

Original Research Article

**INCIDENCE, RISK FACTORS AND MICROBIOLOGICAL PROFILE OF VENTILATOR ASSOCIATED PNEUMONIA PATIENTS IN ICU IN TERTIARY CARE HOSPITAL**

**ABSTRACT**

**Background:** VAP, or ventilator-associated pneumonia, is one of the most common ICU-acquired diseases and a significant cause of mortality among ICU patients (ICU). Infectious illnesses are recurrently underestimated in the South Asian Region, which has limited health resources.

**Objective:** To examine the incidence of VAP, risk factors associated and the microbiological profile in ICU patients in tertiary care hospitals.

**Methods:** A total of 114 patients under mechanical who satisfied all inclusion criteria were selected. Detailed history, investigations were undertaken. The diagnosis of VAP was made according to clinical and laboratory findings (as per CDC criteria) and incidence was derived from the number of patients developing VAP out of the total number of patients on ventilatory support in ICU.

**Results:** We included 114 patients in our study. Out of 114 patients, the majority were above 70 years age group. Mean age of study population was  $61.29 \pm 13.42$  years. Out of 114, 80 patients i.e. 70.2% were males and 34 (29.8%) were females. Male: female ratio was 2.3:1.

*Klebsiella Pneumonia* was a commonly observed organism in cultures i.e. 30.7%, followed by *Pseudomonas Aeruginosa* in 27.2% and *Acinetobacter Baumannii* in 19.3%. Antibiotic sensitivity pattern of *Klebsiella Pneumonia* showed resistance to Carbapenemase in 20 (57.1%) cases and to ESBL in 15 (42.9%) cases. Death rate in our study was 17.5%

**Conclusion:** The outcome of VAP depends on rapid identification of the causative microorganism. Empirical therapy based on knowledge of the most prevalent microorganisms and their resistance pattern has an impact on lowering morbidity and mortality, shortening the length of hospital stay, lowering of treatment expenses, and prevents the development of MDR bacteria in patients with VAP.

**KEYWORDS:** Ventilator-associated pneumonia, VAP, ICU, *Klebsiella Pneumonia*, *Acinetobacter*

**Comment [u1]:** Better to modify the title Assessment of incidence risk factors and microbiological profile of ventilator associated pneumonia patients in ICU territory care hospital (it is required to add the study area here)

**Comment [u2]:** Abbreviation is not required in abstract part

**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

**Comment [u3]:** Abbreviation is not required in abstract part

**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

**Comment [u4]:** Abbreviation is not required in abstract part

**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

**Comment [u5]:** You need to include the statistical analysis you used in the method part here.

**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

**Comment [u6]:** You need to use binomial naming to write the scientific name of the microorganisms.

**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

**Comment [u7]:** You need to use binomial naming to write the scientific name of the microorganisms either italicized or underlined.

**Formatted:** Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

**Comment [u8]:** Better to write the full words rather than using abbreviation in abstract part.

**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

## INTRODUCTION

Ventilator-associated pneumonia (VAP) is defined by infection of the pulmonary parenchyma in patients exposed to invasive mechanical ventilation for at least 48 h and is part of ICU-acquired pneumonia. VAP remains one of the most common infections in patients requiring invasive mechanical ventilation. Despite recent advances in microbiological tools, the epidemiology and diagnostic criteria for VAP are still controversial, complicating the interpretation of treatment, prevention, and outcomes studies. VAP imposes a significant economic burden. A recent cost evaluation from the USA estimated that the attributable cost of VAP to be \$40,144 (95% CI \$36,286–\$44,220).<sup>1,5</sup>

The principal risk factor for the development of VAP is endotracheal tube, which predisposes to micro aspiration of contaminated oropharyngeal secretions. Duration of mechanical ventilation, supine patient positioning, enteral feeding, modifiable factors associated with prolonged intubations such as oversedation or lack of protocol driven weaning increase the risk of developing pneumonia.<sup>2</sup>

Ventilator associated Pneumonia is categorized as early onset, if the infection occurs within first four days of mechanical ventilation and late onset if it occurs from 5th day onwards. Early onset is commonly caused by antibiotic sensitive, community acquired organisms, whereas late onset is caused by multiple drug resistant nosocomial strains. Early onset pneumonia is likely to be caused by *Staphylococcus aureus*, *Streptococcus pneumoniae* or *Haemophilus influenzae*, whereas late onset is caused by multidrug resistant strains of *Pseudomonas aeruginosa*, *Acinetobacter* or *Methicillin resistant Staphylococcus aureus* (MRSA).<sup>1,2</sup>

