

# Curative Therapy of Sickle Cell Disease using Gene Editing Technologies

## ABSTRACT

Sickle cell disease (SCD) is a monogenic blood disorder caused by a single nucleotide mutation in the  $\beta$ -globin gene that swaps the hydrophilic glutamic acid at position six with the hydrophobic valine. Haematopoietic stem cell transplantation is the only curative treatment for SCD, but has major risks and problems. Autologous gene therapy, in which "all" gene copy is put into the patient's cells, a faulty gene is fixed, or genes are deactivated, has the advantage of not requiring the patient to find a perfect donor. Gene therapy has been shown to be curative in preclinical and clinical trials. LentiGlobin, a self-inactivating lentiviral vector encoding the human antiserpentine. Haemoglobin Subunit Beta, is now being tested in clinical trials. However, lentiviral vector-based gene therapy poses potential dangers such as Replication-Competent Lentivirus and insertional mutagenesis. Gene editing technologies allow for permanent modification of disease-causing genes, and HSPCs are the therapeutic product for autologous transplantation. CRISPR/Cas9 is a versatile and efficient class of programmable nucleases that use single-stranded guide RNA sequences and the Cas9 endonuclease to attach to a specific target in the genome. Base editors are generated by pairing a nucleotide deaminase with a Cas9 protein that is catalytically suppressed. All four gene editing strategies have been evaluated in HSPCs for the treatment of SCD, including correcting the sickle cell mutation in HBB, producing enough HbF to reverse the sickle shape of the RBC, focusing on the HbF transcriptional repressors, and introducing the advantageous HPF mutations. CRISPR/Cas9 gene editing has been used to treat SCD, including correcting the sickle cell mutation, producing enough HbF to reverse the sickle shape, focusing on HbF transcriptional repressors, and introducing HPFH mutations. Future challenges for the success of CRISPR/Cas9 gene editing include off-target effects, gene repair efficiency, and *in vivo* transplantation of gene-edited HSPC.

*Keywords: CRISPR/Cas9, gene editing, gene therapy,  $\beta$ -globin gene, sickle cell disease, single nucleotide mutation.*

## 1. INTRODUCTION

"Sickle cell disease (SCD) refers to a group of monogenic blood diseases that include mutations in the gene encoding the beta subunit of haemoglobin, and affects around 8 million people globally" [1]. "It is most common in Africa, the Middle East, and India, and is caused by a single nucleotide mutation in the  $\beta$ -globin gene that swaps the hydrophilic glutamic acid at position six with the hydrophobic valine. The understanding of the phenotypic expression of the disease is still limited. However, environmental factors such as cold weather and air quality, infections, fetal hemoglobin level, and other genetic subtypes play a role in the manifestation of the disease. Clinical manifestations are variable and affect multiple systems, and generally cause lower life expectancy" [2-4].

"The genetic mutation described causes polymerization of the Hemoglobin molecule that alters the erythrocyte shape and its ability to deform. There is an increase in adhesion of

28 erythrocytes followed by the formation of heterocellular aggregates, which physically cause  
29 small vessel occlusion and resultant local hypoxia. This process triggers a vicious cycle of  
30 increased HbS formation, the release of inflammatory mediators and free radicals that  
31 contribute to reperfusion injury. The jagged cells cause unpredictable attacks of  
32 intense pain and damage vital organs. Hemoglobin also binds to nitric oxide (NO), a  
33 potent vasodilator, and releases oxygen. Erythrocytes are more likely to sickle and become  
34 rigid in the presence of dehydration. This process is mostly caused by changes in cation  
35 homeostasis, especially increased potassium and water efflux caused by potassium-chloride  
36 co-transport and Gardos channels (calcium-dependent potassium channel) Other associated  
37 pathological events include increased neutrophil adhesiveness, nitric oxide binding,  
38 increased platelet activation, and hypercoagulability” [5].

39 “The histopathology in sickle cell disease is very variable and necrosis and ischemia are  
40 seen in the affected organ system, with liver, bone marrow, lungs, spleen, kidneys, and  
41 lungs being the commonly affected organs. The history and physical exam of sickle cell  
42 patients range from being asymptomatic to a broad range of presentations. Patients are  
43 asymptomatic for the first 6 months of life due to the presence of fetal hemoglobin, which  
44 gradually decreases, and HbS begins to predominate. The clinical presentation of SCD is  
45 variable depending on the type of complication and the body system affected. A few of the  
46 common presentations are Vaso-Occlusive Crisis, Acute Chest Syndrome, Infections,  
47 Pulmonary Hypertension, Cerebrovascular Accidents/Stroke, Pulmonary Embolism, Renal  
48 Complications, Eye Complications, Splenic Sequestration, Priapism, Cholelithiasis and  
49 biliary sludge, Osteonecrosis, Aplastic Crisis” [5]. Despite being the first molecular illness with  
50 a genetic foundation discovered more than 60 years ago, therapeutic options for SCD are  
51 still quite limited and the average life expectancy of patients is very low.

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53 “Autologous gene therapy, in which "all" gene copy is put into the patient's own cells, a faulty  
54 gene is fixed, or genes are deactivated, has the advantage of not requiring the patient to find  
55 a perfect donor. *Ex vivo* engineering of autologous haematopoietic stem and progenitor cells  
56 (HSPCs) followed by transplantation of genetically engineered cells offers a potentially  
57 permanent treatment that can be applied to patients regardless of the availability of suitable  
58 donors and without graft-host risk [6]. Sickle cells mature inefficiently and also have a shorter  
59 life compared to healthy RBCs, suggesting a selective advantage of gene-corrected HSPCs  
60 over SCD HSPCs *in vivo*. Only 2–5% of donor chimerism after allergenic transplantation is  
61 sufficient to alleviate SCD-related symptoms in patients, providing the basis for a gene  
62 therapy approach. Thus, successful gene addition or correction in relatively few  
63 haematopoietic stem cells (HSCs) can lead to clinically relevant levels of erythrocyte  
64 chimerism in peripheral blood. Gene therapy for SCD utilizing a lentiviral vector has been  
65 shown to be curative in preclinical and clinical trials throughout the last two decades. The  
66 first SCD patient **who** received therapy with anti-sickle HBB induction in autologous HSCs  
67 was effective, with high therapeutic levels of anti-sickle-globin 15 months  
68 later”[6]. “LentiGlobin, a self-inactivating (SIN) lentiviral vector encoding the human  
69 antiserpentine Haemoglobin Subunit Beta (HBB), is now being tested in clinical trials later”  
70 [7]. “However, the use of lentiviral vectors poses potential dangers such as the creation of  
71 Replication-Competent Lentivirus (RCL), which can infect non-target cells, and insertional  
72 mutagenesis, which can lead to genetic dominance and DNA damage”[8]. Recent lentiviral  
73 gene therapy clinical trial findings show promise for *ex vivo* creation of autologous HSPCs,  
74 lengthier follow-up is required to validate the safety and efficacy. Gene editing technologies,  
75 as opposed to traditional gene therapy approaches, allow for the permanent modification of  
76 disease-causing genes through precise repair, deletion, insertion and disruption of specified  
77 sequences. Gene-edited HSPCs from SCD patients (SCD HSPCs) are the therapeutic  
78 product for autologous transplantation. In recent preclinical research, several gene editing  
79 techniques have showed promise for SCD therapy, including:

- 80 i. Repair of the HBB-causing point mutation

- 81 ii. Induction of foetal haemoglobin (HbF) through disruption of  $\gamma$ -globin (Haemoglobin  
82 subunit gamma HBG) repressors;
- 83 iii. Induction of HbF through creation of advantageous hereditary persistence of foetal  
84 haemoglobin (HPFH) mutations at the  $\beta$ -globin locus [9].

