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2 **Sustainable pathways: Exploring Farmers' Perceptions of Cocoa Certification**
3 **Programme in South-West, Nigeria**
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10 **ABSTRACT**

The significance of sustainable cocoa production has been widely studied using various methods and models, however the farmers' viewpoints on cocoa certification programme remained largely unexplored. This study attempt to examine the perceptions of farmers on certification programme towards sustainable cocoa production in the South-West, Nigeria. Multistage sampling procedure were used to collect primary data through the aid of well-structured questionnaire from three hundred and sixty (360) cocoa farmers (certified and non-certified) in Ondo, Osun and Ogun States. Descriptive statistics such frequency, mean and percentage as well as Independent T-test were used to analyse the data. The study revealed that majority (68.9%) of the respondents were male, and also married which implies that they have access to family labour. The main reason why non-certified cocoa farmers did not participate in the programme is due to inadequate awareness, while the major body creating awareness on cocoa certification are the exporters and the License buying agents. The study further revealed that promotion of good agricultural practices, provision of premium on cocoa beans sold, and access to market linkages were perceived and ranked highest among the certified farmers. While the major constraints identified with the programme are pest and disease, delay in premium payment, climate change, and inadequate storage facility. The results of the T-test revealed that there were significant differences between both the income and the output of certified cocoa farmers and that of non-certified cocoa farmers. The study therefore recommended that more awareness on cocoa certification programmes should be created not only by the cocoa Exporters and Licensed buying agents, but also by Government agencies and institutions, and policymakers should also work to ensure that certified farmers receive fair and competitive premium prices for their cocoa beans.

11
12 *Keywords: Sustainability, Cocoa, Certification, Development, Perceptions, Premium, Price*
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14 **1. INTRODUCTION**
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16 Cocoa sustainability is assuring that cocoa production remains an economically viable and
17 environmentally sound choice for farmers [1]. It entails not just farmer earn an equitable
18 income that can motivate them, and the future generation to continue cocoa cultivation; but
19 also implementing responsible labour standards that do not violate child right: protecting the
20 environment through good agricultural practices and resource management; and also, able
21 to afford basic health and education needs for their families [2].

22 Certification is a tool for sustainability [3]. According to Ibnu, [4], agricultural crop certification
23 has been defined as an extensive range of voluntary standards developed by third-party
24 entities in which producers are independently assessed and certified against. These
25 standards have been set up as means of incorporating economic, environmental, and social
26 factors into global value chains [5].

27 Agricultural commodities certification programme gives farmers, and agricultural producers
28 the option to participate and comply with particular requirements and standards that promote

29 sustainability [6]. It enables farmers to implement practices that complement their particular
30 production systems and geographical contexts while remaining in compliance with the
31 required criteria [7].

32 According to [8] continuous improvement such as encouraging farmers to adopt improved
33 practices, technologies, or management systems over time is an essential part of a
34 certification programme. Similarly, [9] posited that participating in a certification programme
35 enables farmers to earn premium prices and access to niche markets for products that have
36 been produced ethically and sustainably for the environment.

37 The significance of certification programme on cocoa production has been studied across
38 the globe, using various measures and econometric approaches [10] However, the farmers'
39 perceptions of this programme have not been thoroughly examined in Nigeria. Hence, this
40 study provides empirical evidence on farmers' perceptions of Cocoa certification programme
41 in South-West, Nigeria.

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43 **2. METHODOLOGY**

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45 **2.1 Study Area**

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47 The study was carried out in South-West, Nigeria. The region is the major cocoa producing
48 area in Nigeria [11].

49 Established in 1976, Ondo State is positioned within the coordinates of longitudes 4° 151' E
50 and 6° 001' E of the Greenwich meridian, as well as latitudes 5° 451' N and 7° 451' N,
51 situated to the north of the equator in the southwestern region of Nigeria. Encompassing an
52 expanse of approximately 15,000 square kilometers, the state accommodates a population
53 of 3,441,924 individuals, as recorded in the 2006 census. Agriculture serves as the
54 cornerstone of Ondo State's economy, with its climate proving highly conducive to agrarian
55 pursuits for its numerous residents