The incidence of VAP occurs in 9-27% of mechanically ventilated patients with about 5 cases per 1000 ventilator days. The etiologic agents of VAP include common nosocomial pathogens such as *Pseudomonas* spp, *Acinetobacter* and other non-fermenters, members of Enterobacteriaceae family, *Staphylococcus* and *Candida* spp.<sup>3,4</sup>

**Comment [u9]:** Use binomial naming rule when you write the scientific name of the microorganisms.

**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

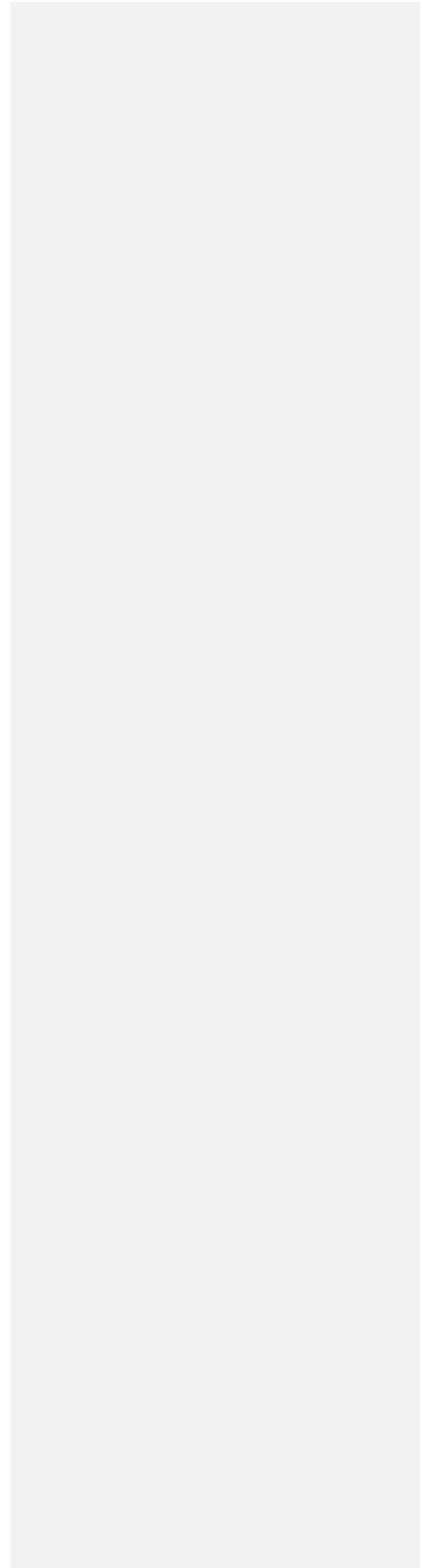
**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

VAP should rather be suspected in patients with clinical signs of infection, such as at least two of the following criteria: new onset of fever, purulent endotracheal secretions, leucocytosis or leukopenia,

UNDER PEER REVIEW



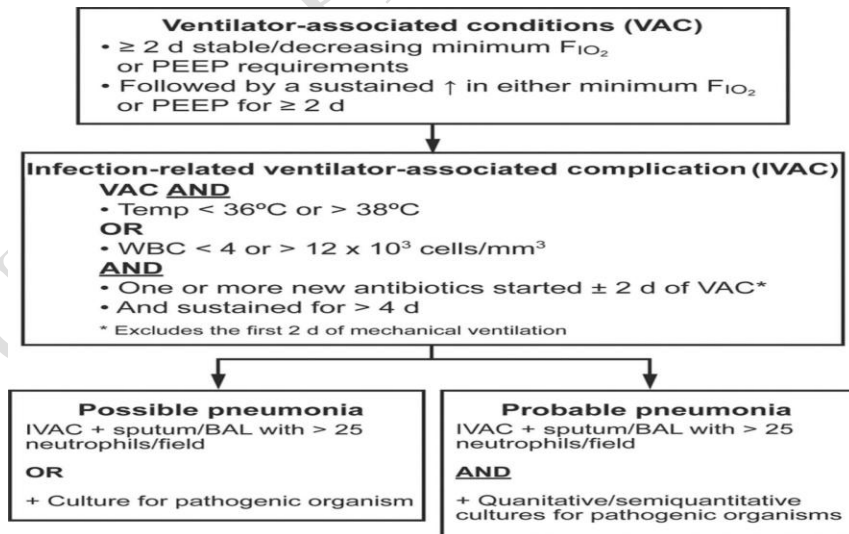
increase in minute ventilation, decline in oxygenation, and/or increased need for vasopressors to maintain blood pressure. These signs are not specific for VAP, however, and can often be observed in the many conditions that mimic VAP (e.g., pulmonary edema, pulmonary contusion, pulmonary hemorrhage, mucous plugging, atelectasis, thromboembolic disease, etc.).

