

Determinants of adherence to antiretroviral therapy in people living with HIV, followed up at Lubumbashi, Democratic Republic of Congo

ABSTRACT

Aims: The aim of the present study was to determine the level of adherence and the factors associated with good adherence to antiretroviral treatment (ART) among people living with HIV (PLHIV).

Study design: Cross Sectional Study

Place and Duration of Study: Internal medicine department (Infectious Diseases Unit), Lubumbashi University Clinics, in Democratic Republic of Congo (DRC), between 15th May 2021 and 17th July 2021.

Methodology: We included 137 patients (56 men, 81 women; age range 18-70 years) with HIV and, all participants were interviewed on a first-come, first-served basis. The association between factors affecting adherence and the level of adherence was analyzed using a multiple logistic regression model, and odds ratios (ORs) with 95% confidence intervals (CIs) calculated. Among 137 PLHIV, 76.64% had good ART adherence ($\geq 95\%$). Bivariate analysis revealed numerous factors associated with adherence ($P = 0.05$). However, in multivariate analysis, being on ART for more than three years and abstinence from alcohol were the factors influencing good ART adherence ($P = 0.05$).

Results: Among 137 PLHIV, 76.64% had good ART adherence ($\geq 95\%$). Bivariate analysis revealed numerous factors associated with adherence ($P = .05$). However, in multivariate analysis, being on ART for more than three years and abstinence from alcohol were the factors influencing good ART adherence ($P = .05$).

Conclusion: Raising awareness among PLHIV, regular counseling of patients regarding daily intake of antiretroviral drugs and abstinence from alcohol, could contribute to good adherence and long-term success of ART among PLHIV.

Keywords: ART, HIV/AIDS, adherence, DRC

1. INTRODUCTION

The World Health Organization (WHO) currently estimates that 37.7 million (30.2 million-45.1 million) people were living with the human immunodeficiency virus (HIV) in 2020, of whom 680,000 (480,000-1.0 million) died of AIDS-related illnesses and around 1.5 million [1.0 million-2.0 million] became newly infected with HIV by the end of 2020 [1].

WHO also reports that only 28.2 million people living with HIV had access to antiretroviral treatment by June 30, 2021 [1,2].

Although the introduction of antiretroviral therapy (ART) has significantly reduced HIV-related morbidity and mortality in both developed and developing countries, sub-Saharan

26 Africa remains the epicenter of HIV, accounting for over 67% of the 37.7 million people living
27 with HIV worldwide [1,3].
28 In line with the 95-95-95 goal of accelerating the response to HIV/AIDS (Acquired Immune
29 Deficiency Syndrome) to end the HIV/AIDS epidemic by 2030, WHO has made everyone
30 with HIV eligible for treatment, including pregnant women and children regardless of CD4
31 count, "treating everyone" [4,5].
32 In the Democratic Republic of Congo (DRC), the 2018-2019 national HIV/AIDS response
33 report, indicates that around 527,831 people are living with HIV/AIDS, representing a
34 prevalence of 0.8%. 321,222 (60.8%) people are on antiretroviral treatment, 51% of whom
35 had an undetectable viral load at the end of 2019 [6]. This situation suggests that the DRC
36 has not been able to achieve the 90-90-90 targets, which stipulates that by 2020, 90% of all
37 people living with HIV will know their HIV status, 90% of all people diagnosed with HIV
38 infection will receive sustained antiretroviral therapy, and 90% of all people receiving
39 antiretroviral therapy will have viral suppression [4].
40 Antiretroviral drugs are the therapeutic mainstay in the control and prevention of infection
41 caused by the Human Immunodeficiency Virus (HIV). The current antiretroviral regimens
42 include drugs with impressive inhibitory power on viral replication, thus reducing viral load to
43 an undetectable level, improving the quality of life of people living with HIV and helping to
44 reduce HIV-related morbidity and mortality [3,7].
45 Antiretroviral treatment does not cure HIV infection, but it can control disease progression
46 and effectively suppress viral replication when taken at the right time, in an optimal manner.
47 It also prevents the onset and progression of opportunistic infections by increasing CD4
48 counts [10,11].
49 However, the efficacy of these antiretroviral drugs depends mainly on patient adherence to
50 treatment [8,9]. Medication adherence is defined by WHO as "the extent to which a person's
51 behavior with regard to taking medication, following a diet and/or making lifestyle changes
52 corresponds to the agreed recommendations of a healthcare provider" [4].
53 Treatment adherence and medication compliance are necessary for HIV-infected patients to
54 optimize ART efficacy. Getting patients to take medication daily is one of the greatest
55 challenges for effective antiretroviral therapy, as poor adherence to treatment can lead to
56 virological failure and drug resistance [16].
57 The extent and impact of poor adherence to antiretroviral drugs is greater in developing than
58 in developed countries [11]. For instance, in an Ivorian study carried out at the Wale
59 medical-social center in Yamoussoukro, Indri-Kouakou found an antiretroviral (ARV)
60 adherence rate of 77.8% for men and 79.8% for women [12]. In Nepal, at the Chitwan
61 antiretroviral treatment center, Sujana Neupane et al found an overall ARV adherence rate of
62 87.4% [2].
63 To determine the true level of ARV adherence, data is needed in developing countries
64 among people living with HIV such as adults, adolescents, children and pregnant women,
65 marginal and key populations. Many previous studies in our setting have focused solely on
66 the epidemiological aspect, and very few have explored ART adherence levels. Yet the key
67 lies in consistently high levels of adherence.
68 The present study aims to determine the level of adherence to antiretroviral drugs and its
69 associated factors among PLVIH receiving antiretroviral therapy in a university hospital in
70 the south of the Democratic Republic of Congo.
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75 **2. MATERIAL AND METHODS**

