

Synthesis and antibacterial activity of hydroxy and chloro-substituted chalcone derivatives

Comment [H1]: activities

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Abstract

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Chalcones have been found to have a wide range of biological activities. As a result, we synthesised 15 chalcones (3a-3o) with both hydroxy and chlorine substituents and studied them by using spectroscopic methods. The compounds were tested for antibacterial efficacy against *Staphylococcus aureus*, *Bacillus subtilis*, *Escherichia coli*, and *Proteus vulgaris*, among other harmful microorganisms. The compounds have moderate to high antibacterial activity, among them heteroaromatic ring (3m, 3n, and 3o) containing compounds having more activity than the conventional benzyl penicillin. The chemical 3m having the pyridinyl compound displayed the maximum activity against *Staphylococcus aureus*, *Bacillus subtilis*, *Escherichia coli*, and *Proteus vulgaris*, with zone of inhibition (in mm) values of 27.5, 20.16, 28.85, 11.11, 22.05, 16.16, and 23.18, 17.17, respectively. The synthesized compounds could be used as lead molecules in the development of novel antibacterial medicines.

Comment [H4]: This sentence should be rewritten.

Comment [H5]: hydroxyl

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Comment [H7]: activities

Comment [H8]: containing compounds (3m, 3n, and 3o)

Comment [H9]: stronger or higher or better

Comment [H10]: activities

Comment [H11]: The substance 3m having a pyridine ring in the structure

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Key Words: Chalcone; Spectroscopic methods; Antibacterial activity; Heteroaromatic; Benzyl penicillin.

Introduction

Natural products contain a diverse range of secondary metabolites, including flavonoids and isoflavonoids, which have been linked to a significant number of medications used to treat microbial infections to cancer [1]. Chalcone is a chemically open-chain flavonoid with two aromatic rings linked by α , β -unsaturated propenone [2]. Plants with high in flavonoid derivatives should be included in our diet on a regular basis are considerable health benefits. A part from that, chalcones are important in the treatment of a variety of disorders [3]. Chalcone's chemical template has the ability to participate in a variety of metabolic reactions and physiological processes that provide good impact on our health [4-6].

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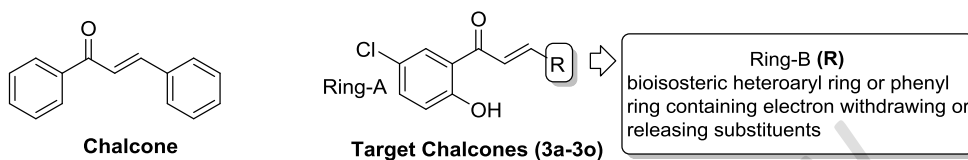
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Chalcones possess different activities like antibacterial [7-10], antifungal [11-13], anticancer [14-16], anti-inflammatory [17-19], antioxidant [20-22], cytotoxic [23-24], antimalarial [25-27] etc.

Furthermore, the structures of these molecules are straightforward, and they can be easily produced in the laboratory. In response to these two characteristics of chalcones, academic and industry researchers have been working hard to develop, manufacture and test chalcones with a variety of substituents and changed versions in order to produce novel compounds with good biological functions. Based on the foregoing, we present the synthesis and

37 antibacterial evaluation of 15 chalcone derivatives (**3a-3o**) containing chlorine and hydroxy
38 substituents on one phenyl ring portion (ring-A) and another phenyl ring portion (ring-B)
39 replaced with either a bioisosteric heteroaryl ring or a phenyl ring containing electron
40 withdrawing or releasing substituents in order to assess the influence of the chalcone on
41 antibacterial activity (Figure 1).



43 Figure 1. General structure of chalcone and the structure of target chalcones (**3a-3o**)

44 Materials and Methods

45 **General:**

46 The melting points of all 15 target compounds were determined using a Boetius melting point
47 apparatus, and the ¹H NMR and ¹³C-NMR spectra were acquired using Bruker 400 Avance
48 NMR spectrophotometers at 400 and 100 MHz for the ¹H and ¹³C nuclei, respectively, and
49 the results were reported as chemical shifts for all 15 target compounds (ppm). The FT-IR
50 was scanned on a Bruker alpha-T and the wave numbers were represented in cm⁻¹. The
51 mass spectra were scanned using an Agilent LC-MS spectrometer (Agilent technologies,
52 USA). To monitor the chemical reactions and determine the purity of the compounds, a
53 precoated silica gel-G TLC (Merck) with a 20-30 percent ethyl acetate-hexane mobile phase
54 was employed in conjunction with a precoated silica gel-G TLC (Merck). A UV light was
55 used to watch the TLC plate in action.

