

1 Gut microbiota and estrogen levels in women breast cancer in Côte d'Ivoire

2
3 **Abstract:** The gut microbiota is a complex ecosystem of microorganisms that maintains a symbiotic relationship
4 with its host, contributing to digestion, metabolism, and immunity. Studies suggest that the microbiota may play
5 a role in several non-communicable diseases, including certain cancers. It may also influence circulating
6 estrogen levels through enzymes like β -glucuronidase, which affects estrogen reabsorption and thus increases the
7 risk of breast cancer, especially in postmenopausal women. This study aimed to characterize the microbiota of
8 breast cancer patients in Côte d'Ivoire to identify bacterial markers potentially associated with increased plasma
9 estradiol concentrations. A case-control study was conducted at the Oncology Department of the CHU of
10 Treichville, the National Blood Transfusion Center, and the Institut Pasteur de Côte d'Ivoire, recruiting 85
11 participants, including 39 patients and 46 controls, both premenopausal and postmenopausal. Characterization of
12 the gut microbiota revealed a significant difference in microbiota diversity between breast cancer patients and
13 controls. Quantification of plasma hormones and the use of the LEfSe algorithm identified eight bacterial genera
14 potentially associated with increased plasma estradiol concentrations. These results open research avenues on the
15 gut microbiota and estrogen levels, which could have significant implications for the prevention, diagnosis, and
16 targeted treatment of breast cancer.

17 **Keywords:** Gut microbiota; Estrogen levels; Biomarkers; 16S Metagenomic Sequencing; Breast cancer; LEfSe.

18 Introduction

19 The gut microbiota represents a complex ecosystem encompassing all unicellular microorganisms residing in the
20 digestive tract, primarily bacteria, fungi, archaea, and even viruses(1). Bacterial concentration is highest at the
21 distal end of the digestive tract(2). The relationship between the host and the microbiota is symbiotic; bacteria
22 benefit from a stable environment (temperature, CO₂, pH, nutrients), while the host benefits from a multitude of
23 capabilities in digestion, nutrition, metabolism, and immunity(1,3).

24 However, many studies suggest that the gut microbiota plays a crucial role in various non-communicable
25 diseases, including obesity(4), chronic inflammatory bowel diseases, allergic and immune disorders(5,6),
26 behavioral disorders(2,7), and even certain cancers (8,9). Breast cancer is on the rise in developing countries,
27 including Côte d'Ivoire, where it ranks first among cancers in women, followed by cervical cancer(10,11).
28 According to data from the Abidjan cancer registry, the age-standardized incidence rate was 44.7 per 100,000
29 women, with about 74% at late stages (III and IV), 1,785 deaths, and a mortality rate in 2020 of 25.3 per 100,000
30 women(12,13). More than half of the diagnosed breast cancer cases in Côte d'Ivoire are hormone-dependent,
31 meaning the cancer cells express hormone receptors to which estrogens and progesterone bind, promoting tumor
32 growth(12,14,15). Only 5 to 10% of breast cancers are attributable to a genetic predisposition (mutations in the
33 *BRCA1* and *BRCA2* genes)(16), implying that the etiological mechanisms of this cancer are not yet fully
34 understood[62-63].

35 High concentrations of estradiol and progesterone would increase the risk of breast cancer by about 10%,
36 especially in postmenopausal women where this rate is normally low(17,18). Recent studies have shown that the
37 gut microbiota can influence circulating estrogen levels in postmenopausal women through bacterial genes
38 (estrobolome) capable of producing enzymes like β -glucuronidase, which affect the enterohepatic circulation of
39 estrogens and their reabsorption. Disruptions in the microbiota could therefore lead to high levels of circulating
40 estrogens and their metabolites, thus increasing the risk of breast cancer. Many studies suggest a crucial role of
41 gut microbiota imbalance (dysbiosis) in various pathologies, including breast cancer.

42 Characterizing the microbiota allows us to assess potential differences between a normal and an imbalanced
43 microbiota. Moreover, bioinformatics analyses could highlight potential bacterial biomarkers that could serve as
44 targets in approaches using prebiotics or probiotics, allowing for the rebalancing of the gut microbiota. The
45 objective of our study was to characterize the microbiota of breast cancer patients in Côte d'Ivoire to identify
46 potential bacterial markers associated with increased plasma Estradiol concentrations.