77 **2.1. Study design and context**

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79 A descriptive cross-sectional study was carried out in a tertiary care teaching hospital in the
80 south of the Democratic Republic of Congo (CliniquesUniversitaires de Lubumbashi)
81 between 15th May 2021 and 17th July 2021. The CliniquesUniversitaires de Lubumbashi has
82 a care unit for patients (adults and children) suffering from HIV/AIDS. The unit currently has
83 a cohort of 3855 HIV patients (including satellite centers). All these patients are on
84 antiretroviral therapy (ART).

85 The first-line regimen used in the DRC since 2018 is as follows: TDF (300 mg)/ 3TC (300
86 mg) /DTG (50 mg); The second-line regimen is TDF (300 mg) / 3TC (300 mg) / EFV (400
87 mg) [6].

88 All patients attending the PLHIV care unit at Lubumbashi University Clinics were considered
89 the sample population. Inclusion criteria were patients aged ≥ 18 years, on antiretroviral
90 therapy (ART) for at least six months and attending the hospital during the data collection
91 period.

92 Notwithstanding, patients reluctant to participate in the study and patients with known
93 hearing impairment and mental disorders were excluded from the study.

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95 **2.2. Determination of sample size and sampling procedure**

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97 Participants were selected using the systematic random sampling method, and all 137
98 participants were interviewed on a first-come, first-served basis.

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100 The sample was determined by.

$$101 n = \frac{NZ^2p(1-P)}{d^2(N-1)+Z^2p(1-P)}$$

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104 $Z_{\alpha} = 1.96$ for 95% confidence interval.

105 $p =$ Prevalence of ART Adherence.

106 ($p = 0.7$)

107 $d =$ precision or error in the study = 0.02.

108 Total eligible study population were (N) =150.

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110 Sample size =142 + 10% non response rate.

111 = 157.

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114 **2.3. Data collection tool and procedure**

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116 A structured, interviewer-administered questionnaire was used to collect data in a face-to-
117 face interview to assess treatment adherence over the last 1 month. Questions on
118 explanatory variables were prepared using the WHO conceptual model and by reviewing the
119 international literature. A personal interview was conducted with each eligible study patient
120 who met the inclusion criteria, and informed consent was obtained after explaining the
121 interest of this study in some official and national languages (French, Swahili, and Lingala).

122 Pill counting is cost-effective, simple and more accurate than other methods [17]; however,
123 the number of pills left does not necessarily reflect consistent medication use. Therefore,
124 adherence status was assessed on the basis of the number of pills reported as actually
125 taken one month prior to the data collection period, divided by the number of pills prescribed,
126 multiplied by 100%. Patients who reported taking $\geq 95\%$ of the prescribed medication were
127 considered adherent (optimal); those reporting $<95\%$ uptake were classified as non-
128 adherent (suboptimal).

