

Short Research Article

The Application of Immersive Virtual Reality in Occupational Therapy-based Pulmonary Rehabilitation Program: A Mixed-method Study

ABSTRACT

Introduction

The management of chronic respiratory conditions critically relies on Pulmonary Rehabilitation (PR). Traditional PR programs often encounter obstacles in patient engagement and adherence. To overcome these issues, immersive virtual reality (VR) technology is being explored. Immersive VR, experienced through a head-mounted display, provides an interactive simulated environment. Its integration into occupational therapy (OT) PR programs holds potential to boost the effectiveness of rehabilitation and patient experience.

Objective

This study aimed to probe the effects of VR-based training on PR patients' psychological well-being, training engagement, adherence to breathing control exercises and sense of security. The assessment of these outcomes is intended to ascertain the potential benefits of VR in augmenting PR efficacy.

Method

A mixed-method, single-group design was adopted, involving a one-week trial with daily half-hour VR sessions. Pre-post assessments, incorporating qualitative and quantitative approaches, were conducted for data collection and analysis. Quantitative measures assessed functional capacity (Barthel Index-Dyspnea; BI-D), psychological well-being (Hospital Anxiety and Depression Scale; HADS) and symptom assessment (Shortness of Breath Questionnaire; SOBQ and Chronic Respiratory Disease Questionnaire; CRQ). Qualitative analysis hinged on semi-structured interviews, with thematic analysis used to discern underlying themes and patterns from participant experiences.

Results

The study, conducted from August to November 2023, involved 10 in-patients of PR program from rehabilitation hospital, aged 67 to 91 and diagnosed with COPD, Covid, and lung cancer. Quantitative results showed significant improvements across all measures, including BI-D ($p < 0.001$; MD -19.60), HADS-Anxiety ($p < 0.001$; MD -4.60), HADS-Depression ($p < 0.001$; MD -3.90), SOBQ ($p = 0.002$; MD -19.85), CRQ-Dyspnea ($p = 0.002$; MD +1.01), CRQ-Emotion ($p = 0.004$; MD +0.89), CRQ-Management ($p = 0.010$; MD +1.2), and CRQ-Fatigue ($p < 0.001$; MD +1.16). In qualitative analysis, noteworthy enhancements in psychological well-being were reported by all participants. VR resulted in relaxation, stress reduction, and distraction, highlighting a positive mental health impact. The captivating and motivating nature of the simulated environment led to increased engagement and improved breathing exercise compliance. VR also offered a secured platform for practicing breathing control, contributing to enhanced rehabilitation experience.

Conclusion

Merging VR technology into OT services for PR yields positive results. VR enhances psychological well-being, training engagement, and exercise compliance, while also providing a secure virtual environment. Future research could explore more variability of VR training to meet a wider range of therapeutic needs.

Keywords: Virtual Reality, Rehabilitation, COPD, Occupational Therapy

UNDER PEER REVIEW

1. INTRODUCTION

1.1 Development of Virtual Reality in Rehabilitation

The advent of Virtual Reality (VR) technology in the realm of rehabilitation has heralded a new epoch of therapeutic possibilities within healthcare. Tracing its roots back to the 1980s, VR initially made its mark with basic two-dimensional visuals and auditory cues, tailored primarily for hand function training through nascent hand gesture interfaces [1]. These early forays, despite their limitations, sparked a revolution that would profoundly shape the future of rehabilitative care.

Advancing through the decades, the field of VR has witnessed an extraordinary surge in technological advancement and clinical application. From the 1990s to the 2020s, a robust body of research—spanning from proof of concept to comprehensive reliability, validity studies [2], and the meticulous validation of VR-induced movement kinematics [3]—has established VR as a formidable instrument in the rehabilitation toolkit.

Today, the embodiment of VR in rehabilitation is both sophisticated and sensory-rich, offering an array of high-definition 3D visuals, immersive auditory environments, and nuanced haptic feedback [4]. Modern VR platforms, now more affordable and user-friendly, provide patients with engaging therapeutic experiences that were once beyond reach. Recognizing this advancement, the Occupational Therapy Practice Framework: Domain and Process—Fourth Edition has embraced VR as a recommended modality for occupational therapists [5].

The application of VR extends through a myriad of rehabilitation disciplines—from aiding children with developmental delays to enhancing cognitive functions in the elderly, and from supporting psychiatric treatment to facilitating neurological recovery [6]. Yet, its utilization within pulmonary rehabilitation remains surprisingly nascent. Despite VR's potential to transform various aspects of healthcare, its adoption in respiratory therapy has not been as widespread or deeply explored.