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86 “Haemoglobin molecules are made up of four subunits: two polypeptide chains and two non-  
87 polypeptide chains. The overall haemoglobin composition of a healthy adult is 97% of  
88 haemoglobin (HbA), 3% or less HbA<sub>2</sub>, and not more than 1% of HbF. HPFH is a harmless  
89 disorder caused by mutations in the  $\beta$ -globin gene cluster, which results in increased HbF  
90 levels in adults. SCD patients with concurrent HPFH have better clinical outcomes, whereas  
91 higher HbF levels are associated with morbidity and mortality. With greater awareness of  
92 how the globin locus is regulated, there is an interest in developing ways to boost HbF  
93 expression for therapeutic treatments. HbF induction is accomplished either by silencing  
94 transcription factors such as B-cell lymphoma 11A (BCL11A), which mediate HBG silencing  
95 after birth, or by mimicking advantageous HPFH mutations. Furthermore, the identification of  
96 additional HbF regulatory factors is an important topic of research”[10].

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98 Gene editing techniques employ engineered nucleases such as TAL Effector Nucleases  
99 (TALEN), Zinc Finger Nucleases (ZFN), and Clustered Regularly Spaced Short Palindromic  
100 Repeats (CRISPR)/Cas9 systems to generate a user-defined location for a DNA Double-  
101 Strand Break (DSB). Repair, deletion, insertion, and excision of certain sequences  
102 accomplished through targeted DSB creation which is followed by Non-Homologous End  
103 Joining (NHEJ) or Homology Directed Repair (HDR) offers the possibility of permanent  
104 correction of disease-causing mutations. ZFNs and TALENs have different DNA binding  
105 domains, yet they both break DNA with the FokI endonuclease domain. However,  
106 programming these nucleases is difficult, time-consuming and requires a high level of  
107 knowledge. The CRISPR/Cas9 system has shown to be the most versatile and efficient  
108 class of programmable nucleases in recent years. CRISPR/Cas9 uses single-stranded guide  
109 RNA (gRNA) sequences and the Cas9 endonuclease to attach to a specific target in the  
110 genome. Similarity between the gRNA and target DNA sequences directs the Cas9  
111 endonuclease to a specific target location. Though off-target effects are still a possibility,  
112 they can be considerably decreased through logical gRNA design or the use of high-quality  
113 Cas9 protein. Base editors are generated by pairing a nucleotide deaminase with a Cas9  
114 protein that is catalytically suppressed. Base editors transform one base to another without  
115 causing DSBs, and so do not rely on HDR to repair point mutations in non-dividing cells. As  
116 a result, base editors are a promising technique for DNA editing and are preferred over the  
117 use of Cas9 nuclease, which can result in undesirable tiny insertions/deletions or indels,  
118 translocations or chromosomal rearrangements. All four gene editing strategies (ZFNs,  
119 TALENs, CRISPR/Cas9, and base editor) have been evaluated in HSPCs for the treatment  
120 of SCD. SCD mutations have been corrected by combining ZFNs or TALENs with a DNA  
121 donor template. Researchers have created ZFN and TALEN that target HbF transcriptional  
122 repressors or the repressor-binding site in order to induce HbF. A Phase-1/2 clinical trial  
123 using ZFN that targets the BCL11A locus has been conducted (BIVV003, clinicaltrials.gov).

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125 This review concentrates on methods for treating SCD that use CRISPR/Cas9 gene editing,  
126 including correcting the sickle cell mutation in HBB, producing enough HbF to reverse the  
127 sickle shape of the RBC, focusing on the HbF transcriptional repressors, and introducing the  
128 advantageous HPFH mutations. Optimizing the genome editing method, including CRISPR  
129 Cas9/gRNA and donor template and delivery method, are very crucial for achieving high  
130 safety and efficacy. Small improvements at each step are key to clinical translation.  
131 Challenges in the translation of a gene editing strategy to the clinic include the possibility of  
132 off-target effects, the need to improve gene repair efficiency, and *in vivo* transplantation of  
133 gene-edited HSPC.

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## 2. GENE TARGETING FOR THE TREATMENT OF SCD

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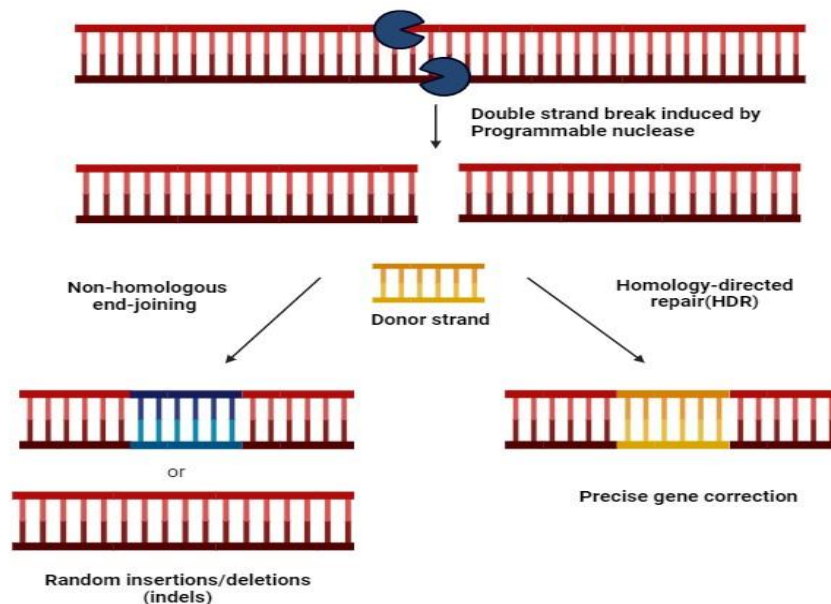
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Programmable nucleases produce double-strand breaks (DSB) at a particular genomic location, which are then repaired by either non-homologous end joining (NHEJ) or homology directed repair (HDR), which uses homologous sequences from sister chromatids, homologous chromosomes, or an extra chromosome DNA sequence from the donor that has been submitted for correction (Fig. 1). Three significant nucleases were first introduced for various genome editing uses five years ago, including mega nucleases, sometimes referred to as mould endonucleases CRISPR editing for SCD, zinc finger nucleases (ZFN), and TAL effector nucleases (TALEN). By modifying regulatory sequences such promoters or other regulatory sequences like BCL11A, KLF1, and MYB to reduce mutation severity in sickle HSPCs, these methods have been successfully employed to *ex vivo* repair SCD mutation and induce foetal  $\beta$ -globin. Although these nucleases are highly specific, which minimizes off-target effects (OTE), programming these enzymes is challenging, time-consuming, and knowledge-intensive. Clustering short palindromic repetitions at regular intervals (CRISPR)/CRISPR-related protein 9 (Cas9) is a novel genome editing technique[11]. In this method, a specific RNA (guide RNA) sequence identifies the DNA target region of interest and directs the effector Cas protein there for editing. The advantage of this strategy is that it is easily designed, highly efficient, and reasonably priced. DNA repair mechanisms are activated when CRISPR/Cas9-driven DSBs are added to an object. If HDR is engaged, this mechanism would either cause some additions/deletions (INDEL), which in theory results in the loss of function of a particular gene, or repair the DNA damage using homologous chains. The obstacles associated with adopting this technology still include efficiency, safety, and delivery. CRISPR/Cas9 technology can target SCD mutation correction or induce by altering foetal haemoglobin expression; chromosomal areas control its expression.