Although almost all definitions for suspecting (and diagnosing) VAP include radiographic criteria (new or progressive and persistent infiltrates), it is well known that chest X-rays are neither sensitive nor specific for VAP.<sup>6</sup>

In the new VAE model, before considering VAP as a diagnosis, certain precursor clinical events must be fulfilled. It includes objective criteria related to lung deterioration of lung function i.e. ventilator associated condition (VAC) and its incidence with lab values and institution of (or changes in) antibiotic administration (infection related ventilator associated complication (IVAC)).

Both VAC and IVAC constitute VAE and are intended for public reporting purposes. Once these conditions have been met, varying amounts of microbiological evidence may occur within 2 days prior to or following deterioration of pulmonary functions that used to make diagnosis of either possible or probable VAP. Both possible and probable VAP will likely be limited to intra-institutional quality improvement measurements.

List 1. ventilator associated condition model



Detection of the etiologic agents is crucial for the diagnosis of VAP which is done by collecting the lower respiratory tract sample either by invasive methods like protected specimen brush (PSB) and broncho-alveolar lavage (BAL) or non-invasive techniques endotracheal aspirate (ETA). For diagnosis of VAP, quantitative/semi-quantitative culture of endotracheal aspirate or bronchoscopic aspirates from the infected lung segments are recommended for the optimization of antibiotic use.<sup>4</sup>

Hence the present study is one of the first studies in India (Pre covid era) with the objective to assess the incidence, risk factors and microorganisms causing ventilator associated pneumonia using the new criteria as mentioned above.

## MATERIALS AND METHODS

**Study population:** ICU patients on mechanical ventilation

**Study period:** The study duration is for a period of 1 year 6 months. (November 2018 to April 2020)

**Study design:** Hospital based prospective observational study.

**Sampling technique:** Simple random sampling method

**Inclusion criteria:**

All ICU patients above the age of 18 years of either gender who will be receiving mechanical ventilation, then developed ventilator associated pneumonia are included in this study

**Exclusion criteria:**

- Age < 18 years
- Patients developing new lesion in CHEST X-RAY within 48 hours after mechanical ventilation
- Patients who have recent surgery are excluded from

**Variables used in study:** Age, gender, VAP microorganisms, risk factors

**Methods of data collection:**

Data was collected using a pretested proforma meeting the objectives of the study. Detailed history, investigations were undertaken. The purpose of the study was explained to the patient and their attendants and informed consent **will be** obtained.

Patients were selected for study who satisfied all inclusion and exclusion criteria. Relevant history including symptoms and signs at presentation, past medical history and clinical examination findings **are** noted.

**Comment [u10]:** You need to add the study area and describe the study area clearly for the readers.

**Comment [u11]:** Your sample size is not stated.

**Comment [u12]:** You need to write in past tense form.

**Formatted:** Font: 12 pt, Font color: Red

**Comment [u13]:** Were recorded

**Formatted:** Font: 12 pt, Font color: Red

**Formatted:** Font: 12 pt, Font color: Red

The diagnosis of VAP was made according to clinical and laboratory findings (as per CDC criteria) and incidence was derived from the number of patients developing VAP out of the total number of patients on ventilatory support in ICU.

## INVESTIGATIONS

- Haemogram includes TC, DC, Haemoglobin%, Erythrocyte sedimentation rate
- Renal function test, includes blood urea, serum creatinine, Serum electrolytes, includes sodium, potassium and chloride levels.
- Liver function test
- CHEST XRAY.
- HRCT CHEST

Bronchoscopy and Broncho alveolar lavage and culture – Bronchoscopy was done - when ET aspirate sterile - focal infiltrate found on Chest X-ray.