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49 2. Material and Methods

50 2.1.1. Recruitment of Women

51 This case-control study was conducted from May 2020 to September 2023 at the Oncology Department of the
52 University Hospital Center of Treichville for case recruitment, at the blood donation service of the National
53 Blood Transfusion Center of Treichville, and at the Reception, Welcome, and Sampling Unit of the
54 Institut Pasteur de Côte d'Ivoire for control recruitment. Two groups of women were included in this study: the
55 first group consisted of premenopausal and postmenopausal women with breast cancer (the cases), while the
56 second group consisted of premenopausal and postmenopausal women without the disease (the controls). For the
57 cases, women of all ages diagnosed with breast cancer at any stage were included, and for the controls, women
58 of all ages with a normal mammogram/breast ultrasound less than a year old were included. Pregnant women,
59 those who had used hormones in the 6 months preceding their inclusion, and those who had started
60 chemotherapy were excluded from the study.

61 2.1.3. Biological material

62 The biological material consisted of venous blood samples and fresh stool samples.

63 2.2. Methods

64 2.2.1. Interview and data collection

65 Sociodemographic information, clinical status, and Body Mass Index (BMI) were collected using a questionnaire
66 and by consulting medical records.

67 2.2.2. Collection, transport, and preservation of samples

68 Blood samples were collected in red tubes with clot activator on the same day of inclusion for postmenopausal
69 women and during the follicular phase for premenopausal women (between the 4th and 7th day of the menstrual
70 cycle). Stool samples were collected using a specially designed collection kit. Stool samples were transported to
71 the laboratory within less than 2 hours after emission and stored at a temperature of -80 degrees Celsius at the
72 Biological Resources Center of the Institut Pasteur de Côte d'Ivoire.

73 2.2.3. Hormone quantification

74 Estradiol 2 (E2) and progesterone hormones were quantified using Elecsys[®] Estradiol III and Elecsys[®]
75 Progesterone III kits on the Cobas[®] e411 Analyzer according to the manufacturer's protocol (Roche Ltd,
76 Switzerland).

77 2.2.4. 16S rRNA metagenomic analysis

78 For metagenomic analysis, ten (10) stool samples from cases and ten (10) from controls were randomly selected
79 by multi-stage sampling. Total bacterial DNA extraction was performed using the Quick DNA[™] Fecal/Soil
80 Microbe Microprep kit (ZYMO RESEARCH) and quantification of the extracts was performed on the Nanodrop
81 UV/Vis spectrophotometer. Sequencing libraries were prepared using the Quick-16S[™] Plus NGS Library Prep
82 Kit (V4) (ZYMO RESEARCH) targeting the hypervariable V4 region of the 16S rRNA gene (515f:
83 GTGYCAGCMGCCGCGGTAA; 806r: GGACTACNVGGGTWTCTAAT). Libraries were sequenced on the
84 Illumina[®] Miseq platform.

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86 2.2.5. Bioinformatics analysis

87 Bioinformatics analyses were conducted using the mothur pipeline version 1.48(19,20). A reference sequence
88 Silva seed version 132(21) truncated to the V4 region of the 16S rRNA gene was used for alignment, and RDP
89 version 18 reference taxonomy files were used for taxonomy.

90 **2.2.6. Identification of bacterial biomarkers associated with plasma E2 concentration**

91 Linear Discriminant Analysis (LDA) coupled with effect size (LEfSe) was performed using the LEfSe
 92 program(22) to determine differentially abundant genera in each group, with a logarithmic LDA score > 2
 93 considered significant. E2 concentration was categorized relative to normal reference values for premenopausal
 94 (follicular phase) and postmenopausal women (23).

95 **2.2.7. Statistical analyses** Data were collected using EPI info™ (CDC) software version 7.2.4.0 and exported to
 96 Excel. Statistical analyses were performed using R Studio version 2022. Statistical differences between cases
 97 and controls were studied using the Student's t-test for normally distributed variables and the Wilcoxon-Mann-
 98 Whitney test for non-normally distributed variables. For binary variables, the significance of differences between
 99 cases and controls was studied using the Chi-squared test with a significance threshold $\alpha = 0.05$. Alpha diversity
 100 was calculated using the Sobs and Chao1 diversity indices to estimate community richness. Beta diversity was
 101 studied using non-metric multidimensional scaling (NMDS) and molecular variance analysis based on the Bray-
 102 Curtis dissimilarity matrix.