56 **Synthetic protocol:** 1 mmol of 5'-chloro-2'-hydroxy acetophenone and 1 mmol of substituted
57 aldehydes were dissolved in 7.5 mL of ethanol. It was necessary to add dropwise to the
58 aforementioned reaction mixture 7.5 mL of 50 percent alcoholic KOH, which was then
59 allowed to stand at room temperature for 24 hours. The necessary chalcones were precipitated
60 by acidification with a 1:1 solution of strong hydrochloric acid and water after which the
61 acidification was stopped (**3a-3o**). Once the chalcones had been vacuum filtered, they were

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Comment [H19]: Bruker Avance 400

Comment [H20]: Company and country of origin should be provided

Comment [H21]: Company and country of origin should be provided

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Comment [H25]: 5'-chloro-2'-hydroxyacetophenone

62 washed in cold water, dried, and recrystallized in either ethanol or chloroform to complete the
 63 process (Scheme 1).

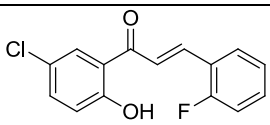
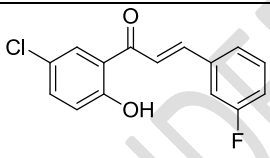
64 Table 1: The physicochemical and spectral features of the compounds are reported

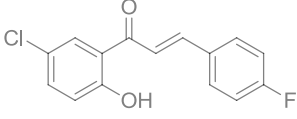
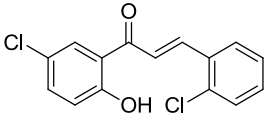
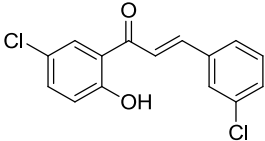
65

Comment [H26]: These chemical description should be reported in text format, not in table format (please refer to ACS format of chemical description)

Comment [H27]: ¹³C-NMR spectra should be provided.

Comment [H28]: Coupling constant of H-F, C-F should be provided for fluorine containing compounds.

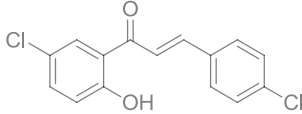
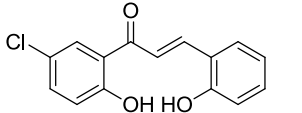
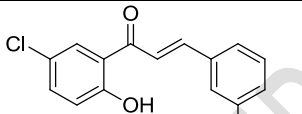
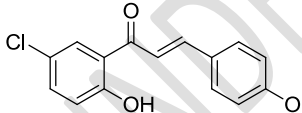
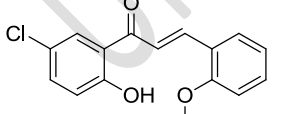
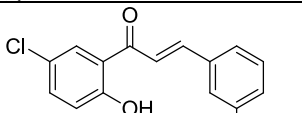
| Structure | Color and Yield (%) | m.p (°C) | Solvent for recrystallization | FT-IR (cm ⁻¹) | ¹ H NMR (ppm) | LC-MS |
|---|---------------------|----------|-------------------------------|---|---|--|
|  (3a) | Yellow 74% | 161 | ethanol | 3344 (Ar-OH), 1717 (intense conjugated C=O band), 1610 (str, CH=CH, conjugated), 1215 (C-F), 668 (C-Cl) | 12.22 (s, Ar-OH), 7.24-7.68 (m, 7H, Ar-H), 7.17 (d, 1H, H _α , J = 16.1 Hz), 7.09 (d, 1H, H _β , J = 16 Hz) | m/z 276.6 9 (M ⁺ , 99.06, 278.9 (M+2, 33.02) |
|  (3b) | Yellow 84% | 152 | ethanol | 3344 (Ar-OH), 1717 (intense conjugated C=O band), 1611 (str, CH=CH, conjugated), 1214 (C-F), 771 (C-Cl) | 12.31 (s, Ar-OH), 7.24-7.69 (m, 7H, Ar-H), 7.17 (d, 1H, H _α , J = 16.3 Hz), 7.10 (d, 1H, H _β , J = 15.8 Hz) | m/z 276.6 9 (M ⁺ , 99.06), 278.6 9 (M+2, 33.02) |