Following Risk Factors for VAP were studied - Number of intubations and duration of intubation, aspiration at the time of intubation, duration of mechanical ventilation, tracheostomy, use of nasogastric tube feeding, use of sedative drugs, comorbid conditions like DM, emergency or elective surgeries, sepsis. The patients were followed up till discharge from ICU.

### **Ethical Clearance:**

Ethical Clearance was obtained from the Institutional Scientific review board and ethics committee prior to the commencement of the study.

### **Statistical analysis and methods:**

Data was collected by using a structure proforma. Data thus was entered in MS Excel sheet and analysed by using SPSS 24.0 version IBM USA.

A p value of  $<0.05$  was considered as statistically significant whereas a p value  $<0.001$  was considered as highly significant.

## RESULTS AND DISCUSSION

We included 114 patients in our study. Out of 114 patients, majority were above 70 years age group i.e. 31(27.2%), followed by 30(26.3%) from 61-70 years age group, 26(22.8%) from 51-60 years age group. Least was found in less than 40 years age group i.e. 7% only. Mean age of study population was  $61.29 \pm 13.42$  years. Incidence of early VAP in our study was 34.2% and late

**Comment [u14]:** You need to write/cite the protocol number / reference number of the ethical clearance letter here. Even it is required to attach the ethical clearance letter to the reviewers and editors of the manuscript.

**Comment [u15]:** You need to mention data quality control.

VAP was 65.8%. Incidence of VAP in our study was calculated per 1000 VAP days per 750 patients during the study period who required mechanical ventilation and admitted in ICU. Out of 750 patients on ventilator, 114 developed VAP and the mean duration of ICU stay was 12 days.  $(114 * 1000) / (750 * 12) = 12.7$  per 1000 VAP days. The incidence thus calculated is 12.7 per 1000 VAP days. The VAP thus again further divided depending on duration of occurrence into early and late VAP. Clinical characteristics of host factor revealed diabetes as most common factor in 102 i.e. 89.5% of patients, followed by AKI in 61 (53.5%), chronic lung disease in 27 (23.7%), immunocompromised status in 26 (22.8%), ARDS in 18 (15.8%), poor nutritional status in 17 (14.9%) and liver failure as well as CVA in 4 patients each i.e. 3.5%. CKD was the most commonly seen comorbidity in our study i.e. 27.2%. Prevalence of comorbidities in decreasing order are CKD in 27.2%, HTN in 25.4%, old CVA in 20.2%, COPD in 18.4%, CAD in 8.8%, rheumatism in 1.8% and asthma in 0.9%

*Klebsiella pneumoniae* was commonly observed organism in cultures i.e. 30.7%, followed by *Pseudomonas aeruginosa* (27.2%) and *Aceinobacter baumannii* (19.3%). Antibiotic sensitivity pattern revealed resistance to Carbapenemase in majority of the patients i.e. 59 (51.8%), followed by resistance to ESBL in 19 (16.7%) and 11 i.e. 9.6% to both. Antibiotic sensitivity pattern of *Klebsiella pneumoniae* showed resistance to Carbapenemase in 20 (57.1%) cases and to ESBL in 15 (42.9%) cases. Antibiotic sensitivity pattern of *Pseudomonas aeruginosa* showed resistance to Carbapenemase in 31 (58.1%) cases. Antibiotic sensitivity pattern of *Aceinobacter baumannii* showed resistance to Carbapenemase in 21 (95.5%) cases. Antibiotic sensitivity pattern of *Staphylococcus aureus* showed resistance to B-lactams - Modification of PBP in 3 patients i.e. 75%.