103 **3. Results**

104 **3.1. Characteristics of the women Included**

105 In total, we recruited 85 women, including 39 cases and 46 controls, both premenopausal and postmenopausal.
 106 The average age of the patients was 53.7 years \pm 12 years. Analyzing the average age of menarche and
 107 menopause, it appears that postmenopausal cases had an early menarche (~13 years) and late menopause (~52
 108 years) compared to the controls in the same group ($p < 0.05$). Postmenopausal cases were therefore under
 109 hormonal influence longer than the controls in the same group.

110 **Table 1** Characteristics of women included in the metagenomic study

	Cases (n)	Controls (n)	p- value
Premenopausal women	11	18	
Average age in years, (sd)	36.09 (5.30)	37.5 (7.45)	0.58
Average age of menarche in years, (sd)	12.63 (1.74)	12.72(1.17)	0.87
Average age of first pregnancy years (sd)	25.18 (7.05)	23.13 (4.50)	0.37
Parity (n), mean, (sd)	2.18 (1.47)	2.11 (1.99)	0.71
Average BMI (kg/m ²)(sd)	27.15 (6.41)	26.15 (2.87)	0.9
Family history of CS (%)	9.09	5.55	1
Hormonal contraceptive use (%)	90.90	72.22	0.228
Postmenopausal women	28	28	
Average age, (sd)	60.71 (6.88)	59.42 (6.63)	0.48
Average age of menopause, (sd)	52.46 (3.52)	49.85 (2.90)	0.01
Average age of menarche (sd)	13 (1.15)	14.10 (1.61)	<0.0001
Average age at first pregnancy (sd)	22.14 (5.89)	19.60 (2.74)	0.14
Parity (n), mean, (sd)	4.89 (3.2)	3.71 (1.6)	0.186
Average BMI (kg/m ²) (sd)	28.54 (4.29)	27.58 (4.43)	0.41
Family history of CS (%)	32.14	28.57	0.77
Hormonal contraceptive use (%)	53.57	53.57	1

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114 **3.2. Plasma Levels of Estradiol 2 and Progesterone**

115 **Hormones in premenopausal women**

116 In the cases, the average value of estradiol 2 was 50.58 pg/mL, with a minimum of 29.6 pg/mL and a maximum
 117 of 86.84 pg/mL, while in the controls it ranged from 32.73 pg/mL to 247 pg/mL with an average of 110.47
 118 pg/mL. In these women, the average plasma level of estradiol 2 was higher in the controls than in the cases
 119 ($p < 0.05$), whereas there were no differences between the two groups in progesterone levels (Table 2).

120 **Hormones in postmenopausal women**

121 The estradiol 2 level ranged from below 5pg/mL to 38.07 pg/mL with an average of 13.54 pg/mL in the cases
 122 and from below 5 pg/mL to 16.5 pg/mL with an average of 9.45 pg/mL in the controls. For progesterone
 123 measurements, the average was 0.186 ng/mL in the cases, with variations ranging from 0.073 ng/mL to 0.720
 124 ng/mL. Postmenopausal cases had higher plasma levels of estradiol 2 and progesterone than the controls
 125 ($p < 0.05$) (Table 2).