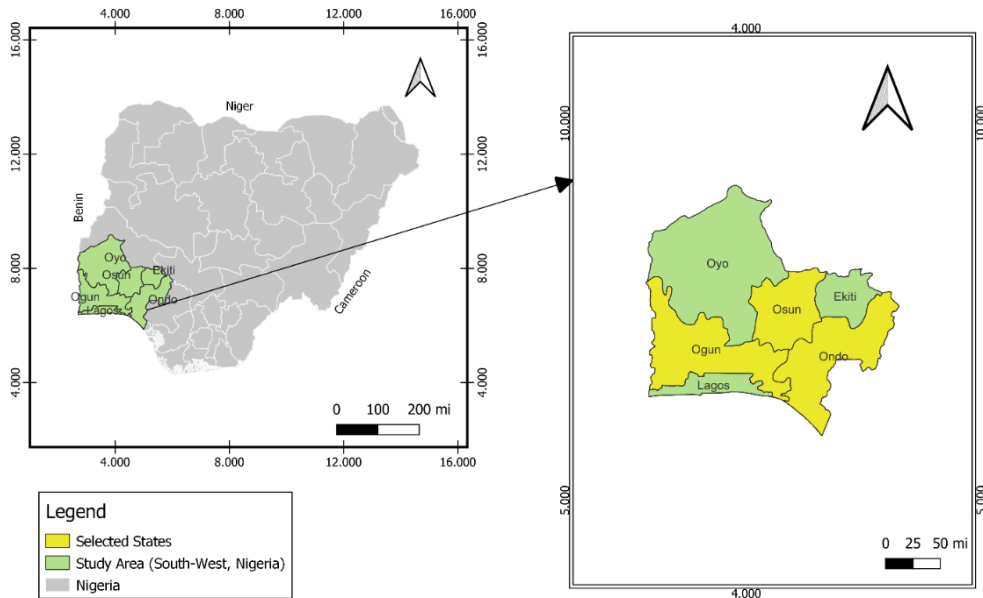
56 Osun state is endowed with both people and material resources. It is bounded by Ogun,
57 Kwara, Oyo, Ondo, and Ekiti states in the south, north, west and east respectively. The state
58 situated in the tropical rainforest and it lies within latitudes 6° and 9° N of the equator and
59 approximately between longitudes 2° and 7° E of the Greenwich meridian. With a population
60 of 3,423,535 according to the NPC's 2006 records, the state comprises 30 local government
61 areas. Agriculture is undertaken at both commercial and subsistence levels. The state's
62 primary export crop is cocoa, which holds a significant position as the second-largest cocoa
63 producer after Ondo [12]. Other crops include yam, cocoa, and cassava.

64 Ogun State has a total land area of 16,409.26 square kilometres, it is bounded on the West
65 by the Benin Republic, on the South by Lagos State and the Atlantic Ocean, on the East by
66 Ondo State, and on the North by Oyo and Osun States. Geographically, it lies between
67 Latitude 6.2°N and 7.8°N and Longitude 3.0°E and 5.0°E. Ogun is one of Nigeria's top cocoa
68 producing states. [13]. The state's vast fertile land supports the growing of both food and
69 cash crops, including cassava, rice, cocoa, kola nuts, yam, and rubber [14].

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98 **Figure 1: Map of the study Area**
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101 **2.2 Sample and Sampling Procedures**

102 Primary data was used for this study, and this was collected through direct interview with the
103 use of well-structured questionnaire.

104 A multi-stage sampling procedure was also employed. At first stage, three states, namely
105 Ondo, Ogun, and Osun, States were purposively sampled due to their high contributions to
106 cocoa production in Nigeria, and also because of the present of cocoa certification
107 programme in these states. The second stage involved purposive selection of the six Local
108 Government Areas (LGAs) where cocoa certification programme is being implemented from
109 the selected states. These LGAs are: Ijebu North, and Ijebu East LGAs (Ogun State), Idanre
110 and Owo LGAs (Ondo State) and Ife South and Ife East LGAs (Osun state). At third stage,
111 two communities were randomly sampled from each of the selected (LGAs). At final stage,
112 30 certified and non-certified farmers were randomly sampled from each community, and
113 thereby making a total 360 sample size.