| | | | | | | |
|--|--------------------|-----|---------|---|---|---|
|  <p>(3c)</p> | Yellow w 85% | 164 | ethanol | 3200 (Ar-OH), 1720 (intense conjugated C=O band), 1623 (str, CH=CH, conjugated), 1215 (C-F), 771 (C-Cl) | 12.11 (s, Ar-OH), 7.52 (d, 1H, H _β , J = 16 Hz), 7.26 (d, 1H, H _α , J = 16 Hz), 7.24-7.69 (m, 7H, Ar-H) | m/z 276.6 9 (M ⁺ , 99.08) , 278.6 9 (M+2, 33.03) |
|  <p>(3d)</p> | Yellow w 75% | 175 | ethanol | in literature[28] | <p>Comment [H29]: The measured values should be provided, not the cited ones.</p> | |
|  <p>(3e)</p> | Yellow w 80% | 175 | Ethanol | 3344 (Ar - OH), 1718 (intense conjugated C=O band), 1622 (str, CH=CH, conjugated), 780 (C-Cl), 699 (C-Cl) | | |

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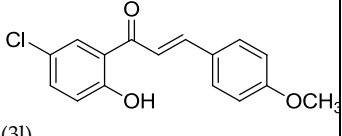
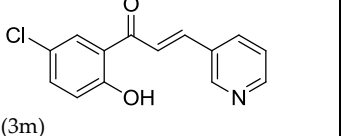
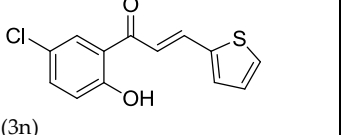
| | | | | | | |
|---|--------------------|-----|------------|--|---|---|
|  (3f) | Yellow w 82% | 175 | ethanol | [In literature[29]] | | |
|  (3g) | Yellow w 80% | 198 | chloroform | 3255 (Ar-OH), 1756 (intense conjugated C=O band), 1665 (str, CH=CH conjugated), 795 (C-Cl) | 12.35 (s, Ar-OH), 7.84 (d, 1H, H _β , J = 16 Hz), 7.57 (d, 1H, H _α , J = 16.5 Hz), 6.72-8.08 (m, 7H, Ar-H), 5.45 (s, Ar-OH) | m/z 274.70 (M ⁺ , 99.09), 276.70 (M+2, 33.03). |
|  (3h) | Yellow w 75% | 194 | chloroform | [In literature[30]] | | |
|  (3i) | Yellow w 70% | 196 | chloroform | [In literature[31]] | | |
|  (3j) | Yellow w 85% | 125 | chloroform | [In literature[31]] | | |
|  (3k) | Yellow w 80% | 122 | chloroform | 2823 (- OCH ₃), 3500 (Ar -OH), | 12.33 (s, Ar-OH), 7.85 (d, 1H, H _α , J = | m/z 288.0 6 (M ⁺ , |

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|---|--------------------|-----|------------|---|--|--|
| | | | | 1780 (intense conjugate d C=O band), 1685 (str, CH=CH conjugate d), 775 (C-Cl) | 16.7 Hz), 7.75 (d, 1H, H_β , $J = 16$ Hz), 6.56-8.08 (m, 7H, Ar-H), 2.44 (Ar-OCH ₃) | 99.08), 290.06 (M+2, 33.02). |
|  <p>(3l)</p> | Yellow w 85% | 124 | chloroform | In literature[32] | | |
|  <p>(3m)</p> | Yellow w 95% | 112 | ethanol | 3221 (Ar-OH), 1787 (intense conjugate d C=O band), 1684 (str, CH=CH conjugate d), 1258 (str, C=N conjugate d), 775 (C-Cl) | 12.28 (s, Ar-OH), 8.06 (d, 1H, H_β , $J = 16.8$ Hz), 7.08-8.84 (m, 7H, Ar-H), 6.96 (d, 1H, H_α , $J = 16$ Hz) | m/z 259.69 (M ⁺ , 99.06), 261.69 (M+2, 33.02). |
|  <p>(3n)</p> | Yellow w 95% | 185 | ethanol | In literature[33] | | |

Comment [H36]: The measured values should be provided, not the cited ones.

Comment [H37]: The measured values should be provided, not the cited ones.