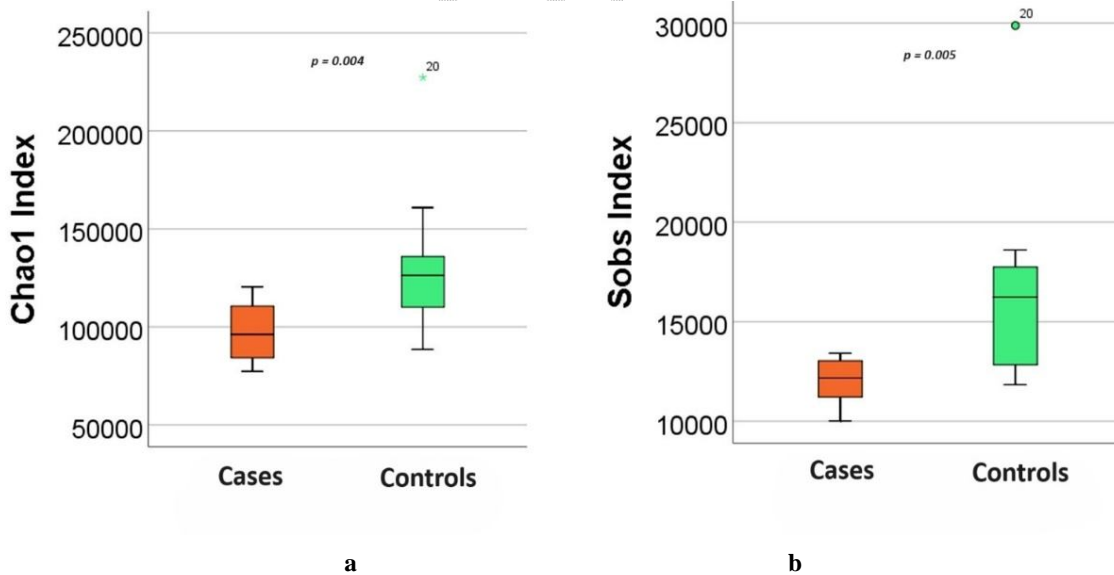
126 **Table 2:** Plasma concentration of E2 and progesterone

	Patients (n)	Controls (n)	p- value
Premenopausal women	11	18	
Estradiol 2 (pg / mL) mean(sd)	50.58(22.24)	110.47 (19.98)	0.001
Progesterone (ng / mL) mean(sd)	0.211 (0.082)	0.188 (0.096)	0.509
Menopausal women	28	28	
Estradiol 2 (pg / mL) mean(sd)	13.54 (7.95)	9.45 (3.68)	0.04
Progesterone (ng / mL) mean(sd)	0.186 (0.127)	0.130 (0.059)	0.017

131 **3.3 Metagenomics analysis**

132 **3.3.1 α Diversity**

133 Calculating the alpha diversity indices revealed that there was a significant difference between the microbiota of
 134 cases and controls for both the Sobs and Chao1 diversity indices (Sobs index $p = 0.005$; Chao1 index $p = 0.004$)
 135 (Figure 1).

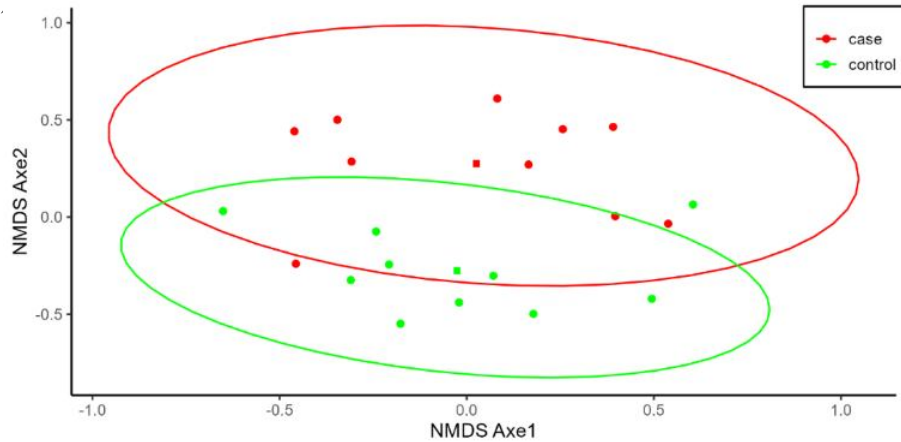


148 **Figure 1:** Comparison of alpha diversity between cases and controls.

150 **3.3.2 β Diversity**

151 Non-metric multidimensional scaling (NMDS) analysis using the Bray-Curtis dissimilarity matrix revealed a
 152 difference in the distribution distance of the microbiota of cases compared to controls. The gut microbiota
 153 samples from the women were distributed in space, representing two distinct groups. One group consisted

154 predominantly of cases samples, while the other group consisted predominantly of control samples (**Figure 2**).
 155 Additionally, molecular variance analysis (AMOVA) showed a significant difference between the two groups (p
 156 $= 0.001$).