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130 Compliance was calculated using the following formula:

131 Adherence percentage = $\frac{\text{Number of pills taken during the specific period (1month)}}{\text{Number of pills prescribed}} \times 100$

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Number of pills to be taken during that specific period (1 month)

The compliance performance chart has been used to classify optimal and suboptimal compliance [2].

Table 1. Adherence performance chart

No of pills per day		Percentage of adherence		
		>95%	80-95%	<80%
1	Number of pills	1	2 to 6	7 or more
2	missed in a	3 or less	4 to 12	13 or more
3	month	4 or less	5 to 18	19 or more
4		6 or less	7 to 24	25 or more

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2.4. Data management and analysis

Collected data were edited, coded and entered manually into Excel version 3.1 database software. The data was then exported to Statistical Package for Social (SPSS) version 25 for further statistical analysis.

In the bivariate analysis, the chi-square test and odds ratios were used to test the significance of the association between the independent variables and the dependent variable. Independent variables found to be significant at p-value 0.10 in the bivariate analysis were included in the multivariate logistic regression model. The multivariable logistic regression model was run to determine the net effect of independent variables on adherence to antiretroviral treatment.

A total of 137 cases were analyzed. Due to the presence of outliers, 5 cases were excluded from the analysis in order to adjust model fit. The goodness-of-fit of the model was assessed using the Hosmer and Lemshow test, which showed that the model was statistically insignificant.

Model adequacy was assessed by means of a scatter plot of the standardized residual, the value of leverage and Cooks' influence analogue.

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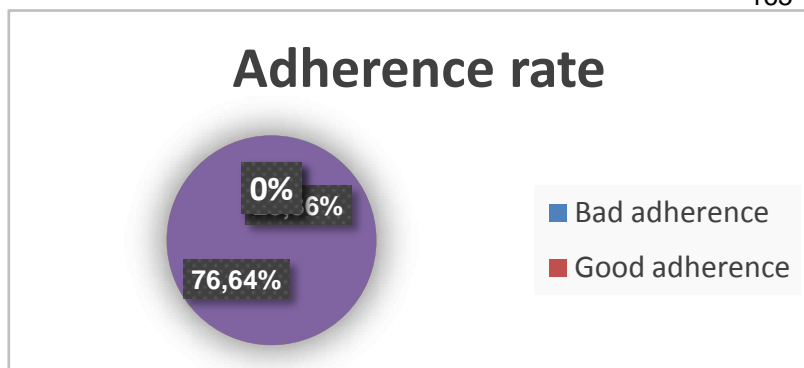
3. RESULTS

3.1. Adherence rate

162 Of the 137 people surveyed, 76.64% were optimally adherent (took $\geq 95\%$ of the prescribed
163 medication).

164 The schematic representation of the adherence rate is shown in Fig. 1.

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167
168 Fig.1. Level of adherence
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3.2. Socio-demographic characteristics of respondents

174 Good adherence was observed in 76.64% of respondents. Females predominated (59.1%),
175 and the average age of respondents was 41.8 years. The majority (70.1%) of patients
176 belonged to the Protestant religion. 50.4% of respondents had a secondary education. Over
177 40.1% of the patients surveyed were married, while 34.3% were single. Most respondents
178 did not consume alcohol (59.9%) or smoke cigarettes (88.3%). The majority of respondents
179 were unemployed (55.5%); 52.6% had been on ARVs for less than three years and 47.4%
180 for more than 3 years; 56.9% of respondents had not disclosed their HIV status to their close
181 contacts, and 7.3% had a tuberculosis co-infection at the start of their ARV treatment.

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Table 2. Baseline characteristics of the participants

Characteristics	Number	Percentage
Sex		
Feminine	81	59,1
Masculine	56	40,9
Ages (years)		
18- 29	20	14,6
30- 39	45	32,8
40- 49	37	27
≥ 50	35	25,5
Mean±SD	41,8±12,5	
Educationallevel		
Primary	29	21,2
Secondary	69	50,4
Tertiary	39	28,5
Marital Status		
Single	47	34,3
Married	55	40,1

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Widow (widower)	23	16,8
Divorced	12	8,8

continued

Characteristics	Number	Percentage
Employment		
Employed	61	44,5
Non-employed	76	55,5
Alcoholconsumption		
Yes	55	40,1
No	82	59,9
Use of tobacco		
Yes	16	11,7
No	121	88,3
Co-infection TB/HIV		
Yes	10	7,3
No	127	92,7
Duration on ARV		
< 3 years	72	52,6
>3 years	65	47,4
Disclosure of HIV+ status		
Yes	59	43,1
No	78	56,9
Religion		
Catholic	39	28,5
Protestant	96	70,1
Muslim	2	1,5