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117 **2.3 Data Analysis**

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120 **2.3.1 Descriptive Statistics**

121 The socio-economic characteristics of the respondents, and their perceptions on cocoa
122 certification programme were examined by using descriptive statistics such as mean,
123 percentage, and frequency distribution. Likewise, descriptive statistics was employed to
124 identify constraints associated with cocoa certification programme.
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126 **2.3.2 Test of Hypothesis**

127 Independent T-test was used to test the hypothesis of this study by comparing the income
128 and output of certified farmers and non-certified farmers.

129 The T-test is given as:

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$$t = \frac{\bar{x}_1 - \bar{x}_2}{\sqrt{\frac{s_1^2}{n_1} + \frac{s_2^2}{n_2}}}$$

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132 where;

133 t y= the t-statistic value to be calculated

134 \bar{x}_1 = Mean of the income of certified farmers

135 \bar{x}_2 = Mean of the income of non-certified farmers

136 n_1= Sample size of certified farmers

137 n_2=Sample size of non-certified farmers

138 S_1^2=Variance of the certified farmers

139 S_2^2=Variance of non-certified farmers

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142 **3. RESULTS AND DISCUSSION**

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144 **3.1 Socioeconomics Characteristics**

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146 **3.1.1 Sex**

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148 As shown in Table 1, the proportion of male to female in the total sample were 68.9% and
149 31.1% respectively. Out of the 190 certified cocoa farmers, about 66% of them are male
150 while the remaining 34% are female. Also, 72% of the non-certified farmers are male while
151 only 27.6% of them are female. The implication of this is that majority of cocoa farmers in the
152 study area are male. This result agreed with the work of [15] that cocoa production is mostly
153 dominated by male in the South-West, Nigeria.

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155 **Table 1: Distribution of Respondents by Sex**

	Certified farmers		Non-certified farmers		Total Sample	
Sex	Frequency	Percent	Frequency	Percent	Frequency	Percent
Male	125	65.8	123	72.4	248	68.9

Female	65	34.2	47	27.6	112	31.1
Total	190	100.0	170	100.0	360	100.0

156 **Source: Field Survey Data, 2021**

157 **3.1.2 Marital Status**

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159 Table 2 revealed that the majority (71%) of the total number of the respondents were
 160 married. Out of the 190 certified cocoa farmers, about 83 % of them were married and out of
 161 the 170 non-certified farmers about 58% of them were married. This implies that both
 162 certified and non-certified farmers are likely to have access to family labour because of
 163 marriage.

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Table 2: Distribution of Respondents by Marital Status

Marital	Certified farmers		Non-certified farmers		Total Sample	
	Frequency	Percent	Frequency	Percent	Frequency	Percent
Single	10	5.3	28	16.5	38	10.6
Married	158	83.2	98	57.6	256	71.1
Separated	8	4.2	13	7.6	21	5.8
Widowed	4	2.1	31	18.2	35	9.7
Divorced	10	5.3	-	-	10	2.8
Total	190	100.0	170	100.0	360	100.0

168 **Source: Field Survey Data, 2021**

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3.1.3 Labour Source

172 Table 3 shows that usage of only hired labour is more prominent among certified farmers
 173 (59.5%) when compared with non-certified cocoa farmers (28.2%). However, usage of hired
 174 labour plus family labour is more prominent among non-certified farmers (45.3%) when
 175 compared with their counterpart certified farmers (23.2%). In addition, the table also
 176 revealed that certified farmers engage sharecroppers less than non-certified farmers. This
 177 could be attributed to the fact that the sharecroppers may not be willing to implement the
 178 certification standards on the farm.

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Table 3: Distribution of Respondents by Labour Usage

Labour Source	Certified farmers		Non-certified farmers		Total Sample	
	Frequency	Percent	Frequency	Percent	Frequency	Percent

Family Labour	27	14.2	24	14.1	51	14.2
Hire Labour	113	59.5	48	28.2	161	44.7
Family and Hire	44	23.2	77	45.3	121	33.6
Crop Shearer	6	3.2	21	12.4	27	7.5
Total	190	100.0	170	100.0	360	100.0

182 **Source: Field Survey Data, 2021**

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184 **3.1.4 Methods used to Determine Farm Size**

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186 Table 4 shows that usage Global Positioning system (GPS) to determine farm size is more
 187 prominent among certified farmers (5973.2%) when compared with non-certified cocoa
 188 farmers (10%). However, usage of rope is the major method for determining farm size
 189 among non-certified farmers (85.3%) when compared with their counterpart certified farmers
 190 (22.1%). The usage of title deed is not a common practice among the certified farmers and
 191 as well as the non-certified farmers. The prominence of GPS usage among the certified
 192 farmers can be attributed to the fact that cocoa certification standards requires that farm size
 193 should be determine by using GPS device.

