared (IR) light for 10 minutes. The control group received
159 similar as the experimental group A treatment was given along with TENS, IRR & cervical
160 segmental mobilization. Both the technique used was found to be equally effective in
161 improving cervical range of motion, decreasing pain, and dropping neck disability⁽¹⁵⁾. Arif
162 Ali Rana (2020) done a study where experimental group received conventional physiotherapy
163 along with strengthening exercise for deep neck flexors, serratus anterior, lower trapezius and
164 rhomboids, 2 sets of 10 reps per day and stretching exercise for tightened muscles, 20 sec hold
165 for 5 reps. Also, hot pack for 20 minutes in the painful areas, the control group received
166 conventional physiotherapy with MET on upper trapezius & levator scapulae muscles for 5
167 reps using at most isometrics' contractions. Vas and neck disability index were used as
168 outcome measures. The result of the study showed decreasing in pain along with MET was
169 effective in decreasing pain during 1st half of the treatment in comparison with the 2nd half
170⁽¹⁶⁾. Rasoul arshadi et.al (2019) conducted a study on patients whose craniocervical angle and
171 forward shoulder angle more than 46 degrees and 52 degrees. the experimental group
172 received stretching, strengthening and stabilization exercise and control group received
173 routine physiotherapy care. The outcome used was EMG for upper and lower trapezius,
174 serratus anterior and sternocleidomastoid. results found that eight-week corrective exercise
175 succeeded in decreasing activity of SCM and upper trapezius muscles, upper
176 trapezius/serratus anterior and upper trapezius/lower trapezius ratio, increasing activity of
177 serratus anterior and lower trapezius⁽¹⁷⁾.

178

179 **Conclusion:** the quality of the article is determined based on the criteria that; the article
180 requires minimum score 5 out off 11. Among all the review articles 8 studies are quality
181 researches with level 2 evidence and systematic review in this field is warranted. the
182 remaining areas require high quality articles to determine the effect of treatment program.

183 Limitations: although, this review included only the RCTs. Another limitation is that the
184 reviews have not focused on the prevalence of UCS among students and desktop workers as
185 the condition is mostly seen in these populations.

186

187

188 **Reference:**

189

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Comment [SZ15]: redo

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Comment [SZ16]: Not in format

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Comment [SZ20]: not in format