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3.3. Multivariable logistic regression model of factors associated with ART adherence

192 Using multivariable logistic regression of factors associated with ART adherence,
 193 respondents who did not consume alcohol were 5 times more likely to adhere to ART than
 194 those who did (OR= 5.36; CI: 1.94-14.79).
 195 Participants aged 50 and over were 6 times more likely to adhere to ART than those aged
 196 under 50 (OR= 6.17; CI: 1.10-34.75).
 197 Employed participants were 4 times more likely to adhere to ART than those who were
 198 unemployed (OR= 4.09; CI: 1.33-12.56).
 199 The results of the multivariable logistic regression model of factors associated with ART
 200 adherence are presented in Table 3.

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Table 3. multivariable logistic regression model of factors associated with ART adherence

Variables	Adherence optimal N(%)	Adherence sub optimal N(%)	P-value	OR(IC95%) Non adjusted	P-value	OR(IC95%) adjusted
Sex						
Female	60 (74,0)	21 (25,9)	0,54	1,35(0,63-2,86)	1	
Male	38 (67,8)	18 (32,1)				
Age (years)						
18- 29	10(50)	10(50)		1		
30- 39	29(64,4)	16(35,6)	0,17	2,4(0,8-7,3)	0,52	1,5(0,3-6,2)
40- 49	27(73)	10(27)	0,01	5,1(1,5-17,8)	0,09	3,7(0,7-18,3)
≥ 50	32(91,4)	3(8,6)	<0,00	10,6(2,4-46,5)	0,03	6,1(1,1-34,7)
Profession						
Employed	48 (78,6)	13 (21,3)	0,09	1,9(0,8-4,1)	0,01	4,0(1,3-12,5)
Non-employed	50 (65,7)	26 (34,2)		1		
Statut matrimonial						
Single	29(61,7)	18(38,3)		1		
Divorced	9(75)	3(25)	0,39	0,5(0,1-2,2)		
Widow	18(78,3)	5(21,7)	0,16	0,4(0,1-1,4)		
Married	42(76,4)	13(23,6)	0,10	0,4(0,2-1,1)		

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Continued

Level of education							
Primary	19(65,5)	10(34,5)		1			
Secondary	49(71)	20(29)	0,58	0,7(0,3-1,9)			
Tertiary	30(76,9)	9(32,1)	0,29	0,5(0,1-1,6)			
Alcohol consumption	71(86,5)	11(13,4)	<0,0	6,6(2,9-15,2)	<0,0	5,3(1,9-14,7)	
No	27(49,0)	28(50,9)	0	1	0		
Yes							
Use of tobacco							
No	92(76,0)	29(23,9)	0,00	5,2(1,7-15,8)	0,28	2,1(0,5-8,5)	
Yes	6(37,5)	10(62,5)	1	1			
Duration on ARV							
<3years	41(56,9)	31(43,0)	<0,0	0,1(0,0-0,4)	0,42	0,6(0,1-1,9)	
>3years	57(87,6)	8(12,3)	0	1			
Disclosure of HIV+ status	47 (79,7)	12 (20,3)	0,06	2,0(0,9-4,5)	0,38	0,6(0,2-1,7)	
Yes	51 (65,4)	27 (34,6)		1			
No							
Religion							
Catholic	28(71,8)	11(28,2)	0,91	0,9(0,4-2,1)			
Protestant	68(70,8)	28(29,2)		1			
Muslim	2(100%)	0					

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3.4. Model fit testing

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Several standard measures of model fit were used to analyze model fit to observed data.

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Log-likelihood (LL) was used to access overall model fit. To see the degree of explanation by

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the covariates used in the model adjusted for variation in membership level, the pseudo R-

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two was calculated.

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Negelkerke's pseudo R-two measures the proportion of variation in the dependent variables

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that can be explained by the model's predictors. Here, $R^2 = 0.405$, which means that 40.5%

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of the variation in adherence rate was explained by the model's predictors (the independent

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variables.)

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The results of the model fit test are presented in Table 4 (Table IV below).