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195 **Table 4: Methods used to Determine Farm Size**

Member	Certified farmers		Non-certified farmers		Total Sample	
	Frequency	Percent	Frequency	Percentage	Frequency	Percent
GPS	139	73.2	17	10.0	156	43.3
Title Deed	2	1.1	8	4.70	10	2.8
Rope	42	22.1	145	85.3	187	51.9
Other	7	3.7	0	0	7	1.9
Total	190	100.0	170	100.0	360	100.

196 **Source: Field Survey Data, 2021**

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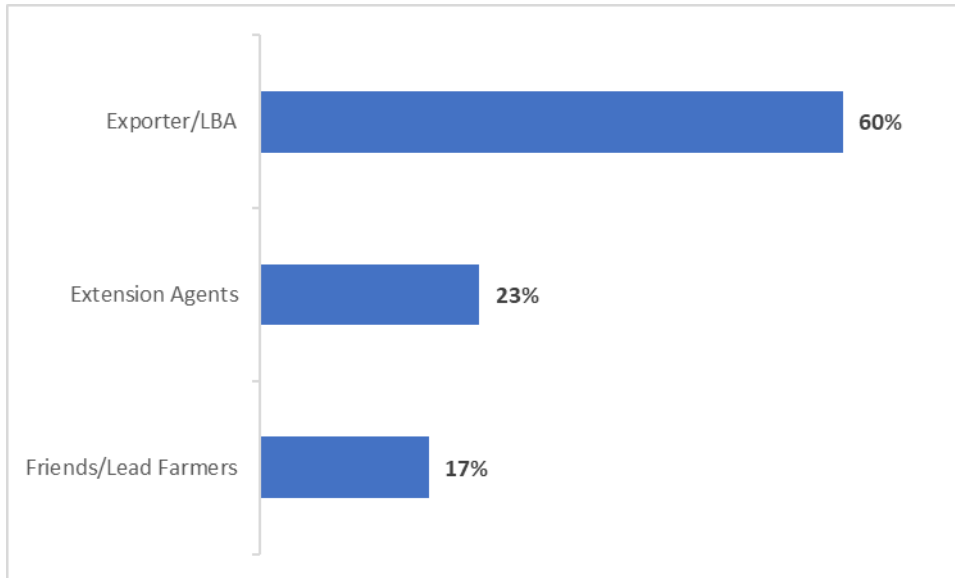
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200 **3.1.5 Source of Cocoa Certification Awareness**

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202 Figure 2 revealed that majority (60%) of the cocoa certified farmers heard about about cocoa
 203 certification proramme through Licence Buyer agents (LBAs) and Exporters, 23% of them
 204 heard about it through Extension Agents, While Only 17% of them heard about the
 205 programme through their friends and lead farmers. This implies that that The LBAs and the
 206 exporters are the major bodies responsible for cocoa certification programme in the Nigeria.



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Figure 2: Source of Cocoa Certification Awareness

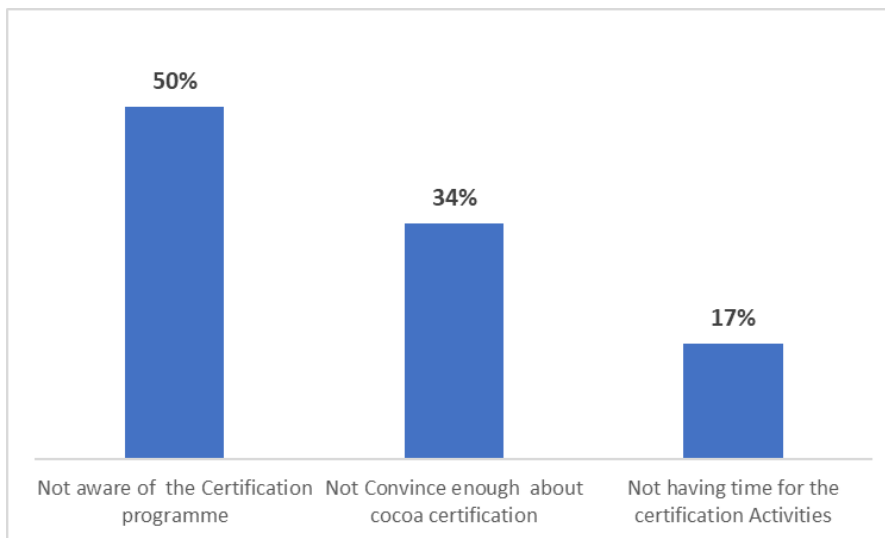
Source: Computed from Field Survey Data, 2021