1.2 Current Challenges in Pulmonary Rehabilitation

The realm of pulmonary medicine is frequently tasked with addressing the complexities of Chronic Obstructive Pulmonary Disease (COPD), a condition with extensive global prevalence. Characterized by debilitating respiratory symptoms such as dyspnea, chronic coughing, and sputum production, COPD's impact is compounded by the psychological distress it engenders [7]. A significant proportion of patients experience comorbid anxiety and depression, with prevalence rates ranging from 21% to 96% for anxiety and 27% to 79% for depression [8]. These mental health challenges not only diminish quality of life but also exacerbate the physical manifestations of the disease, underlining the importance of a holistic treatment paradigm that fuses psychological support with physical rehabilitation [9].

Pulmonary Rehabilitation (PR) has long been a fundamental component in managing chronic respiratory conditions. It has demonstrated efficacy in improving exercise tolerance and life quality. However, traditional PR's impact on mental distresses remains unexplored, and it faces additional hurdles including sustaining patient motivation, ensuring adherence, and providing broad accessibility [10]. These challenges highlight the need for innovative and multifaceted strategies that address both the psychological aspects of COPD and enhance engagement in rehabilitation.

VR emerges as a possible adjunct to traditional PR owing to its potential to overcome existing barriers [11, 12]. The immersive nature of VR can enhance patient motivation and engagement, offering a compelling and interactive therapeutic environment. Customizability is another strength of VR, allowing rehabilitation programs to be tailored to the individual needs and preferences of each patient, while also providing immediate feedback and facilitating progress tracking. Moreover, VR can overcome physical and geographic barriers to access, enabling patients to participate in rehabilitation exercises without the constraints of their physical condition.

2. MATERIALS & METHODS

In an effort to enhance in-patient pulmonary rehabilitation services, an innovative intervention involving the Oculus Quest 2, a VR device notable for its high-fidelity sound and high-resolution display, was introduced. This setup was further supported by a high-performance gaming laptop, a robust Wi-Fi network to ensure uninterrupted streaming, and hygienic face covers to maintain sanitary conditions.

Prior to the VR sessions, participants received a comprehensive orientation regarding the VR equipment and were psychologically prepared for the immersive experience. The VR environments were designed to induce relaxation and included a variety of serene landscapes such as tranquil maple leaf forests, sunset safaris, and peaceful coastal vistas. Additional VR settings featured idyllic beaches, dawn-lit forests, and expansive galaxies, all curated to foster a calming atmosphere conducive to PR. The interactivity within the VR experience was a critical component; participants could interact dynamically with the environment by initiating the growth of flora, encountering lifelike wildlife, and customizing environmental variables such as time of day and weather. An integrated breathing guide prompted patients to align their breathing with the visual expansion and contraction of a virtual lotus, facilitating targeted respiratory exercises. Throughout the sessions, therapists monitored the VR content via a gaming laptop, providing real-time supervision and support.

The pilot study involved a cohort of 10 in-patients from the pulmonary rehabilitation program, characterized by a balanced gender distribution and ages ranging from 67 to 91 years, with an average age of 79. The majority of the participants were diagnosed with COPD, with the remainder comprising individuals diagnosed with COVID-19 and lung cancer who were suitable for rehabilitative care. Participants' characteristics were provided in **Table 1**. Each participant underwent 30-minute VR sessions daily over a span of five consecutive days. To evaluate the impact of the VR intervention, semi-structured interviews were conducted, and participants' responses were recorded alongside results from standardized assessments within the PR program.

Table 1. Demographic profile of participants

Participant Code	Age	Gender	Diagnosis
P1	80	M	Covid-19
P2	84	M	COPD
P3	82	F	COPD

P4	80	F	Lung Cancer
P5	70	M	COPD
P6	67	F	COPD
P7	77	F	COPD
P8	88	M	COPD
P9	91	F	Covid-19
P10	71	M	COPD

3. RESULTS

3.1 Psychological Well-being

"I have been in a wheelchair for a long time and never expected that I would be able to walk around these beautiful scenes again." – P5

One key aspect examined was the impact of VR on participants' psychological well-being. Data gathered from semi-structured interviews revealed that the VR experiences significantly enhanced participants' mental states, with many describing the sessions as extraordinary and unlike anything they had previously encountered. The immersive VR environments, which featured breathtaking landscapes and realistic animal interactions, evoked feelings of awe and joy. Moreover, VR allowed participants to transcend their physical limitations; testimonials highlighted the profound emotional impact, particularly noting the virtual ability to 'walk' again in picturesque settings. This aspect of the VR experience was crucial in not only improving mental well-being but also in reconnecting individuals with experiences they thought were lost, thereby enhancing their overall quality of life and providing substantial psychological relief.