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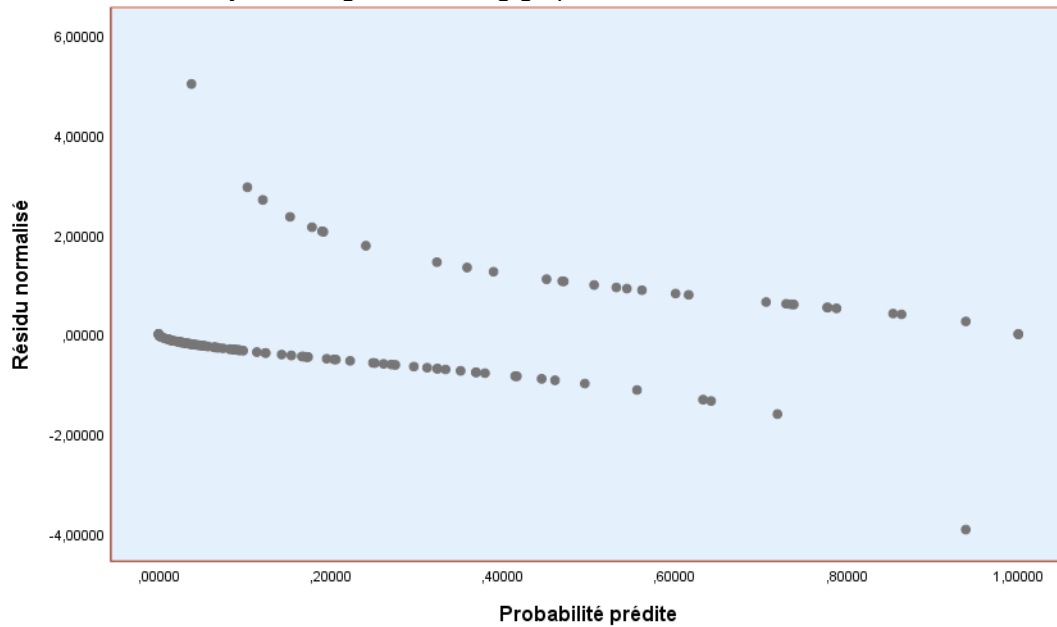
Table 5. Model fit test

Model summary		
2 log likelihood 118,19	Cox et Snell R Square 0,282	Nagelkerke R Square 0,405
Hosmer et Lemeshow		
Chi carré 4,269	DL 8	Pvalue 0,832