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3.1.6 Reason for not Participating in Cocoa Certification Programme

Figure 3 presents the reasons why the non-certified farmers are not participating in cocoa certification. Out of the 170 non-certified cocoa farmers, 50% did not participate in the certification programme because they are not aware, 34% are not convince enough to participate, while 17% said they don't have time to implement the certification standard requirement. This finding is in line with that of [16] who fine out that lack of adequate information about certification has a barrier to farmer participation in cocoa certification programme

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Figure 3: Reasons for not participating in cocoa certification programming

Source: Computed from Field Survey Data, 2021

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3.2 Perception of cocoa certification programme

Table 5 shows the perception of cocoa farmer about cocoa certification programme in the study area. promotion of good agricultural practices, provision of premium on cocoa beans sold, and access to market linkages were perceived and ranked highest with a mean of 4.66, 4.63 and 4.58 respectively. While reduction in environmental impact of cocoa production, promoting unity among farmers, and giving better price for cocoa beans were perceived and ranked lowest with a mean of 3.78, 4.07 and 4.12 respectively. This result support the findings of [17] in her study on the impact of fairtrade and other sustainability practices on cocoa farmers' income in Ecuador, where farmers agreed that the main perceived benefits of participating in a certification programme are the training they received, and learning of good agricultural practices to expand their knowledge of production.

Table 5: Perception of Cocoa Certification Programme

Perception Statement	SD (%)	D (%)	U (%)	A (%)	SA (%)	Mean	Std. Dev.	Rank
It promotes good agricultural practices	7.40	0.00	0.00	4.20	88.4	4.66	1.06	1
It reduces environmental impact of cocoa production	25.8	0.50	3.70	10.0	60.0	3.78	1.72	16
It promotes quality of cocoa beans	3.2	0.00	0.00	31.1	65.8	4.56	0.79	4
It promotes good working condition	0.00	0.00	3.20	37.9	58.9	4.56	0.56	4
It improves volume / productivity	0.00	0.00	0.00	46.8	53.2	4.53	0.50	7
It gives access to market linkages	0.00	0.5	1.60	37.4	60.5	4.58	0.56	3
It promotes health and safety	0.00	0.00	0.00	44.7	55.3	4.55	0.50	6
It gives premium	0.00	0.00	0.00	36.8	63.2	4.63	0.48	2
It provides inputs and materials	0.00	2.60	3.20	43.2	51.1	4.43	0.68	9

It encourages record keeping	0.00	2.60	6.80	29.5	61.1	4.49	0.74	8
It gives better price for cocoa beans	0.00	6.80	13.7	40.0	39.5	4.12	0.89	14
It encourages youths in Agriculture	0.00	0.50	15.8	37.4	46.3	4.29	0.75	13
It encourages women in Agriculture	0.00	0.00	8.40	47.9	43.7	4.35	0.63	12
It improves livelihood	0.00	0.00	8.40	40.5	51.1	4.43	0.64	9
It encourages adult education	2.60	0.00	2.10	44.7	50.5	4.41	0.78	11
It promotes unity among farmers	7.40	1.10	15.8	28.4	47.4	4.07	1.16	15

248 Note: (SD= Strongly Disagree, D= Disagree, U= Undecided, A= Agree, SA= Strongly Agree

249 Source: Computed from Field Survey Data, 2021

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252 3.3 Constraints associated with cocoa certification programme

253 Table 6 shows various constraints associated with cocoa certification in the South-West,
 254 Nigeria. Pest and Disease, Delay in premium payment, Climate Change, Inadequate storage
 255 facility were the major cocoa certification constraints identified by the farmers. Other
 256 constraints are; High cost of inputs Establishing farm in protected areas, Poor Road
 257 infrastructure, Compliance certification standards, Cocoa price Instability, Insecurities and
 258 Limited access to credit. These findings are in line with Awoyemi and [18] who find out that
 259 pest and disease are major constraints for sustainable cocoa production.