3.2 Training Engagement

"I am sick and constantly tired. I don't always manage to get out of my nursing bed and attend the gym session, but if I do, playing this is one of the biggest reasons." – P2

This discussion underscores a prevalent challenge in pulmonary rehabilitation: maintaining consistent engagement with physical activity. The engaging nature of the VR experience effectively counteracted apathy and fatigue, emerging as a crucial motivator for participants to adhere to their exercise regime. Such engagement is critical, as regular training provided in PR is essential for managing pulmonary conditions. The interactive and gamified elements of VR transformed routine PR into enjoyable activities that patients anticipated eagerly, potentially enhancing their long-term commitment and, consequently, improving their health outcomes.

3.3 Breathing Control Exercise Adherence

"You don't just do them by listening or reading (educational booklets)... but we could see it there (Guiding Lotus) and practice the techniques correctly." – P8

This feedback highlights the distinct advantages of an immersive learning environment provided by VR over traditional instructional methods such as booklets or audio guides, which frequently fail to fully engage patients or ensure accurate practice of breathing techniques. VR's interactive visualizations serve as an effective and intuitive guide, enhancing patient engagement. By fostering an environment conducive to tranquility and concentration, VR significantly enhances the effectiveness of breathing exercises, which are fundamental to pulmonary rehabilitation. This adaptation not only improves the execution of these techniques but also potentially increases the overall efficacy of the rehabilitation process.

3.4 Sense of Security

"I know I am safe in the virtual world and can practice freely within my own realm. I am also aware that my therapist is nearby... knowing that assistance is readily available makes me feel secured." – P4

Throughout the rehabilitation process, participants encountered no side effects, such as dizziness or nausea that are often associated with immersive technology. The virtual realm offered a secure setting for individuals to navigate and engage in breathing control exercises without the risk of physical harm. Additionally, therapists were readily available to provide support, ensuring a robust system of patient care. This holistic approach to safety and well-being is crucial in creating an optimal environment for rehabilitation, allowing patients to concentrate fully on their healing journey with confidence and comfort.

3.5 Quantitative Results

In addition to the subjective experiences reported by participants, a comprehensive set of clinical assessments demonstrated significant improvements across key metrics as shown in **Table 2**. Notably, the data revealed substantial progress in the Barthel Index-Dyspnea (BI-D) and the Hospital Anxiety and Depression Scale (HADS), indicating enhancements in both functional capabilities and psychological well-being. Furthermore, the positive trends observed in the Shortness of Breath Questionnaire (SOBQ) and the Chronic Respiratory Questionnaire (CRQ) substantiate the effectiveness of VR in improving the symptoms related to respiratory conditions. These findings provide robust support for the integration of VR technologies in therapeutic settings, affirming their benefit in enhancing overall treatment outcomes.

Table 2. Supplementary Assessment Results

Assessment	Pre-mean (S.D)	Post-mean (S.D)	P value
BI-D	43.40 (24.09)	23.80 (16.90)	<0.001
HADS-Anxiety	9.70 (4.35)	5.10 (2.85)	<0.001
HADS-Depression	9.20 (3.58)	5.30 (3.27)	<0.001
SOBQ	59.60 (23.62)	39.75 (18.74)	0.002
CRQ-Dypnea	4.30 (0.84)	5.30 (0.93)	0.002
CRQ-Emotion	4.83 (0.83)	5.72 (0.45)	0.004
CRQ-Management	4.20 (1.35)	5.41 (1.02)	0.010

CRQ-Fatigue	3.90 (0.76)	5.06 (1.03)	<0.001
-------------	-------------	-------------	--------

Note: Results of paired-t test were shown. Data tested for normal distribution with kolmogorov-smirnov&shapiro-wilk's test.

4. DISCUSSION

The integration of immersive VR technology within the PR program has yielded significant advancements. This innovative approach has led to notable improvements in patient well-being, increased engagement in training activities, enhanced adherence to breathing control exercises, and provided sense of security during therapy sessions. As technology continues to evolve, it is anticipated that VR will play an increasingly crucial role in rehabilitation strategies, offering solutions that are specifically tailored to the unique needs of individual patients.

Additionally, the application of VR has shed light on a relatively unexplored aspect—its potential impact on psychological health and its influence on patient participation in PR. With limited research in this area, as evidenced by only two pertinent studies identified (Mazzoleni et al., 2014; Rutkowski et al., 2021), there appears to be substantial potential for VR to mitigate psychological barriers that frequently hinder patient engagement and the success of treatment. By disseminating the findings, this study contributes to a deeper understanding of the interplay between psychological health and PR, advocating for further research into how VR can help mitigate the adverse cycle of health deterioration associated with pulmonary conditions by simultaneously addressing physical and psychological well-being.