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3.5. Analysis for bias

Residuals were analyzed using the following graphs

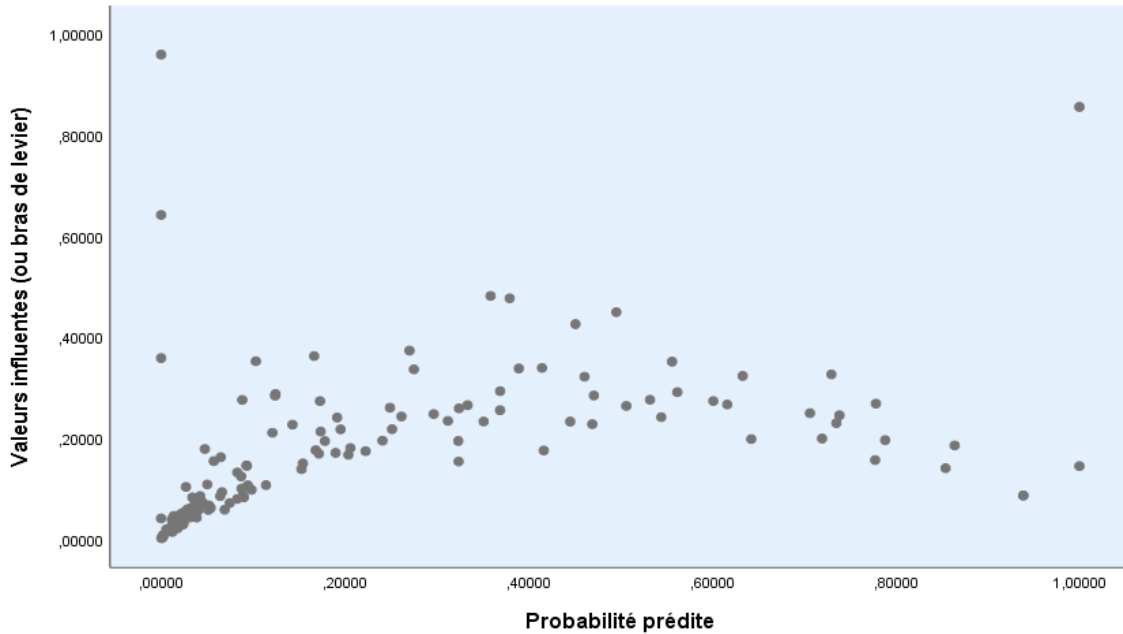


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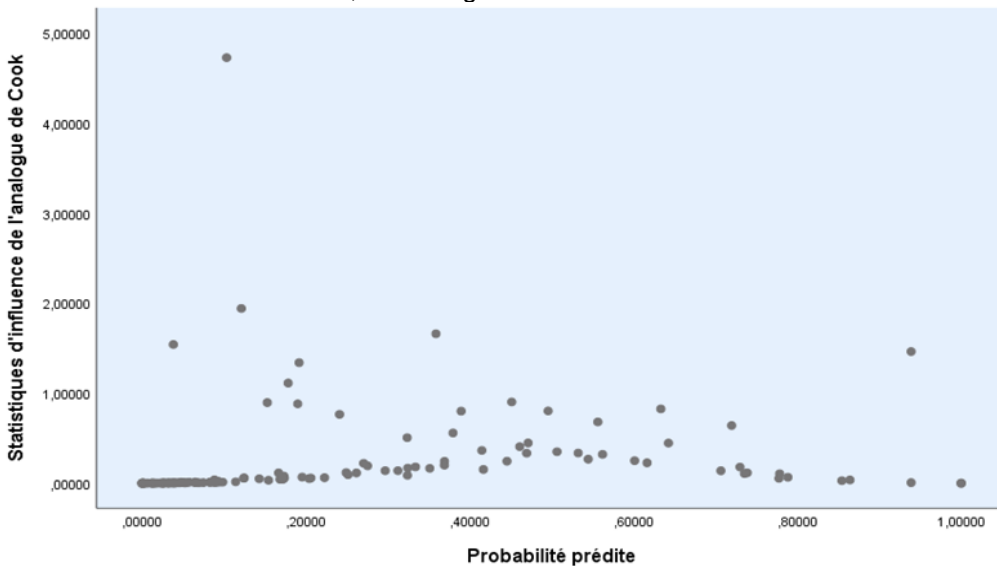
Fig 2: Scatter plot for outliers (standardized residual)

Standardized residuals for the adhesion level are shown in Fig. 2.

The figure shows that the standardized residual is less than 6, which means that there are no influential cases having an effect in the model.



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 232 Fig 3. Scatter diagram for influential values (lever arm)
 233 Another method of detecting influential values is the lever arm.
 234 According to the scatter plots of influential values for adhesion level, as shown in Fig. 3,
 235 influential values are below 0.6, indicating the absence of outliers.



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 237 Fig 4. Scatterplots for outliers (Cooks influence analogue)
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 240 Cooks' distance is proposed to measure the effect of excluding a specific observation on the
 241 overall parameter estimates. Cooks gives the value of D , $d > 1$ identifies the case that could
 242 be influential, as shown in figure 4.
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244 **4. DISCUSSION**

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246 In this study, we examined the level of adherence to antiretroviral therapy and associated
247 factors in PLHIV followed up in the care unit of the university clinic in Lubumbashi, DRC.

248 The results of our study indicate that, out of 137 PLHIV surveyed, 105 (76.64%) showed
249 good adherence. The adherence rate in our setting was better than that found by Banagi et
250 al in India (76.64% vs. 70.4%), and by Achappa et al [3,18-20]. However, other studies
251 conducted in Nepal and Nigeria have shown better adherence to ART than the results of our
252 study [2, 21].

253 The good level of adherence in our institution could be explained by the fact that the entire
254 care team (doctors, nurses, peer educators) were involved in the follow-up of PLHIV
255 receiving free ART. This follow up was conducted notably through pre-treatment counseling
256 on the benefits of daily intake and the consequences of discontinuation or irregular intake of
257 antiretroviral drugs (appearance of opportunistic infections and ART-resistant viral strains).
258 The follow up also included telephone reminders, a few days before the ARV supply
259 appointment.