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264 **Table 6: Constraints associated with cocoa certification programme**

Constraints	A (%)	B (%)	C (%)	D (%)	E (%)	Mean	Std. Dev.	Rank
High cost of inputs	44.8	4.8	27.9	20.6	1.1	3.71	1.27	7
Access to improved planting material	51.1	1.6	13.2	28.5	5.3	3.65	1.47	10
Cheating by buyer (brokers)	27.4	4.3	4.3	52.2	10.6	2.85	1.45	15
Delays in payment on cocoa purchased	26.4	40	6.4	20.6	5.8	3.6	1.25	11
Establishing farm in protected areas	53.2	13.7	3.2	25.3	4.3	3.86	1.41	6
Insecurities	18.5	23.2	5.3	45.3	5.3	3.23	2.33	14
Inadequate storage facility	57.9	15.8	3.7	20.6	1.6	4.08	1.27	4
Climate Change	67.9	2.2	5.3	20	3.7	4.1	1.39	3

Delay in premium payment	50	29	4.3	15.8	1.1	4.12	1.13	2
Poor road infrastructure	49.5	12.2	3.2	26.9	6.9	3.69	1.49	9
Limited access to credit	51.6	2.7	4.3	35.3	4.8	3.6	1.52	12
Pest and Disease	71.1	6.4	3.2	7.4	11.6	4.17	1.46	1
Low awareness on Cocoa Certification	55.3	4.8	4.3	25.8	9.5	3.7	1.57	8
Compliance certification standards	36.4	4.8	12.7	43.7	1.1	3.3	1.39	13
Cocoa price Instability	58.5	11.6	9.5	19	1.6	4.07	1.26	5

265 Note (A= Critically Important, B= Very Important, C= Important, D= Slightly Important, E
266 =Unimportant)

267 Source: Field Survey Data, 2021

268 **3.4 Test of Hypotheses**

269 The independent samples t-test was used to test whether there was a significant difference
270 between certified farmers and non-certified farmers in terms of their output and income. The
271 Levene's test for equality of variances was significant in both output and income. Table 7
272 shows statistically significant differences between the output and income of the certified
273 farmers and non-certified farmers at ($p < 0.01$). This means that the certified cocoa farmers
274 had more output and income than the non-certified cocoa farmers. Hence, the null
275 hypotheses are rejected.

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Table 7: Independent samples T-Test (Output/Income)

Levene's Test for Equality of Variances		T-Test of Equality of Means							
		Sig	t	Df	Sig(2- tailed)	Mean difference	Std. Error Difference	95% Confidence Interval of the Difference	
F								Lower	Upper
Output	Equal variance assumed	28.309	-7.767	358	0.000	-538.69	69.358	-675.09	-402.296
	Equal variance not assumed		-8.023	289.105	0.000	-538.69	67.147	-670.85	-406.537
Income	Equal variance assumed	2.399	-4.064	358	0.000	-291049.3	71616.43	-431891	-150207.5
	Equal variance not assumed		-4.127	348.315	0.000	-291049.3	70529.43	-429766	-152332.1

Source:

Field

Survey

Data,

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4. CONCLUSION

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6 Based on the findings, it can be concluded that certified cocoa farmers have positive
7 perceptions towards cocoa certification programme, especially in terms of provision of
8 premium on cocoa beans sold. The LBAs and the exporters are the major bodies providing
9 awareness on cocoa certification programme to the cocoa farmers in the Nigeria. While the
10 major reason for not participating in the cocoa certification programme by the non-certified
11 farmers was due to lack of awareness. It is therefore recommended that more awareness
12 should be created by government institutions so that more farmers can enjoy the
13 sustainability benefits of cocoa certification programme in Nigeria

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COMPETING INTERESTS

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Authors have declared that no competing interests exist

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