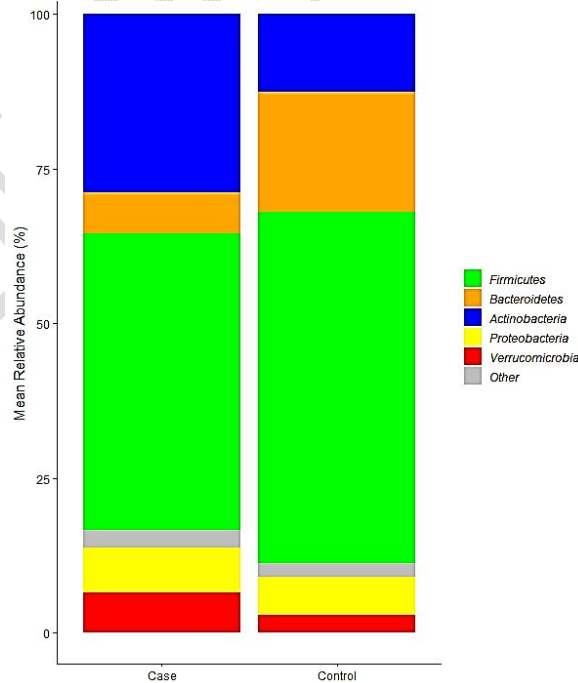


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 167 **Figure 2:** Non-metric Multidimensional Scaling (NMDS) of samples.

168 **3.3.3 Taxonomic Composition Analysis**

169 The analysis of taxonomic composition highlighted five major phyla (>3%) in the microbiota of both population
 170 groups: *Firmicutes*, *Bacteroidetes*, *Actinobacteria*, *Proteobacteria*, and *Verrucomicrobia*. The other phyla and
 171 unclassified genera in this study represent the remaining 3%.

172 In women with breast cancer, we observed a reduction in the relative abundance of the phyla *Firmicutes*
 173 (47.97%) and *Bacteroidetes*(6.68%) compared to the controls, which had respective abundances of 56.83% and
 174 19.42%. Conversely, we observed an increase in the phyla *Actinobacteria* (28.75%) and *Verrucomicrobia*
 175 (6.49%) compared to the controls, which had abundances of 12.56% and 2.87%, respectively. Regarding the
 176 phylum *Proteobacteria*, there were no significant variations between cases (7.29%) and controls (6.07%)
 177 (**Figure 3**).



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 191 **Figure 3:** Comparison of the relative abundance of major phyla between cases and controls.

192 **3.3.4. LefSe Analysis**

193 The Linear Discriminant Analysis Effect Size (LEfSe) analysis revealed that the serum level of E2 was
 194 significantly positively correlated with the abundances of the bacterial genera *Bifidobacterium*,
 195 *Erysipelatoclostridium*, *Eggerthella*, *Dorea*, *Blautia*, *Slackia*, *Anaerobutyricum*, and *Collinsella*, with LDA
 196 discriminant values greater than 2 and p-values < 0.05 (Table 3).

197 **Table 3:** Linear Discriminant Analysis (LDA) Coupled with Effect Size (LEfSe) on Plasma Concentration of E2.

OTU	Class	LDA	pValue	Bacterial Genus
Otu000003	up	4.47723	0.00699598	<i>Bifidobacterium</i>
Otu000030	up	3.62793	0.0109411	<i>Erysipelatoclostridium</i>
Otu000040	up	3.56318	0.00852749	<i>Eggerthella</i>
Otu000035	up	3.36341	0.016706	<i>Dorea</i>
Otu000036	up	3.02947	0.0333367	<i>Blautia</i>
Otu000220	up	2.30609	0.0429313	<i>Anaerobutyricum</i>
Otu000238	up	2.25746	0.0230033	<i>Collinsella</i>
Otu000316	up	2.08458	0.0165425	<i>Slackia</i>

198

199 Discussion

200 In our study, the average age of patients at the time of diagnosis was 52.7 years. This result aligns with an
 201 African meta-analysis showing an average age at diagnosis ranging between 46 and 60 years (24). However, this
 202 result differs from those in industrialized countries where the average age of breast cancer onset has been
 203 advancing in recent years (25–27) and is around 67 years, for instance, in France. This difference could be
 204 explained by the fact that in developing countries, populations are increasingly adopting a Westernized lifestyle
 205 with an increase in risk factors, while populations in developed countries are returning to a much healthier
 206 lifestyle, resulting from awareness policies within at-risk populations.