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**Fig. 1. DSBs caused by programmable nucleases and the two distinct DSB repair mechanisms (NHEJ and HDR) are used in genome editing.**

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### 2.1. Conventional Gene Targeting by Homologous Recombination

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By utilizing homologous recombination between genomic DNA and an external targeting vector, gene targeting modifies a chromosome. The target vector, also known as 'donor DNA', comprises an insert DNA that has been inserted into a particular region of the genome. This insert is surrounded by sequences that are homologous to the target locus,

168 known as the 5' and 3' homology arms [12]. Homology-directed repair (HDR) of spontaneous  
169 DNA double-strand breaks (DSBs) is used for accurate integration of input DNA and  
170 normally uses the sister chromatin as a repair template. HR between chromosomal and  
171 foreign DNA can be employed to repair spontaneous DSBs after donor DNA has been  
172 transfected with sequences similar to the locus to be transformed. This results in the  
173 integration of the desired insert into the target site [13].

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175 Gene targeting may be an effective strategy for treating SCD, since it would preserve the  
176 HBB gene's natural environment and only require HR to replace the incorrect mutant  
177 sequence. In mammalian cells, genes have been repaired using a variety of techniques.  
178 Only the DSB repair template with the repaired mutation is delivered into the cells in non-  
179 selective systems. For modest alterations, the repair template can take the shape of a  
180 plasmid or a brief single-stranded oligonucleotide. Adenoviruses, adeno-associated viruses,  
181 or Integration-Deficient Lentiviral Vectors (IDLV), among others, could also be employed as  
182 fresh sources of donor DNA. Another method for selecting cells through gene correction is to  
183 incorporate a positive selection marker with the corrected mutation location into the genome.  
184 In order to remove the selection cassette from the genome since the transgene's presence  
185 in the genome is unwanted, a second round of editing would be necessary. Given its  
186 potential application for gene repair in patients with SCD or thalassemia, the HBB locus was  
187 the focus of the first investigations to demonstrate HR between a chromosomal region and  
188 foreign DNA[13]. Gene targeting later showed promise in mouse embryonic stem cells  
189 (mESCs), and then was applied to mESCs carrying the human HbS gene. In human cells,  
190 HR-mediated alteration of the HBB locus has also been demonstrated.

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192 The ineffectiveness of HR for gene targeting in human cells is an issue and depends on  
193 uncommon DSBs in the target area, which necessitates the employment of extensive  
194 homology branches to capture DSBs. Additionally, HR is not a significant route for mending  
195 DSBs in mammalian cells, resulting in a frequency of less than one event per  $10^5$  cells  
196 overall, which is too low to be employed and makes it challenging to locate precisely  
197 targeted clones. But multiple proof-of-concept studies indicated that introducing a DSB into a  
198 particular region of the genome can boost HR rates in the chosen location [14].

## 200 **2.2. Use of programmable nucleases to mediate repair of sickle cell mutations** 201 **by gene targeting**

202 Programmable nucleases hold enormous promise as therapeutic agents for single-gene  
203 SCD because they can significantly increase the effectiveness of gene targeting. Genome  
204 editing methods include ZFNs, TALENs, and CRISPR/Cas9 can produce desired changes in  
205 a range of cell types and eukaryotic models. In order to create site-specific DNA DSBs,  
206 programmable nuclease-mediated gene editing relies on the recruitment of endonucleases  
207 to user-defined genomic locations. While the cellular DNA repair systems are repairing the  
208 DSB, the desired modification is incorporated into the genome. Non-homologous end joining  
209 (NHEJ), which is prone to errors, is one technique for fixing DSBs. The insertion/deletion  
210 mutations that result from this lead to gene knockouts. The damage is repaired by HR in the  
211 presence of donor DNA with the proper homology arms, enabling gene insertion,  
212 replacement, or deletion. Previous proof-of-concept investigations have shown that these  
213 three programmable nucleases have therapeutic potential [15].

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### 215 **2.2.1. Zinc Finger Nucleases (ZFN)**

216 ZFNs are designed proteins having two functional domains: A FokI endonuclease domain  
217 and a eukaryotic zinc-finger transcription factor-based DNA-binding domain (DBD) [16].  
218 Each zinc finger protein in the DBD is able to recognize three base pairs (bp) of DNA. The  
219 DBD consists of 3-6 tandem repeats of these proteins. Most of the three bp combinations  
220 can be recognized by zinc finger proteins. Therefore, a DNA sequence of interest between 9

221 and 18 bp can be recognized by each ZFN monomer and changed by replacing the ZFN  
222 zinc finger protein. The DNA-cleavage domain of the type IIS restriction endonuclease FokI  
223 is linked to the DNA-binding domain to introduce DSB at a particular locus. FokI can only be  
224 used when it is dimerized. For DSB induction, ZFNs cooperate in pairs for this reason. In  
225 order to enable FokI dimerization, the dimer recognizes a total sequence of 18–36 bp and  
226 binds to DNA in a tail-to-tail orientation using a spacer between each monomer's binding  
227 sites. In the space between the two ZFN binding sites, DSBs are generated[16, 17]. A ZFN  
228 was created that could target the area around the sickle mutation of the  $\beta$ -globin locus but  
229 not the  $\gamma$ -globin locus itself in one of the earliest examples of its application in mammalian  
230 cells. It was put to the test empirically rather than being probed. A study that used ZFNs to  
231 treat the sickle mutation in patient-derived iPSCs provided evidence of the therapeutic  
232 potential of ZFNs in SCD. Site-specific nucleases were used to target genes more effectively  
233 and to fix the sickle mutation in human iPSCs. Otherwise, it would have been exceedingly  
234 challenging to accomplish this. In order to facilitate the identification of the proper clone in a  
235 successful gene targeting event, a drug resistance cassette was additionally incorporated  
236 into the donor construct. An average targeting efficiency of 9.8% was attained using this  
237 technique. To create transgene-free cells, the selectable marker was excised using Cre-  
238 recombinase. The lox P sites left behind by this technique could have an impact on how  $\beta$ -  
239 globin expression is expressed. An encouraging result was found in a study by Sebastiano  
240 *et al.* [18]. Genome-wide analysis is more useful for identifying potential off-target mutations,  
241 despite the absence of off-target mutagenesis in potential off-target locations. Similar  
242 methods for gene repair were employed in a different research that also used iPSCs from  
243 SCD patients. In contrast, the repaired  $\beta$ -globin gene had decreased expression in erythroid  
244 cells [19]. They reported that one of the HbS alleles was corrected and iPSCs effectively  
245 differentiated into erythroid cells. Remaining lox P sites following the selectable marker's  
246 deletion may cause reduced expression. Despite the introduction of the hsv-TK negative  
247 selection marker to lower the frequency of false-positive clones caused by random  
248 integration of the entire plasmid, targeting efficiency was not high (1 in 300 drug-resistant  
249 clones). The HBB gene is quiet in iPSCs, and silent genes are often more challenging for HR  
250 to target, which may account for the low HR efficiency. Instead of iPSCs, ZFNs have recently  
251 been used with CD34<sup>+</sup> haematopoietic stem and progenitor cells (HSPCs). ZFN mRNA was  
252 electroporated with high cleavage efficiency between 35 and 65%. The IDLV capture  
253 methods thorough investigation of off-target DSBs revealed great specificity for ZFNs. This  
254 study's utilization of IDLV or short oligonucleotides as donor DNA is another unique feature.  
255 Short oligonucleotide donor templates are simple to utilize and reasonably priced, whereas  
256 lentiviral vectors have high transduction efficiency into stem cells. Immuno-suppressed mice  
257 might receive modified HSPCs, which could then develop both *in vivo* and *in vitro* into  
258 erythroid, myeloid, and lymphoid cells. Although the results were promising, bulk-edited  
259 human HSPCs displayed a level of gene alteration of 10–20 prior to transplantation, but the  
260 degree of gene modification in human cells from the mouse's spleen and bone marrow was  
261 substantially higher. Therefore, a significant barrier was still the low rate of gene correction in  
262 long-term repopulating HSCs.