Most common age group in early VAP was 41-50 (28.2%) and 51-60 (30.8%) whereas most common age group in late VAP was 61-70 (33.3%) and above 70 (29.3%). The difference in the proportion of cases between early and late VAP was statistically significant ( $p < 0.05$ )

**Comment [u16]:** First write the full word and write the abbreviation in bracket to introduce for the readers.

**Comment [u17]:** First write the full word and write the abbreviation in bracket to introduce for the readers.

**Comment [u18]:** First write the full word and write the abbreviation in bracket to introduce for the readers.

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic

**Formatted:** Font: 12 pt, Italic, Font color: Red

Percentage of males affected in early VAP were 64.1% compared to 73.3% in late VAP( $p<0.05$ ). Percentage of females affected in early VAP were 35.9% compared to 26.7% in lateVAP( $p<0.05$ )

Poor nutrition status was seen in 15.4% cases of early VAP compared to 14.7% of late VAP which is a statistically significant difference ( $p<0.05$ ). Chronic lung disease was seen in 28.2% cases of early VAP compared to 21.3% of late VAP which is a statistically significant difference ( $p<0.05$ ). DM was seen in 84.6% cases of early VAP compared to 92% of lateVAP which is a statistically significant difference ( $p<0.05$ ). Depressed level of consciousness was seen in 10.3% cases of early VAP compared to 21.3% of lateVAP which is a statistically significant difference ( $p<0.05$ ). AKI was seen in 43.6% cases of early VAP compared to 58.3% of late VAP which is a statistically significant difference ( $p<0.05$ ). ARDS was seen in 12.8% cases of early VAP compared to 17.3% of late VAP which is a statistically significant difference ( $p<0.05$ ). Liver Failure was seen in 2.6% cases of early VAP compared to 4% of late VAP which is a statistically significant difference ( $p<0.05$ ). CVA was seen in 4% of lateVAP which is a statistically significant difference ( $p<0.05$ ). Immunocompromised was seen in 20.5% cases of early VAP compared to 24% of late VAP which is statistically significant difference( $p<0.05$ ).

Comparison of comorbid conditions and its prevalence between early and late VAP was found to be statistically significant ( $p<0.05$ ).

COPD was seen in 23.1% cases of early VAP compared to 16% of late VAP which is a statistically significant difference ( $p<0.05$ ). Old CVA was seen in 12.8% cases of early VAP compared to 24% of late VAP which is a statistically significant difference ( $p<0.05$ ). HTN was seen in 20.5% cases of early VAP compared to 28% of late VAP which is a statistically significant difference ( $p<0.05$ ). CAD was seen in 7.7% cases of early VAP compared to 9.3% of late VAP which is a statistically significant difference ( $p<0.05$ ). CKD was seen in 15.4% cases of early VAP compared to 33.3% of late VAP which is statistically significant difference ( $p<0.05$ )

Comparison of intervention factors and its prevalence between early and late VAP was found to be statistically significant ( $p<0.05$ ). Reintubation was done in 23.1% cases of early VAP compared to 24% of late VAP which is a statistically significant difference ( $p<0.05$ ). Nasogastric feeding was done in 94.9% cases of early VAP compared to 93.3% of late VAP

**Formatted:** Right: 0.08", Space Before: 3 pt

**Comment [u19]:** First write the full word and write the abbreviation in bracket to introduce for the readers.

**Comment [u20]:** First write the full word and write the abbreviation in bracket to introduce for the readers.

**Comment [u21]:** First write the full word and write the abbreviation in bracket to introduce for the readers.

**Formatted:** Right: 0.07"

**Comment [u22]:** First write the full word and write the abbreviation in bracket to introduce for the readers.

which is a statistically significant difference ( $p < 0.05$ ). Sedation was carried out in 48.7% cases of early VAP compared to 52% of late VAP which is a statistically significant difference ( $p < 0.05$ ). Stress ulcer Prophylaxis (PPI) was associated with 92.3% cases of early VAP compared to 94.7% of late VAP which is statistically significant difference ( $p < 0.05$ ). Previous antibiotic intake was seen in 84.6% cases of early VAP compared to 86.7% of late VAP which is statistically significant difference ( $p < 0.05$ ). Steroids given was seen in 59% cases of early VAP compared to 61.3% of late VAP which is statistically significant difference ( $p < 0.05$ ).