207 Postmenopausal patients had an early menarche (13 years) and a late menopause (52.46 years) compared to
 208 controls in the same group. This observation has been made by several authors (28,29). Indeed, early menarche
 209 and late menopause can influence the risk of breast cancer through long-term effects on sex hormone levels
 210 (30,31). These factors cause prolonged exposure to endogenous estrogens throughout a woman's life. In a meta-
 211 analysis of 13 case-control studies in postmenopausal women, circulating estradiol levels were 6% lower in
 212 women who had their periods at 14 years or older compared to women who had their periods before 12 years
 213 (17).

214 Regarding parity and age at first full-term pregnancy, there was no significant difference between cases and
 215 controls. Several studies have shown that nulliparous women have a 20 to 40% higher risk of developing
 216 postmenopausal breast cancer than women who had their first childbirth before age 25 (32,33). However, further
 217 studies should be conducted to deepen the potential links between these risk factors and breast cancer in Ivorian
 218 women.

219 In this study, no association could be established between BMI, family history of breast cancer, and the use of
 220 hormonal contraceptive methods in the different groups. This corroborates the work of Akokoet *al.* (2022) on
 221 Tanzanian women (34). However, more in-depth studies on these risk factors need to be conducted because
 222 some of these risk factors have been mentioned among African American women (35,36).

223 In our study, among premenopausal women, the average estradiol 2 level measured in patients was (50.58
 224 pg/mL). Some authors obtained similar results (48 pg/mL) (37) in a similar population. Moreover, in the
 225 premenopausal controls of our study, we recorded an average level of 110.47 pg/mL, which was higher than in
 226 the patients. Sturgeon *et al.* (2004) reported similar results in a case-control study among premenopausal women
 227 during the late follicular phase (38). Indeed, interpreting hormone quantification results in premenopausal
 228 women can be complex due to intra-subject variations within the cycle, involving considerable variations during
 229 the follicular phase (37). This differentiation during the follicular phase was not performed in our study.

230 Postmenopausal patients had higher plasma levels of estradiol (13.54 pg/mL) and progesterone (0.186 ng/mL)
231 than the controls. These results are comparable to those of Zhanget al.(2013), who showed that higher levels of
232 estradiol 2 and progesterone were associated with an increased risk of ER+/PgR+ tumors(39). This phenomenon
233 was observed in a meta-analysis of 9 prospective studies on hormonal risk factors for breast cancer in
234 postmenopausal women (40).

235 The involvement of the gut microbiota composition has already been demonstrated in several digestive cancers
236 such as stomach cancer (41), liver cancer (42), and colon cancer (43,44). In breast cancer, some studies have
237 suggested that the microbiota composition could modulate the reabsorption of estrogens at the level of
238 enterohepatic circulation (45,46). In this regard, Goedert et al. (2015) showed a difference in microbiota
239 composition between breast cancer patients and healthy women (47), suggesting that the composition and
240 stability of the gut microbiota are crucial for maintaining good biological activities in the body.

241 In this study, the alpha diversity analysis revealed a significant difference in the Sobs and Chao1 indices ($p <$
242 0.05). Women with breast cancer had lower alpha diversity than healthy women. This same observation was
243 also made by several authors in studies on similar populations in Ghana, the United States, and China (48–50).
244 Moreover, a study in China presented opposite results, indicating that postmenopausal cases had a higher Sobs
245 diversity index than postmenopausal controls, and the Shannon index was higher in premenopausal cases.
246 However, in this study, microbiota characterization was performed by shotgun metagenomics, and the presented
247 results were not adjusted for the case-control groups (51). The gut microbiota has been repeatedly implicated in
248 estrogen regulation. For example, in postmenopausal women, previous studies suggested a negative correlation
249 between gut microbiota alpha diversity and estrogen concentrations in stools, while a positive correlation was
250 observed in urine (52).

251 The non-metric multidimensional scaling (NMDS) analysis using Bray-Curtis dissimilarity indices revealed a
252 difference in the distribution distance of the microbiomes of the sick women compared to the microbiomes of the
253 healthy women. Indeed, the gut microbiota samples from the women are visually distributed in space,
254 representing two distinct groups. One group was predominantly formed by the patients' samples, and another
255 group was predominantly formed by the controls' samples. The separation of the samples into two groups could
256 be explained by the observed and estimated richness differences, represented respectively by the Sobs and Chao1
257 indices. In He et al. (2021) study, a similar clustering of case and control samples in premenopausal women was
258 shown (53). However, the dissimilarity data representation was performed by redundancy analysis (RDA).
259 Similarly, Byrd et al. (2021) showed a significant difference in the distribution of samples from sick women and
260 healthy women, using principal coordinates analysis with the Bray-Curtis matrix (49).