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### 264 **2.2.2. Transcription Activator like Effector Nuclease (TALENs)**

265 Like ZFNs, TALENs also bind to certain DNA sequences and work as heterodimers to cause  
266 DSBs at particular sites. A DBD is coupled to the FokI nuclease domain to form TALEN  
267 monomers. DBDs are produced by the plant pathogen *Xanthomonas*' transcriptional  
268 activator-like effectors (TALEs), which are injected into plant cells and function as  
269 transcriptional activators in the nucleus. 33–35 amino acid tandem repeats make up the  
270 DBD. The typical TALEN design calls for 14 to 31 iterations. Only the amino acid residues 12  
271 and 13, also known as the repeat variable orientation (RVD), distinguish these almost  
272 identical repeats. A single nucleotide is recognized by each repeat, and RVD establishes  
273 base specificity. Adenine is frequently represented by the codes NI, thymine by NG, cytosine

274 by HD, guanine by NH, and adenine and guanine together by NN. Since TALENs also utilize  
275 the DNA cleavage domain of the FokI nuclease, TALEN pairs are necessary for the creation  
276 of site-specific DSBs [20]. There are many platforms for TALEN synthesis that all rely on  
277 repeats being arranged in a specific order. After TALEN was discovered, its therapeutic  
278 potential for SCD was also looked at in the initial investigation, DSBs were induced at  
279 regions proximal to mutations in the HBB locus using TALEN pairs with an optimized  
280 architecture and high effectiveness. Targeting sites without his leading 5'T, which is often a  
281 prerequisite of his TALEN bindings, was made possible by the new TALEN architecture. This  
282 choice provides more flexibility and expands the range of potential targets for TALENs.  
283 Following this investigation, drug-resistant clones displayed gene targeting efficiencies  
284 compared to those reported for ZFNs, targeting the endogenous HBB locus in patient-  
285 derived human iPSCs with >60% efficiency. The Cre-lox P technique was abandoned in  
286 favour of the PiggyBac transposon, which allowed for the seamless repair of the sickle  
287 mutant. It will be fascinating to see if the PiggyBac transposon can use the Cre-loxP system  
288 to solve the issue of  $\beta$ -globin expression [21]. Although it would require genome-wide  
289 investigation, the absence of off-target events at areas of significant sequence similarity was  
290 also encouraging. Another study used TALENs with high activity at the  $\beta$ -globin gene and  
291 reported a 19% targeting efficiency without drug selection. However, since K562 cells were  
292 used for this work, additional examination of the methods using clinically pertinent cells  
293 would be preferable. Human stem cells have a low HR rate; hence, a selection-free method  
294 might not work for these cell types. During the same year, another work demonstrated the  
295 utilization of TALENs in patient-specific iPSCs while using Cre-lox P system for selective  
296 marker ablation. Corrected iPSC-derived erythroid cells expressed the wild-type allele at 30–  
297 40% of total levels. Similar to prior research, only one allele was repaired, with the sickle  
298 mutation still present in the other allele. Due to the absence of illness symptoms in HbA and  
299 HbS heterozygotes, therapy may only require one allele to be corrected. It was verified that  
300 there were no off-target effects at comparable sites. The conclusion that TALENs cause few  
301 genetic changes in the genome was later validated by a more thorough examination of off-  
302 target effects using whole-genome sequencing of numerous gene-corrected human iPSC  
303 clones [22]. Overall, the data mentioned above imply that TALENs might be a useful and  
304 precise method for treating sickle mutations in iPSCs.

### 305 **2.2.3. Clustered Regularly-Interspaced Short Palindromic Repeats (CRISPR)**

306 Due to its simplicity of use and low cost, CRISPR/Cas9 is now the newest and most popular  
307 genome editing technology. CRISPR and CRISPR-associated (Cas) proteins were initially  
308 discovered to protect bacteria from viral or plasmid DNA invasion. The currently utilized  
309 CRISPR system is one of the six ones known. Unlike ZFN and TALEN, which recognize  
310 DNA based on protein-DNA interaction, CRISPR/Cas9 technology for gene editing relies on  
311 a type II system. The Cas9 endonuclease and guide RNA (gRNA) are the two main  
312 elements of CRISPR/Cas9. The Cas9 protein is connected to the gRNA scaffold and can be  
313 recruited to any DNA sequence by the gRNA, which has a user-defined target sequence of  
314 20 nucleotides. The target sequence must be located immediately upstream of the  
315 protospacer flanking motif (PAM) in order for this system to function. The 5'-NGG-3' PAM  
316 sequence is required by the *Streptococcus pyogenes* Cas9 protein, which is the most  
317 frequently utilized Cas9 protein. When the target is connected, Cas9 causes a DSB three  
318 nucleotides before the PAM [23].

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321 In comparison to ZFN or TALEN cells, the CRISPR/Cas9 method displayed higher excision  
322 effectiveness at the HBB locus, according to a recent study using human iPSCs [24]. In the  
323 same work, iPSCs from adult SCD patients were repaired using CRISPR/Cas9. The  
324 corrected allelic globin protein was created by the CRISPR-corrected stem cells, which could  
325 then develop into erythrocytes. Either the utilization of better culture conditions or the use of  
326 iPSCs produced from blood may be responsible for the efficient erythrocyte maturation and

327 expression of  $\beta$ -globin. Another study reported that CRISPR/Cas9 had a higher cutting  
328 efficiency than TALENs at the HBB locus, with a nuclease activity that was dose dependent  
329 and active in K562 cells after microinjection when co-injected with DNA that produced GFP,  
330 compared to 1.6% for TALEN [25]. However, a direct comparison of efficacy in clinically  
331 valuable cells would be more instructive, given that the efficiency of HR can differ from cell to  
332 cell. Targeting introns can have less off-target consequences than exons, of the HBB locus  
333 for rational design of acceptable CRISPR targets. So that the CRISPR generated is suited  
334 for more people, it has been suggested that they comprise fewer single nucleotides as better  
335 target polymorphisms (SNPs). Though that while this discovery may be accurate for the HBB  
336 locus, it's vital to keep in mind that for other loci, introns may not have as many SNPs as  
337 exons do. The application of CRISPR technology in human zygotes[26] has generated a  
338 great deal of ethical debate. Sadly, only 4 of 54 embryos had good HR performance at the  
339 zygotic HBB locus, and off-target alterations were found. Another problem was the  
340 preference for a short oligonucleotide donor inserted as a DSB repair template over the HBD  
341 locus with high sequence homology HBB gene. Subsequent investigations revealed that  
342 numerous CRISPRs intended to target the HBB gene had considerable off-target alterations.  
343 This proof highlights the significance of CRISPR/Cas9 specification refinement before  
344 human application. Despite certain reservations, the use of CRISPR/Cas9 to repair HSPCs  
345 carrying the sickle mutation significantly increased the HDR efficiency in HSCs.  
346

### 347 **3. CRISPR CAS9 GENE EDITING**

348 Due to its simplicity of usage and low cost, CRISPR/Cas9 has become the most widely used  
349 and current genome editing method. The prokaryotic genomes of bacteria and archaea  
350 contain DNA sequences known as the CRISPR family [27]. These sequences are obtained  
351 from various DNA segments of phages that have infected prokaryotes in the past. They are  
352 all employed in the subsequent infection to identify and eradicate phage-like DNA.  
353 Therefore, these sequences play a significant role in prokaryote antiviral defence systems  
354 and offer a wide range of acquired immunity. Around 50% of sequenced bacterial genomes  
355 and 90% of sequenced archaeal genomes contain CRISPR. Prokaryotic antiviral defence  
356 system using CRISPR The enzyme Cas9, also known as the CRISPR-associated protein 9,  
357 uses CRISPR sequences as a guide to identify and cut particular DNA strands that are  
358 complementary to the CRISPR sequence. CRISPR-Cas9 technology, which can be used to  
359 modify the genes of organisms, is made up of the Cas9 enzyme combined with CRISPR  
360 sequences. This editing procedure has a wide range of uses, including the development of  
361 biotechnology products and the treatment of diseases.  
362