Presence of microorganisms and its difference in prevalence between early and late VAP was found to be statistically significant ( $p < 0.05$ )

*Acinetobacter baumannii* present in 20.5% cases of early VAP compared to 18.7% of late VAP which is statistically significant difference ( $p < 0.05$ )

*Klebsiella pneumoniae* present in 23.1% cases of early VAP compared to 34.7% of late VAP which is statistically significant difference ( $p < 0.05$ )

*Pseudomonas aeruginosa* present in 28.2% cases of early VAP compared to 26.7% of late VAP which is statistically significant difference ( $p < 0.05$ )

Out of 114 patients, the majority of the patients were discharged after successful completion of treatment i.e. 94 patients and death occurred in 20 patients. Majority of deaths took place in 61-70 years age group i.e. 9 (9.6%) followed by 5 each i.e. 5.3% in 51-60 and above 70 years. Majority of survivors in our study were above 51 years age group i.e. 72.3%. Percentage of surviving males were 71.3% compared to 13.8% of deaths ( $p < 0.05$ ). Percentage of surviving females were 28.7% compared to 7.4% of deaths ( $p < 0.05$ ). Proportion of deaths were more in late VAP i.e. 17% compared to early VAP i.e. 4.3% ( $p < 0.05$ )

**Comment [u23]:** Instead of writing the significance status of all microorganisms separately, please write their value consecutively in one sentence and say respectively.

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic, Font color: Red

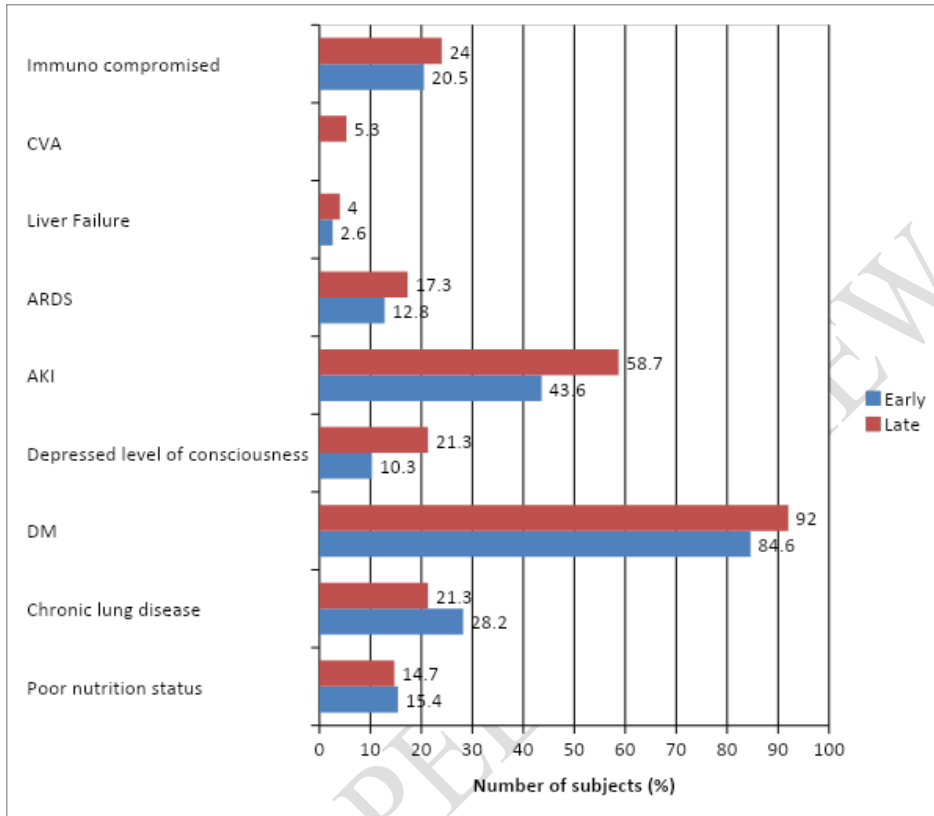
**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic, Font color: Red