79 dissolved to maintain pH of 7.2. As soon as the agar was dissolved, the medium was
80 transferred into 25 mL conical flasks and placed in the refrigerator. A 20-minute autoclave
81 sterilization at 121°C and 15 lbs/sq. inch pressure sterilized the medium and sterile water
82 used in the experiment. Sterilization of the petri plates, test tubes, pipettes, and borers
83 required for the experiment was accomplished using dry heat sterilization using a hot air
84 oven. Cultures of the various organisms (18 hours old) were collected, and sterile water was
85 used to form a suspension of the microorganisms in order to test their viability. This solution
86 was used as an inoculum later on the amount of bacteria present in each sample was
87 determined using the pour plate method. It was necessary to place the inoculated agar media
88 in sterile petri dishes with a diameter of 10 cm and allow it to solidify before continuing. In
89 DMSO, solutions of test substances at concentrations of 0.1 µg/mL were generated. Borer in
90 the suitable media was utilized to manufacture the 5 mm diameter cups. Five wells were
91 formed on each plate. Three wells were used for testing substances: one for standard
92 compounds, one for control compounds, and one for a combination of both. It was necessary
93 to place sample into each well before placing the plates in the refrigerator for 45 minutes to
94 allow diffusion to take place. After an 18-hour incubation period at 37°C, the plates were
95 examined for the presence of inhibitory zones. In order to decrease the possibility of
96 experimental errors, the experiments were carried out in triplicate on the same day and under
97 the same conditions. In order to determine the values of the zone of inhibition, a vernier was
98 used, and the results were presented as a mean of three values with standard deviation.

Comment [H43]: should be rewritten

99 Results and discussions

100 **Chemistry:** Chalcones were produced by the Claisen-Schmidt condensation of 5-chloro-2-
101 hydroxy acetophenone with substituted aryl aldehydes and unsubstituted heteroaryl
102 aldehydes, which were then purified (3a-3o). Recrystallization was used to purify all of the
103 compounds, with either ethanol or chloroform being used as the recrystallizing solvent to
104 achieve maximum purity. The structures of the compounds were investigated by using FT-IR,
105 ¹H NMR, and mass spectroscopy techniques, among others. It is possible to detect two
106 diagnostic absorption bands in the FT-IR spectra of compounds, with wave numbers of 1610-
107 1685 cm⁻¹ and 1704-1787 cm⁻¹, respectively, corresponding to -C=C- and -C=O,
108 respectively. On the other hand, the vinylic protons (H and H) of chalcones revealed two
109 distinct doublet peaks in their ¹H NMR spectra, with chemical shift values of 6.96-7.95 and
110 7.09-8.06 ppm, respectively, in their NMR spectra. A singlet peak was observed for the other
111 aromatic protons, with chemical shift values ranging from 6.72 to 8.06 ppm, while a singlet
112 peak was observed for the -OH proton, with a chemical shift value of more than 12 ppm. M+
113 peaks were found on the spectra of all the compounds, which matched to their molecular
114 weights, as well as an isotopic M+2 peak, which corresponded to the chlorine isotope (³⁷Cl)
115 atom present in these molecules.

Comment [H44]: 5-chloro-2-hydroxyacetophenone

Comment [H45]: characteristic

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Comment [H47]: En-dash symbol should be used, check throughout the manuscript.

Comment [H48]: Check this!!!

Comment [H49]: mass spectra

Comment [H50]: should be checked for compounds 3d-3f

Comment [H51]: Gram-positive, check throughout the manuscript

Comment [H52]: Gram-negative, check throughout the manuscript

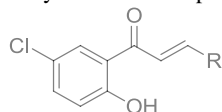
Comment [H53]: Gram-positive, check throughout the manuscript

Comment [H54]: Gram-negative, check throughout the manuscript

Comment [H55]: Not satisfactory because all synthesized compounds had this structure. The authors should provide the antibacterial activity of the chalcone derivative without this structure in the molecule to give a comparison.

116 **Evaluating antibacterial activity:** The antibacterial activity of all the synthesized
117 compounds was tested against four bacterial species, including the gramme positive
118 *Staphylococcus aureus*, the gramme negative *Bacillus subtilis*, and the gramme positive
119 *Escherichia coli* and the gramme negative *Proteus vulgaris*, respectively. The results indicate
120 that the presence of a chalcone bridge containing a 2-hydroxy-5-chlorophenyl ring in the
121 ring-A region is required for the presence of moderate antibacterial activity. The nature of
122 ring-B, on the other hand, is critical to the intensity of the activity (Table 2).