#### 363 **3.1. History behind formation of CRISPR CAS9**

364 A group of Japanese researchers at Osaka University discovered an odd DNA sequence  
365 pattern in a gene related to an intestinal bacterium [28]. A short non-repetitive 'speedster'  
366 DNA sequence seemed to separate the gene's five short repetitive DNA regions. Different  
367 *Mycobacterium tuberculosis* strains contained various spacer sequences between the DNA  
368 repeats, according to research conducted in the Netherlands in 1993 under the direction of  
369 J.D. van Embden. Spoligotyping, or the classification of *M. tuberculosis* strains is based on  
370 their spacer sequences, which were subsequently found in numerous bacterial and archaeal  
371 genomes and referred to as CRISPRs [29]. Jansen *et al.* [30] discovered that a CRISPR  
372 sequence was always followed by another set of sequences. This second group of  
373 sequences was given the term Cas genes (CRISPR-associated genes). It emerged that the  
374 Cas genes encoded DNA-cutting enzymes. In 2005, three study teams independently came  
375 to the conclusion that the 'spacer' in question might represent a weapon in the bacteria's  
376 defence system. Some investigations showed that inserted viral DNA fragments into their  
377 spacer sequences, and that they lost their resistance each time the new spacer sequences  
378 were excised, provided evidence for the operation of the CRISPR/Cas9 system.  
379

380 RNA molecules in bacteria to recognize incoming DNA from an incoming virus and instruct  
381 the Cas9 enzyme to cut it in order to block the virus. Bethesda, Maryland, information  
382 revealed for the first time how the CRISPR/Cas copies segments of its DNA and inserts  
383 them into their genome as 'spacers' between short DNA repeats in CRISPR. As Virginijus  
384 Siksnyus of Vilnius University independently submitted a paper to Cell examining the potential  
385 of CRISPR-CAS9 for gene editing, a small team of researchers led by Jennifer Doudna from  
386 the University of California, Berkeley, and Emmanuelle Charpentier from Umea University  
387 published work in 2012 demonstrating how to use the natural CRISPR-Cas9 system [31]. A  
388 year later, other researchers working in various labs published papers demonstrating how  
389 CRISPR might be used to alter the human cell's DNA, increasing the precision and  
390 effectiveness of CRISPR-Cas9 technology. The invention of novel Cas9 fusion proteins that  
391 don't cleave DNA is a milestone.

392

### 393 **3.2. Classification of CRISPR CAS9**

394 Based on Cas protein structure and function, CRISPR/Cas systems can be divided into

- 395 • Class I (types I, III, and IV)
- 396 • Class II (types II, V, and VI).

397 Class II systems use a single Cas protein, while class I systems use complexes of many Cas  
398 proteins. The straightforward design of type II CRISPR/Cas-9 has been extensively  
399 investigated and employed in genetic engineering. *Streptococcus pyogenes* provided the  
400 Cas-9 protein, which was the first Cas protein to be employed for genome editing (SpCas-9).  
401 The three primary elements of CRISPR/Cas9 are

402 **1) Target-specific guide RNA(gRNA):** Consists of two segments: a trans-activating non-  
403 coding RNA sequence (tracrRNA) and a targeting sequence that contains complementary  
404 target RNA (crRNA).

405 **2) Cas9 endonuclease:** A gRNA-Cas9 complex is created when gRNA binds to the Cas9  
406 enzyme, which then attaches to particular genomic targets and cleaves the appropriate  
407 genomic DNA target sequences.

408 **3) Donor DNA template ("knock-in"):** After Cas9 causes a DSB at the desired genomic  
409 region, repair DNA must be double-or single-stranded to apply genomic changes.

410

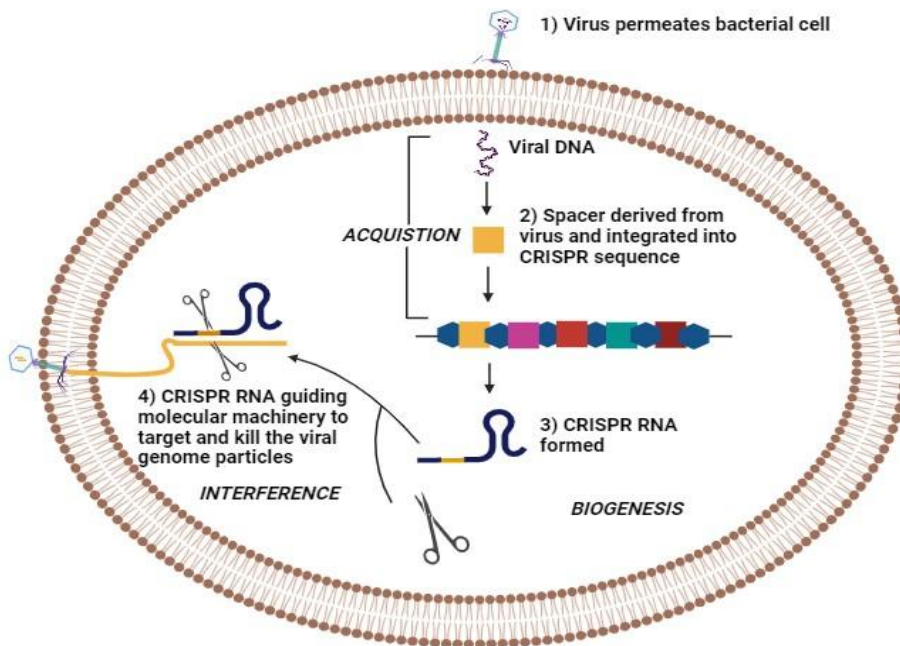
411 The scaffold-associated Cas9 protein can be attracted to any DNA sequence by the gRNA's  
412 unique 20-nucleotide targeting sequence. The target sequence must be located directly  
413 above the protospacer adjacent motif (PAM) for this system to function. Cas-9, often known  
414 as the genetic scissor, is a large (1368 amino acids) multidomain DNA endonuclease that  
415 cleaves target DNA to create double-stranded breaks. Cas-9 has nuclease (NUC) and  
416 recognition (REC) lobes. The NUC lobe is made up of RuvC, HNH, and protospacer  
417 adjacent motif (PAM) interaction domains, whereas the REC lobe is made up of REC1 and  
418 REC2 domains that bind guide RNA. The PAM-interacting domain imparts PAM specificity  
419 and is in charge of starting binding to target DNA, whilst the RuvC and HNH domains are  
420 used to cleave any single-stranded DNA. The trans-activating CRISPR RNA (tracrRNA) and  
421 the CRISPR-RNA (crRNA) are the two components that make up guide RNA. The target  
422 DNA is specified by the crRNA's 18–20 base pairing with the target sequence. TracrRNA, on  
423 the other hand, has a lengthy loop that acts as a scaffold for Cas-9 nuclease's binding.  
424 Guide RNAs are used to target viral DNA in prokaryotes, but as gene editing tools, they are  
425 created synthetically by combining crRNA and tracrRNA to generate a single guide RNA  
426 (sgRNA), making it possible to target virtually any putative gene sequence. SgRNA enlists  
427 the Cas9 endonuclease to produce double-strand breaks (DSBs) at specified locations in the  
428 genome during the genome editing process. Both the error-prone non-homologous end  
429 joining (NHEJ) route and the homology-driven repair pathway (HDR) are endogenous self-  
430 repair systems that can fix DSBs.