This endeavor not only underscores the viability of VR as an innovative therapeutic tool in pulmonary rehabilitation but also highlights its potential to revolutionize patient care. The insights gained from the deployment of VR technologies in clinical settings are intended to guide OT practitioners in the effective utilization of digital tools. The knowledge and practical experiences derived from this integration encourage ongoing exploration and adoption of VR technology, potentially leading to more effective and personalized treatment modalities in healthcare.

This study has several limitations that future research should address to enhance its validity and generalizability. Firstly, the small sample size and absence of a control group limit the robustness of the findings. Future studies should expand participant numbers and include control groups to strengthen the inferential capabilities of the study. Secondly, the reliance on subjective reports for mental stress may introduce potential bias; incorporating objective measures such as heart rate variability would offer a more rigorous assessment. Lastly, the lack of long-term outcome data prevents evaluation of the sustained effects of VR interventions. It is recommended that future research includes longitudinal follow-ups to assess long-term benefits.

7. CONSENT & ETHICAL CONCERN

Not applicable.

7. REFERENCES

[1] Zimmerman, T. G., Lanier, J., Blanchard, C., Bryson, S., & Harvill, Y. (1986). A hand

gesture interface device. *ACM Sigchi Bulletin*, 18(4), 189-192.

[2] Burdea, G. C., Cioi, D., Martin, J., Fensterheim, D., & Holenski, M. (2010). The Rutgers Arm II rehabilitation system—a feasibility study. *IEEE transactions on neural systems and rehabilitation engineering*, 18(5), 505-514.

[3] Cameirão, M. S., Badia, S. B. I., Oller, E. D., & Verschure, P. F. (2010). Neurorehabilitation using the virtual reality based Rehabilitation Gaming System: methodology, design, psychometrics, usability and validation. *Journal of neuroengineering and rehabilitation*, 7, 1-14.

[4] Rose, T., Nam, C. S., & Chen, K. B. (2018). Immersion of virtual reality for rehabilitation-Review. *Applied ergonomics*, 69, 153-161.

[5] American Occupational Therapy Association. (2020). *Occupational therapy practice framework: Domain et process* (Vol. 74, No. 7412410010). Bethesda, MD, USA: American Occupational Therapy Association.

[6] Rutkowski, S., Kiper, P., Cacciante, L., Mazurek, J., & Turolla, A. (2020). Use of virtual reality-based training in different fields of rehabilitation: A systematic review and meta-analysis. *Journal of Rehabilitation Medicine*, 52(11), 1-16.

[7] Byrne, A. L., Marais, B. J., Mitnick, C. D., Lecca, L., & Marks, G. B. (2015). Tuberculosis and chronic respiratory disease: a systematic review. *International Journal of Infectious Diseases*, 32, 138-146.

[8] Güell, R., Resqueti, V., Sengenis, M., Morante, F., Martorell, B., Casan, P., & Guyatt, G. H. (2006). Impact of pulmonary rehabilitation on psychosocial morbidity in patients with severe COPD. *Chest*, 129(4), 899-904.

[9] Panagioti, M., Scott, C., Blakemore, A., & Coventry, P. A. (2014). Overview of the prevalence, impact, and management of depression and anxiety in chronic obstructive pulmonary disease. *International journal of chronic obstructive pulmonary disease*, 1289-1306.

[10] Seidman, Z., McNamara, R., Wootton, S., Leung, R., Spencer, L., Dale, M., ... & McKeough, Z. (2017). People attending pulmonary rehabilitation demonstrate a substantial engagement with technology and willingness to use telerehabilitation: a survey. *Journal of physiotherapy*, 63(3), 175-181.

[11] Colombo, V., Aliverti, A., & Sacco, M. (2022). Virtual reality for COPD rehabilitation: A technological perspective. *Pulmonology*, 28(2), 119-133.

[12] Jung, T., Moorhouse, N., Shi, X., & Amin, M. F. (2020). A virtual reality-supported intervention for pulmonary rehabilitation of patients with chronic obstructive pulmonary disease: mixed methods study. *Journal of medical Internet research*, 22(7), e14178.

[13] Mazzoleni, S., Montagnani, G., Vagheggini, G., Buono, L., Moretti, F., Dario, P., & Ambrosino, N. (2014). Interactive videogame as rehabilitation tool of patients with chronic respiratory diseases: preliminary results of a feasibility study. *Respiratory medicine*, 108(10), 1516-1524.

[14] Rutkowski, S., Szczegielniak, J., & Szczepańska-Gieracha, J. (2021). Evaluation of the efficacy of immersive virtual reality therapy as a method supporting pulmonary rehabilitation: A randomized controlled trial. *Journal of Clinical Medicine*, 10(2), 352.

UNDER PEER REVIEW