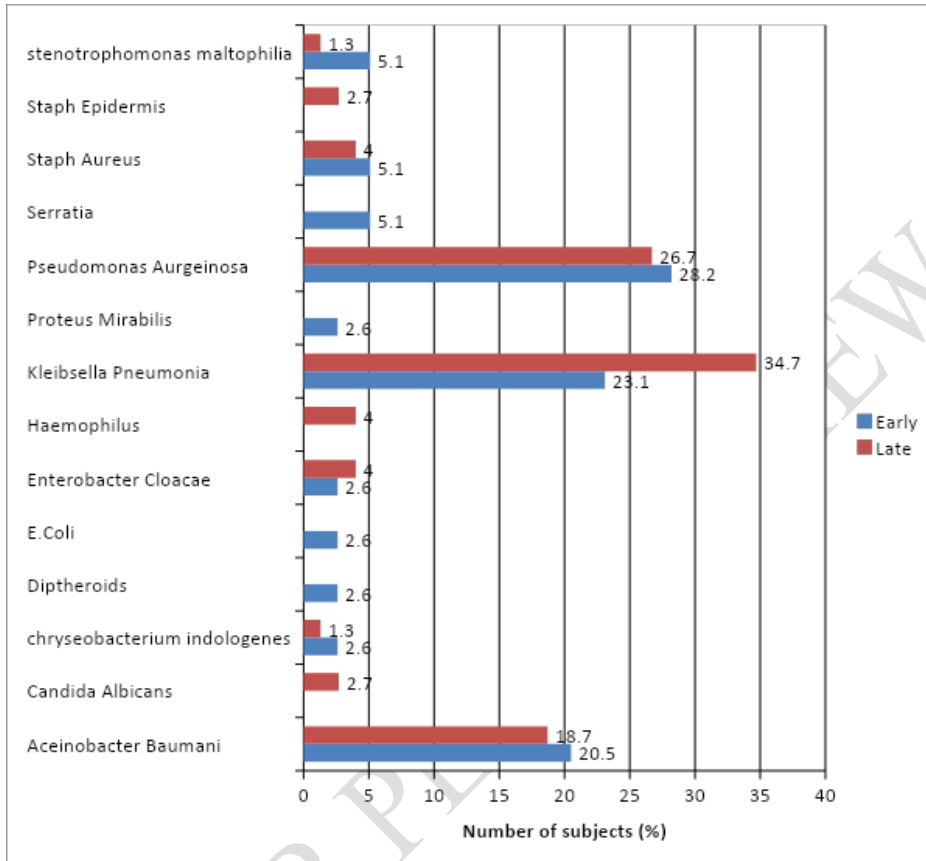
**Formatted:** Font: 12 pt, Italic, Font color: Red

**Formatted:** Font: 12 pt, Italic, Font color: Red

**Comment [u24]:** You need to discuss your study findings in comparison to other related study.



UNDER REVIEW



**Fig 1. Bar diagram showing Association between type of VAP and organisms isolated**

## References

1. Zimlichman E, Henderson D, Tamir O, Franz C, Song P, Yamin CK, Keohane C, Denham CR, Bates DW. Health care-associated infections: a meta-analysis of costs and financial impact on the US health care system. *JAMA internal medicine*. 2013 Dec 9;173(22):2039-46.
2. Ho PL, Cheng VC, Chu CM. Antibiotic resistance in community-acquired pneumonia caused by *Streptococcus pneumoniae*, methicillin-resistant *Staphylococcus aureus*, and *Acinetobacter baumannii*. *Chest*. 2009 Oct 1;136(4):1119-27.
3. Kalanuria AA, Zai W, Mirski M. Ventilator-associated pneumonia in the ICU. *Critical care*. 2014 Apr;18:1-8.
4. Ali S, Waheed K, Iqbal ZH. Microbiological pattern of ventilator associated pneumonia. *Journal of Ayub Medical College Abbottabad*. 2015 Mar 1;27(1):117-9.
5. Papazian L, Klompas M, Luyt CE. Ventilator-associated pneumonia in adults: a narrative review. *Intensive care medicine*. 2020 May;46(5):888-906.
6. Mietto C, Pinciroli R, Patel N, Berra L. Ventilator associated pneumonia: evolving definitions and preventive Strategies Discussion. *Respiratory care*. 2013 Jun 1;58(6):990-1007.

**Comment [u25]:** Use the referencing application like Zotero, Mendeley etc. for the references you used

**Comment [u26]:** Generally, your references are too small it is advisable to update the existing reference and add more references especially on discussion part to compare your study findings with other similar studies.

**Comment [u27]:** This is outdated reference it needs to update

**Comment [u28]:** This is outdated reference it needs to update

**Comment [u29]:** This is outdated reference it needs to update

**Comment [u30]:** This is outdated reference it needs to update

**Formatted:** Font: Calibri

**Formatted:** Numbered + Level: 1 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 0.25" + Indent at: 0.5"

**Comment [u31]:** This is outdated reference it needs to update

UNDER PEER REVIEW