431

432 Because NHEJ is active for around 90% of the cell cycle and is unreliable on adjacent  
433 homologous donors, it is generally more effective than HDR. NHEJ has the potential to add  
434 random insertions or deletions (indels) at the site of cleavage, leading to frameshift  
435 mutations or premature stop codons inside the target gene's open reading frame (ORF),  
436 ultimately inactivating the target gene. As an alternative, HDR can introduce precise  
437 genomic alterations at target regions using homologous DNA repair templates. Furthermore,  
438 substantial fragment deletion and simultaneous knockout of numerous genes can be  
439 accomplished by utilizing multiple sgRNAs that target one or more distinct genes.  
440

441 Microorganisms gain CRISPR immunity through (A) Adaptation or spacer acquisition, (B)  
442 CRISPR RNA (crRNA) biogenesis, and (C) Targeted interference.  
443

444 During the adaptation stage, invasive DNA is cut up into tiny fragments and integrated into  
445 CRISPR loci as fresh spacers that act as an infection's memory protocol. The leader end of  
446 the CRISPR locus is predisposed to receive additional spacers in response to DNA infection.  
447 The "CRISPR motif" or "that" "flanking the protospacer motif" flanks the protospacer as  
448 "(PAM)" is depicted, according to analysis of protospacers (sequences inside the invasive  
449 nucleic acid that share sequence homology with spacer sequences). PAM sequences are  
450 necessary for Cas1 and Cas2 protein complexes to select and incorporate protospacers into  
451 CRISPR arrays. The CRISPR array is transcribed into a precursor CRISPR RNA (pre-crRNA)  
452 during the crRNA biogenesis stage. This precursor crRNA matures into a crRNA that  
453 contains certain spacer sequences bordered by short RNA sequences. The conversion of  
454 pre-CrRNA to mature crRNA depends on the presence of tracr RNA, RNase III, and Csn1  
455 (Cas9). Cas9 and mature crRNA-tracrRNA hybrids continue to be closely linked, forming a  
456 complex for targeted interference. The Cas9-crRNA-tracrRNA-ribonucleoprotein (crRNP)  
457 complex binds with the relevant protospacer during the interference step to activate Cas9 to  
458 cleave both strands of the target to disclose the complementary sequence to recognize and  
459 eliminate (Fig. 2). Three bases upstream of the nearby protospacer motif, the Cas9 protein  
460 cleaves the protospacer. The absence of PAM sequences in the host locus prevents an  
461 'autoimmune response' within the CRISPR locus, since PAM sequences are absolutely  
462 necessary for protospacer cleavage.



464 **Fig 2. Mechanism of CRISPR CAS9 in Gene Therapy.**

465

#### 466 **4. HOW DOES THE GENE EDITING VIA CRISPR CAS9 HAS BEEN DONE IN**

#### 467 **SCD PATIENTS?**

468 This section concentrates on a few CRISPR/Cas9 gene editing strategies for treating SCD.  
469 Targeting the HbF transcriptional repressor produced enough quantities of his HbF to  
470 reverse SCD, resulting in beneficial HPFH. Specifically, the sickle mutation of HBB was  
471 repaired. A mutation is brought about. In a phase 1 clinical trial using CRISPR/Cas9 gene  
472 editing in patients with severe SCD (CTX001, clinicaltrials.gov), an erythroid lineage-specific  
473 enhancer designed to stimulate HbF expression and autologous CD34<sup>+</sup> HSPC expressing  
474 the BCL11A gene were employed as an example. The use of gene-editing-based techniques  
475 in clinical settings is fraught with difficulties, including the possibility of off-target  
476 consequences, the need to improve the effectiveness of gene editing, and the *in vivo*  
477 transplantation of gene-edited HSPCs. Achieving high levels of safety and effectiveness  
478 requires optimizing genome editing techniques, including CRISPR-Cas9/gRNA and donor  
479 templates, and delivery techniques.

480

#### 481 **4.1. Preclinical studies for ex vivo HSPCs gene editing**

482 *Ex vivo* gene editing of human HSPCs followed by transplantation in an immuno-deficient  
483 mice model has been used in the majority of preclinical research. Since the viability of  
484 permanent HSC engineering is a need for the robustness of autologous HSCT, it intends to  
485 assess the long-term engraftment capacity of genetically altered HSCs.

486

#### 487 **4.1.2. Isolation of CD34<sup>+</sup> Cells**

488 Umbilical cord blood, bone marrow, and mobilized peripheral blood can all be used to isolate  
489 human CD34<sup>+</sup> HSPCs. Most genome editing experiments have employed CD34 HSPCs  
490 from peripheral blood. Prior to gene editing, isolated CD34<sup>+</sup> cells are grown for a number of  
491 days in cytokine pre-stimulation media because it has been demonstrated that exposing the  
492 post-isolated culture to cytokines increases the effectiveness of gene editing [32]. However,  
493 achieving high editing efficiency requires balancing with of off-target mutations and  
494 immunogenicity brought on by persistent or over expressed CRISPR components.

495

496 When delivering RNPs directly into HSPCs, electroporation utilizing a nucleofection  
497 procedure is frequently chosen since it enables the RNP to enter the cell nucleus quickly and  
498 start cutting the genome right away. To obtain high editing efficiency and specificity in CD34<sup>+</sup>  
499 HSPCs with less cytotoxicity, RNP has been employed in the majority of genome editing  
500 research. By lessening the toxicity of CD34<sup>+</sup> HSPCs, chemical alterations of gRNAs  
501 increased the effectiveness of genome editing even more. Gene-edited HSPCs from SCD  
502 patients (SCD HSPCs) are the therapeutic product for autologous transplantation.

503 Recent preclinical studies have shown potential for several gene editing ideas to treat SCD,  
504 including:

- 505 (i) correction of the causal point mutation in HBB.
- 506 (ii) gene disruption of  $\beta$ -globin (HBB) repressors to induce foetal haemoglobin (HbF).
- 507 (iii) introducing advantageous hereditary persistence of foetal haemoglobin (HPFH)  
508 mutations on the  $\beta$ -globin locus to induce HbF

509

#### 510 **4.1.3. HbF Induction through BCL11A Gene Editing**

511 HbF is the dominant globin type after the first trimester and replaced by HbA 6 months after  
512 giving birth. Switching from HbF to adult globin is predominantly regulated by a potent  
513 upstream enhancer known as a locus control region (LCR) that includes each globin  
514 promoter to activate their production, both HbA and HbF are retained on chromosome 11  
515 [33]. The clinical severity of SCD is significantly influenced by HbF levels, and reactivating  
516 HbF by targeting the genes that control HbF is a viable therapeutic strategy. Numerous

517 causal loci have been discovered by genome-wide association studies (GWAS) of HPFH  
518 patients [34], and many transcription factors are believed to be indirectly implicated in the  
519 silencing of HbF. By working in conjunction with other DNA-associated factors at various  
520 sites within the globin locus, including direct repression of the HBG promoter by BCL11A  
521 increase, BCL11A is a key regulator of HbF levels and represses foetal haemoglobin  
522 expression. Therefore, the BCL11A-binding motif or loss of BCL11A suggests an appealing  
523 and distinct target for therapeutic gene editing to treat SCD.  
524