260 This better adherence could also be due to the fear of stigmatization by society and
261 redundancy in their families, if the respondent's state of health should deteriorate. Therefore,
262 they are obliged to adhere to treatment in order to maintain their social standing and fulfill
263 their responsibilities in their families. Nevertheless, our results answered the research
264 questions, an adherence rate to antiretroviral therapy of 76.64% was identified.

265 Pettersen et al found that patients did not adhere to their treatment regimen for reasons that
266 could be intentional or unintentional [13]. Barriers to treatment adherence include fear of
267 disclosure of HIV status, stigma, discrimination, forgetfulness and treatment discontinuation
268 [3]. Medication-related factors may include adverse effects and complex dosing regimens.
269 Health system factors include remoteness from health services, long waiting times for care,
270 receiving only one month's worth of medication, pharmacy stock-outs and the burden of
271 direct and indirect costs of care [4].

272 The chronicity of HIV and the duration of treatment also pose a significant threat to
273 adherence. For instance, a study by Molloy et al highlighted a significant increase in the level
274 of non-adherence to medication between the immediate treatment period and after six
275 months of treatment [7]. Furthermore, The WHO has observed that patients with long-term
276 illnesses have difficulty adhering to treatment instructions [8].

277 A study by Patou et al in Kinshasa (DRC) highlighted that food insecurity was significantly
278 associated with non-adherence to ART. [14] He also discovered in a subsequent study that
279 religious beliefs were both an obstacle and a facilitator to ART adherence [15].

280 In comparison with the studies mentioned above, the statistically significant determinants of
281 adherence ($P < 0.05$) in our study were non consumption of alcohol, age equal to or greater
282 than 50 years and being employed.

283 Alcohol consumption has been incriminated in several studies as one of the main reasons
284 for poor adherence to ART [2, 3, 19, 20]. In our study, participants who did not consume
285 alcohol showed better adherence to ART compared with those who did, and this association
286 between ART adherence and not consuming alcohol was statistically significant ($P < 0.05$).
287 This could be due, on one hand, to the counseling initiated by healthcare providers prior to
288 the start of ART, concerning the possible consequences of alcohol consumption on drug
289 efficacy. It can also be assumed that participants who consumed alcohol regularly and got
290 drunk, were more likely to forget to take their ARVs and therefore less adherent.

291 In our study, PLHIV aged over 50 years showed better adherence to ART than those aged
292 less than 50 years ($P < 0.005$). Our results are contrary to those found by Achhapa et al in
293 India, who found that patients over 49 years of age were less adherent than patients under
294 49 years of age. The reasons being that the older you get, the more likely you are to forget
295 to take your medication [18]. Nevertheless, our results were similar to those found by Laher
296 et al [22]. The reasons for this statistically significant association ($P < 0.05$) in our study,

297 would be justified by the fact that, at the age of 50, men become increasingly conscious of
298 their lives and responsibilities. They therefore tend to think more about their children's future,
299 so that their health becomes a priority.
300 Duration of treatment did not show statistically significant results in our study, in contrast to
301 results found in other studies [2, 3, 20, 21]. It is likely that these patients who are on long
302 term treatment, might assume that they have been cured of the disease, prematurely.
303 Therefore, they could deem it unnecessary to continue taking their medication.
304 The limitations of this study lie entirely on the fact that it was the patients themselves who
305 reported the number of tablets missed in the last month. Other methods of measuring
306 adherence to ART were not used. The identified factors associated with adherence were
307 based entirely on the interview process. Participants came mainly from different communities
308 in the city of Lubumbashi, so our results may not reflect the realities of adherence in other
309 urban and rural settings.

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314 **5. CONCLUSION**

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316 The present study has enabled us to identify some of the predictive factors associated with
317 good adherence among PLHIV in a resource-limited setting. Although there is still a huge
318 challenge to be met in a developing country like the Democratic Republic of Congo with
319 regard to good adherence to ARV therapy among patients with HIV infection. The adherence
320 rate observed in our study should be maintained as this will further enable us to maximize
321 the benefits of antiretroviral treatment on the health status of PLHIV.

322

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324

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330 institution for the realization of this study.

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334 **COMPETING INTERESTS**

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336 No conflicts of interest have been declared among the authors.

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338 **AUTHORS' CONTRIBUTIONS**

339

340 GYN and CS designed the study, performed the statistical analysis, drafted the protocol and
341 the first version of the manuscript. GYN managed the study analyses and the literature
342 search. All authors have read and approved the final manuscript."

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