123 Table 2. Antibacterial and antifungal activity results of compounds **3a-3o** (Mean±SD)*



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| Entry Compound code | R | Microorganisms | | | |
|---------------------------|-----------------|-----------------|-------------------|---------------|-------------------|
| | | <i>S.aureus</i> | <i>B.subtilis</i> | <i>E.coli</i> | <i>P.vulgaris</i> |
| 3a | 2-fluorophenyl | 21.56±0.45 | 22.83±0.61 | 12.45±0.34 | 16.30±0.29 |
| 3b | 3-fluorophenyl | 20.13±0.29 | 23.02±0.19 | 11.02±0.39 | 15.43±0.61 |
| 3c | 4-fluorophenyl | 22.03±0.24 | 21.02±0.34 | 12.32±0.43 | 14.78±0.65 |
| 3d | 2-chlorophenyl | 17.87±0.54 | 19.22±0.31 | 11.56±0.90 | 12.32±0.43 |
| 3e | 3-chlorophenyl | 20.12±0.67 | 23.22±0.89 | 11.67±0.33 | 14.23±0.19 |
| 3f | 4-chlorophenyl | 19.54±0.32 | 23.87±0.12 | 12.33±0.57 | 15.22±0.43 |
| 3g | 2-methoxyphenyl | 18.14±0.54 | 18.19±0.23 | 10.14±0.75 | 12.43±0.76 |
| 3h | 3-methoxyphenyl | 19.55±0.65 | 18.06±0.22 | 11.54±0.12 | 13.53±0.21 |
| 3i | 4-methoxyphenyl | 19.12±0.42 | 19.16±0.54 | 11.14±0.16 | 12.55±0.65 |
| 3j | 2-hydroxyphenyl | 23.11±0.34 | 24.12±0.18 | 14.65±0.76 | 20.54±0.76 |
| 3k | 3-hydroxyphenyl | 20.32±0.55 | 21.51±0.23 | 13.93±0.65 | 18.19±0.43 |
| 3l | 4-hydroxyphenyl | 23.14±0.18 | 22.11±0.73 | 14.12±0.92 | 19.55±0.32 |
| 3m | 3-pyridinyl | 27.52±0.16 | 28.85±0.11 | 22.05±0.16 | 23.18±0.17 |
| 3n | 2-thienyl | 26.56±0.21 | 27.09±0.22 | 21.14±0.21 | 22.14±0.12 |
| 3o | 2-furyl | 26.12±0.52 | 27.05±0.19 | 18.12±0.52 | 19.67±0.19 |
| Benzyl penicillin | - | 24.06±0.05 | 27.02±0.02 | 14.05±0.05 | 19.04±0.03 |

*Results are mean of three experiments±Standard Deviation

Comment [H56]: The antibacterial activity of the chalcone with no substituents on both rings A and B should be provided.

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We found that just three of the chalcones examined had potential antibacterial action against all the bacterial strains tested: **3m**, **3n**, and **3o**, which all had the heteroaryl ring as a ring-B component. The activity of these compounds exceeds that of the ordinary benzyl penicillin by a significant margin. The bioisosteric pyridinyl scaffold in compound **3m** demonstrated the greatest activity, with an inhibitory zone (in mm) of 27.52±0.16, 28.85±0.11, 22.05±0.16, and 23.18±0.17 against *Staphylococcus aureus*, *Bacillus subtilis*, *Escherichia coli*, and *Proteus vulgaris*, respectively, among the three compounds tested. In a similar way, the compounds **3n** and **3o**, which included thienyl and furyl moieties, that shown approximately equivalent activity against *Staphylococcus aureus* and *Bacillus subtilis*, respectively. To the contrary, **3n** was more effective than **3o** against *Escherichia coli* and *Proteus vulgaris*, with zone of inhibition values of 21.14±0.21 and 22.14±0.12 for each pathogen, respectively. This could be owing to the presence of a sulphur atom within the thienyl ring. Antibacterial activity of the compounds **3j** and **3l**, which contain the electron-releasing -OH group at the ortho and para-positions of the phenyl rings at the ring-B portion, was moderate against all the tested bacterial species, with zone of inhibition values that were comparable to those of benzyl penicillin in all cases. The rest of the compounds, which contained electron-releasing methoxy groups as well as halogen atoms, exhibited only moderate activity. The findings reveal that chalcones with heteroaryl rings are more effective in inhibiting bacterial growth

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Comment [H58]: ???