#### 525 **4.1.3.1. BCL11A gene deletion**

526 Using a TALE nuclease mRNA targeting the BCL11A coding sequence, Humbert *et al.* [35]  
527 verified BCL11A's role as a HbF repressor and carried out a proof-of-concept transplantation  
528 research in the NHP model. BCL11A, however, plays many roles in several hematopoietic  
529 lineages, and coding mutations in BCL11A are extremely harmful. Targeting the core  
530 sequence of the erythrocyte enhancer BCL11A in HSPCs provides a foundation for  
531 erythrocyte-specific therapeutic genome editing. Several investigations have confirmed that  
532 the erythrocyte enhancer BCL11A is the target for HbF induction. While BCL11A's capacity  
533 to promote HSC activities like differentiation, reconstitution, and long-term engraftment  
534 potential was maintained, disruption of the BCL11A enhancer resulted in levels of HbF  
535 reactivation comparable to those seen in BCL11A-encoding knockout (KO) mice. Wu *et al.*[36]  
536 showed highly effective therapeutic gene editing in HSPCs by disrupting GATA1-  
537 binding sites in the 58 BCL11A erythroid enhancer by CRISPR/Cas9. This led to a  
538 therapeutic induction of foetal  $\beta$ -globin upon engraftment of SCD-HSCs and an erythrocyte-  
539 specific decrease in BCL11A expression. The gRNAs that directly cleaved at the core of the  
540 58 erythroid enhancers of BCL11A showed the highest HbF induction in erythroid progeny  
541 with high indel rates. Based on clonal examination of CD34<sup>+</sup> HSPCs edited with the BCL11A  
542 enhancer,  $\gamma$ -globin was substantially produced by biallelic alteration of the cleavage site. To  
543 investigate the effects of BCL11A enhancer editing on long-term transplanted HSCs, human  
544 designed SCD CD34<sup>+</sup> HSPCs were infused into immunodeficient NBSGW mice [36]. The  
545 genetic engineering of self-renewing HSCs was confirmed by NBSGW, which supported  
546 comparable engraftments with human myeloid, lymphoid, and erythroid cells in comparison  
547 to non-engineered cells. BCL11A enhancer editing had no negative consequences on stem  
548 cell activity, as evidenced by the fact that indels at the BCL11A enhancer remained after  
549 secondary transfer. Intriguingly, the indel spectrum of long-term transplanted HSCs was  
550 different from that of bulk HSPCs, indicating that transplanted HSCs may prefer NHEJ to  
551 Microhomology-Mediated End-Joining i.e. MMEJ repair. The ability of BCL11A enhancer-  
552 engineered cells to sustain suggests that a gene disruption strategy involving NHEJ may be  
553 more efficient than gene editing techniques based on HDR or MMEJ. This is due to the fact  
554 that NHEJ occurs preferentially in HSCs and is active throughout the cell cycle. This study  
555 reveals that BCL11A enhancer editing using CRISPR/Cas9 is a practical therapeutic  
556 approach to induce HbF at therapeutic levels in transplanted HSCs. In a phase 1 clinical trial  
557 (CTX001, clinicaltrials.gov) using CRISPR/Cas9 to modify the erythrocyte enhancer BCL11A  
558 to promote HbF expression in him, Vertex Pharmaceuticals and CRISPR Therapeutics have  
559 shown encouraging results.  
560

#### 561 **4.1.3.2. Base editing of BCL11A**

562 Zeng *et al.* [37] has established the viability of changing the treatment baseline in  
563 repopulating and self-renewing multilineage human HSCs. When compared to nuclease-  
564 based editing, base editing has the potential to produce better purity of gene-modified  
565 products. The Base Editor makes base modifications without triggering DSBs, avoiding  
566 undesirable indels and off-target effects produced by ineffective HDR and DSBs. As an RNP  
567 targeting the BCL11A erythroid enhancer in SCD-HSPCs, an A3A-BE3-based editor was  
568 presented. To eliminate the GATA1 motif, this base editor targets cytosines in the base  
569 editing window. Two cycles of electroporation boosted therapeutic base throughput, but

570 lowered viability and engraftment potential. Similar to nuclease editing, biallelic single-  
571 nucleotide editing in the BCL11A enhancer within the GATA1 motif resulted in significant  
572 HbF induction [36]. Base-editing frequency was lowered in transplanted HSCs relative to  
573 input HSPCs after transplantation into NBSGW mice. Multiple lineage rearrangements were  
574 seen in base-edited cells, with similar base-editing frequencies in each lineage. Erythroid  
575 enhancer disruption resulted in erythroid lineage-specific BCL11A knockdown. Both gRNA-  
576 dependent and independent off-target editing should be investigated for baseline editing.  
577

## 578 **4.2. HPFH mutations are brought about via HbF induction**

579 BCL11A and leukaemia/lymphoma-related factor (LRF), which are the two main repressors  
580 of the foetal haemoglobin gene, bind specifically to the HbG promoter in areas around 115bp  
581 and 200bp upstream of the transcription start site, respectively [38]. Significant amounts of  
582 HbF are produced when the LRF or BCL11A binding site in HBG promoters is disrupted  
583 using CRISPR/Cas9. As a potential DNA target for genome editing, Traxler *et al.* [39]  
584 identified a naturally occurring 13-nucleotide HPFH deletion in the HBG promoter. Since the  
585 Cas9 cleavage site is flanked by 8-nt tandem repeats that facilitate MMEJ repair in edited  
586 progenitors that generated erythrocytes with increased HbF-levels that were sufficient to  
587 reverse sickling *in vitro*, the 13-nt deletion identical to the naturally occurring mutation  
588 predominates among other indels after CRISPR/Cas9 editing. The goal of this technique  
589 was to show high-throughput engineering of human HSCs capable of engrafting multi-  
590 lineage grafts following transplantation into immune-deficient mice without detectable off-  
591 target mutations or negative haematological consequences. An NHP autologous  
592 transplantation model illustrates the therapeutic potential of this strategy[40]. Because this  
593 region of HBG is conserved in both humans and rhesus macaques, previously verified  
594 CRISPR gRNA targets for human cells were employed as CRISPR targets. The homologous  
595 HBG1 and HBG2 genes' promoters contain GRNA targets [40]. Co-excision has been  
596 implicated in a remarkable number of massive deletions that delete the whole HBG2 gene  
597 plus a portion of the HBG1 promoter. Despite the fact that following transplantation in NHP,  
598 the frequency of large deletions was dramatically reduced, the underlying mechanism is still  
599 unknown, and the long-term therapeutic significance of the large deletion has not been  
600 proven.  
601