261 Several hypotheses suggest that changes in the composition (dysbiosis) and functions of several bacterial genera
262 in the intestine can contribute to the development and progression of breast cancer through various pathways
263 (54).

264 This study revealed a difference in composition between the microbiomes of the two subject groups (cases-
265 controls). Specifically, there was a reduction in the relative abundance of the phyla *Firmicutes* and
266 *Bacteroidetes*, and an increase in the phyla *Actinobacteria* and *Verrucomicrobia* in breast cancer patients. Two
267 other studies had already observed a difference in composition within the gut microbiota of patients. However,
268 comparing our Ivorian study to these, the relative abundance of major phyla differs. Indeed, in Ma et al. (2022)
269 study in China, the relative abundances of *Firmicutes* and *Proteobacteria* were reduced, while that of *Bacteroides*
270 increased (50). Also, in Bobin-Dubigeon et al. (2021) study in France, the relative abundance of *Bacteroidetes*
271 was reduced, while that of *Firmicutes* increased (55). Moreover, the Molecular Analysis of Variance (AMOVA)
272 revealed a significant difference between the microbiota of cases and controls ($p = 0.001$). These differences
273 between the study results could be explained by factors such as diet and geographical distance between the
274 studied populations (56,57), implying that data from multiple continents and various populations are necessary to
275 better understand the role of gut microbiota in breast cancer.

276 The Linear Discriminant Analysis Effect Size (LEfSe) revealed that serum E2 level was significantly positively
277 correlated with the abundances of the bacterial genera *Bifidobacterium*, *Erysipelatoclostridium*, *Eggerthella*,
278 *Dorea*, *Blautia*, *Slackia*, *Anaerobutyricum*, and *Collinsella*, with LDA discriminant values greater than 2 and p -
279 values < 0.05 . The bacterial genera *Blautia*, *Dorea*, and *Bifidobacterium* have been associated with elevated
280 serum E2 levels (58–60). However, for the other genera, this is the first report of this association. Moreover,
281 *Bifidobacterium*, *Dorea*, and *Blautia* possess the *GUS* gene and are capable of synthesizing the beta-

282 glucuronidase enzyme, responsible for deconjugating conjugated estrogens in the gastrointestinal tract (61).
283 These deconjugatedestrogens can be reabsorbed into the bloodstream and maintain their effects on the body.

284 **Conclusion**

285 The characterization of the intestinal microbiota in this study highlighted that the patients' microbiota is less
286 diverse, with lower abundance and representation of species. The LEfSe analysis identified eight bacterial genera
287 potentially associated with increased plasma E2 concentration. Some of these genera, such as *Bifidobacterium*,
288 *Dorea*, and *Blautia*, possess the GUS gene, involved in the deconjugation of estrogens in the gastrointestinal
289 tract. These results show an association between the gut microbiota and estrogen levels in women with breast
290 cancer, opening up research perspectives on new strategies for breast cancer prevention, diagnosis, and
291 treatment, taking into account female hormone levels and modulation of the gut microbiota.

292 **Ethical Approval and Consent**

293 Before proceeding with the interview and sampling, each participant gave informed consent. The study protocol
294 was approved by the National Ethics Committee for Life Sciences and Health (Côte d'Ivoire) under number
295 IRB000111917.

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297 **Limitations of the study**

298 Although we usedrigorous sampling methods to select the sample, we are aware that the small sample size
299 andthe non-distribution of non-menopausal women by early and late follicular phase may limit the statistical
300 powerof the results.

301 **Data Availability**

302 Data are available at Institut Pasteur de Côte d'Ivoire and with authors. Authors are ready to share on demand at
303 any moment.

304 **Disclaimer (Artificial intelligence)**

305 Author(s) hereby declare that NO generative AI technologies such as Large Language Models (ChatGPT,
306 COPILOT, etc) and text-to-image generators have been used during writing or editing of manuscripts.

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