145 than standard chalcones with two phenyl rings connected to the ketovinyl component of
146 chalcones in terms of antibacterial activity. On the right side of Figure 2, you can see the
147 structures of the most powerful molecules.

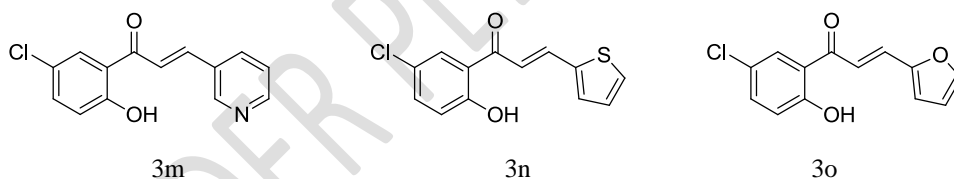
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149 Few of the chalcones including **3m**, **3n** and **3o** bearing the heteroaryl ring as a ring-B
150 component showed potential antibacterial activity against all the tested bacterial strains. The
151 activity of these compounds is even more than the standard benzyl penicillin. Among the
152 three compounds, **3m** bearing the bioisosteric pyridinyl scaffold showed the highest activity
153 with an inhibitory zone (in mm) of 27.52 ± 0.16 , 28.85 ± 0.11 , 22.05 ± 0.16 and 23.18 ± 0.17
154 against *Staphylococcus aureus*, *Bacillus subtilis*, *Escherichia coli* and *Proteus vulgaris*
155 respectively. Similarly, the compounds **3n** and **3o** containing thienyl and furyl moieties
156 showed almost equal activity against *Staphylococcus aureus*, *Bacillus subtilis*. However, **3n**
157 was more active than **3o** against *Escherichia coli* and *Proteus vulgaris* with the zone of
158 inhibition values 21.14 ± 0.21 and 22.14 ± 0.12 respectively. This may be due to the sulfur atom
159 present in the thienyl ring. The compounds **3j** and **3l** bearing the electron releasing -OH group
160 at the ortho and para-positions of the phenyl ring at the ring-B portion showed moderate
161 antibacterial activity with the zone of inhibition values close to benzyl penicillin against all
162 the tested bacterial species. Rest of the compounds containing electron releasing methoxy
163 groups and halogen atoms showed mild activity. The results indicate the importance of
164 chalcones bearing heteroaryl rings for the antibacterial activity over the conventional
165 chalcones bearing two phenyl rings connected to the ketovinyl part of chalcones. The
166 structures of the most potent compounds are represented in Figure 2.

Comment [H60]: Duplicate of the right above paragraph.

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169 Figure 2. Structures of the most potent antibacterial chalcones **3m**, **3n** and **3o**

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171 Conclusion

172 We have synthesized and tested the antibacterial activity of fifteen chalcones bearing chlorine
173 and hydroxy groups on the ring-A portion. All of the compounds were purified and
174 characterized. It was discovered that the compounds possessing a heteroaryl scaffold at the
175 ring-B region of chalcones had good antibacterial activity against all of the strains tested,
176 with activity greater than that of the standard benzyl penicillin. The antibacterial compounds
177 **3m**, **3n**, and **3o**, which are extremely potent, are being considered as potential lead
178 compounds for the design and development of improved antibacterial agents. As part of our
179 ongoing research, we are testing these compounds against methicillin-resistant
180 *Staphylococcus aureus* (MRSA) strains to determine their probable mode of action for the
181 proposed activity.

Comment [H61]: activities

Comment [H62]: hydroxyl

Comment [H63]: moiety

Comment [H64]: activities

Comment [H65]: this statement is not satisfactory, it should be replaced by another word.

Comment [H66]: *Staphylococcus aureus*

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184 **COMPETING INTERESTS DISCLAIMER:**

185

186 Authors have declared that no competing interests exist. The products used for this research
187 are commonly and predominantly use products in our area of research and country. There is
188 absolutely no conflict of interest between the authors and producers of the products because
189 we do not intend to use these products as an avenue for any litigation but for the advancement
190 of knowledge. Also, the research was not funded by the producing company rather it was
191 funded by personal efforts of the authors.

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195 **References**

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