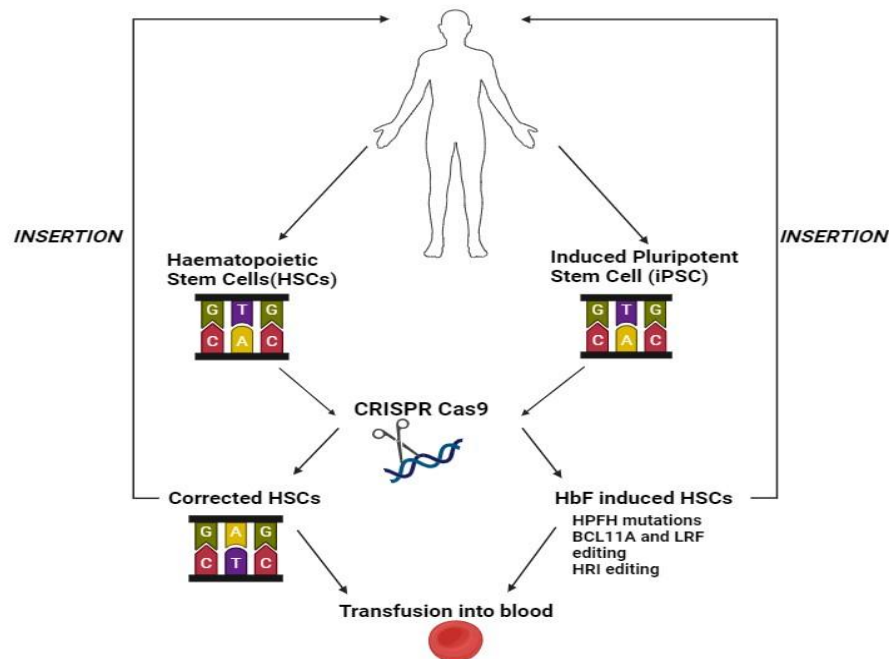
### 602 **4.2.1. HBG base editing**

603 Base editing that results in a single nucleotide alteration at the HBG promoter's BCL11A-  
604 binding region is sufficient to prevent BCL11A binding and boost HBG expression [41].  
605 Because the base editor mediates base conversions without triggering DSBs, the HBG copy  
606 number remained unaffected. This suggests that base editing might result in safer  
607 therapeutic applications without worsening the genome's DSB-induced damage. In HSC  
608 transplantation, the effectiveness of this strategy has not been evaluated. Independent of  
609 BCL11A, the transcription factor LRF inhibits the expression of HbF. They experimented with  
610 targeting LRF binding sites within the HBG promoter, since LRF degradation boosts HbF  
611 expression while delaying erythroid development. The SCD phenotype was exacerbated by  
612 CRISPR/Cas9 disruption of the LRF binding site. Upon repopulation of HSCs that develop  
613 into erythrocytes that express therapeutically relevant quantities of the HbF, editing of the  
614 HBG promoter is maintained. The simultaneous inhibition of LRF and BCL11A, with an  
615 additive effect on HbF, was made possible [42]. Base editing to concurrently disrupt LRF and  
616 BCL11A repressor binding sites in the HBG promoter is a promising tactic given the  
617 separate roles of LRF and BCL11A, and work by Zeng *et al.* [37] has demonstrated efficient  
618 multiple base editing. As a potential strategy for SCD treatment, several researchers have  
619 revealed proof-of-concept CRISPR/Cas9-mediated gene editing to recapitulate significant  
620 deletion HPFH mutations within the  $\beta$ -globin gene cluster. These methods concentrate on  
621 NHEJ rather than DSB to precisely produce big deletions that resemble the Corfu deletion of  
622 the naturally occurring Sicilian HPFH or the intergenic  $\gamma$ - $\delta$  region, which contains the  $\delta$ -and

623  $\beta$ -globin genes [43]. It has not been documented whether these alterations are effective in  
 624 HSC transplantation. When large HPFH deletion mutations are introduced, two DSBs must  
 625 be treated simultaneously and their distal ends must be joined. This increases the likelihood  
 626 of off-target effects while decreasing the frequency of large deletions. Additionally, their  
 627 clinical applicability is restricted by rival genome editing technologies, tiny indels, and the  
 628 inversions linked to these deletions.  
 629

### 630 4.3. Correction of SCD Mutations

631 Correcting the SCD mutation appears to be the most difficult, but also one of the most viable  
 632 and promising approaches to Cas9 cut sickle  $\beta$ -globin. This break can be repaired when a  
 633 normal  $\beta$ -globin sequence flanked by arms of homology to the DSB is provided. The proper  
 634  $\beta$ -globin sequence can be provided without the requirement to activate the foreign  
 635 transgene, suggesting that genotypic correction is attainable through specific location in the  
 636 genome. Many scientists employ gene editing techniques to fix SCD mutations in many cell  
 637 types, rising to assure appropriate repairs. The CRISPR/Cas9 method, which outperformed  
 638 other gene editing technologies in terms of repair efficiency and OTEs, is used in the  
 639 majority of these studies (Fig. 3).



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 645

**Fig 3. CRISPR/Cas9 treatment for SCD. The proof-of-concept studies have demonstrated the possibility of correcting SCD mutations, inducing foetal haemoglobin (HbF) in SCD-derived HSCs and iPSCs, and subsequently normal erythrocyte derivation for transfusion.**

646 The majority of genome editing studies currently use bone marrow-derived CD34<sup>+</sup> HSPCs as  
 647 the source of HSPCs, but due to safety concerns regarding the use of granulocyte colony-  
 648 stimulating factor in SCD patients, newly peripherally mobilized CD34<sup>+</sup> HSPCs have shown  
 649 promise. It is possible to modify these CD34<sup>+</sup> cells so that they are reinfused into the patient.  
 650 Nevertheless, alterations in the cell cycle or the presence of particular nucleases that can  
 651 affect the repair pathways cells adopt after DNA double-strand breaks confer a general  
 652 resistance to effective gene editing. In these experiments, the most effective way to  
 653 administer the adeno-associated virus (AAV)-6 vectors for the CRISPR/Cas9 delivery  
 654 system with donor DNA is by electroporation [44].

655  
 656 Numerous studies examine gene editing at the DNA level, by targeted deep sequencing, or  
 657 by nested digital PCR of droplets for the evaluation of SCD mutation correction, while others  
 658 used more functional studies, like RNA sequencing or RNA expression levels, with three  
 659 studies using High performance liquid chromatography (HPLC) to measure protein levels  
 660 after correction of an SCD mutation in the  $\beta$ -globin gene [45]. Since the procedure to create  
 661 human iPSCs from somatic cells was published in 2008, other groups have created  
 662 protocols to differentiate iPSCs into various cell lineages, such as hematopoietic cells, which  
 663 are emerging as another reliable source of autologous HSPCs. Hematopoietic cells  
 664 produced from iPSCs are now immature rather than final hematopoietic cells, and therefore  
 665 cannot be implanted in a mouse model using a xenograft. iPSCs can be differentiated into  
 666 HSPCs using a variety of methods, but the majority of them replicate the primitive  
 667 haematopoiesis that can be seen when HSPCs are produced mostly from erythroid cells that  
 668 contain  $\epsilon$ -globin and  $\gamma$ -globin and very little, if any,  $\beta$ -globin.  
 669

670 Using a gene editing technology already in existence to fix the SCD mutation in iPSCs. A  
 671 suitable differentiation strategy is needed to produce transplantable HSPCs from iPSCs for  
 672 therapeutic reasons. This therapy ultimately overcomes the two main barriers to allogeneic  
 673 transplantation rejection and GVHD in transplant therapy, along with other autologous  
 674 modifying methods. Furthermore, because cloning repaired cells from a large iPSC  
 675 population would enable 100% of the cells to be repaired; low repair efficiency is an issue in  
 676 HSPC studies. Even though several groups have demonstrated correction of SCD mutation  
 677 at the DNA level using nested ddPCR or DNA sequencing, only one study in SCD presented  
 678 a mutational correction to SCD-derived iPSCs at the RNA and protein levels by quantitative  
 679 PCR and Western blot analyses, respectively. Although both underlying mutations in CD34<sup>+</sup>  
 680 and iPSCs can be rectified, only a few immune-deficient mouse models have shown  
 681 significant healing rates following ex-vivo transplantation of corrected human cells [19]. Even  
 682 though immune-deficient mice are commonly used as transplantation models for human  
 683 cells, it is unclear if the results of these studies accurately represent the clinical  
 684 consequences of these methods. Larger animal models are required to investigate the  
 685 application potential following the optimization of corrective techniques.  
 686

687 Although it is conceivable to alter CD34<sup>+</sup> cells, there are a variety of genotypic outcomes that  
 688 could occur, and editing for the long-term transplantation of HSPCs has not yet been well  
 689 investigated. Processing cells using CRISPR/Cas9 and a donor with  $\beta$ -globin can result in  
 690 cells that are in their original state (uncorrected), have a scythe feature (one allele  
 691 corrected), are healthy (both alleles corrected), have thalassemia major (both alleles  
 692 disturbed), have a feature of  $\beta$ -thalassemia (one allele repaired but otherwise disturbed), or  
 693 have sickle/ $\beta$ -thalassemia (one allele broken) the cell's NHEJ/HDR machinery. Prior to  
 694 conducting clinical studies, accurate correction in the long term HSPCs are still not effective  
 695 and efficient leads to reduction of transplanted HSPCs mixed culture might be clinically  
 696 troublesome.  
 697

698 **Table 1: Selected mutation correction studies in SCD using CRISPR/Cas9**

Gene	Cell Types	Genome-editing tool	Outcome/Results	Mouse Transplantation Studies	Reference
HBB	iPSC	CRISPR Cas9	RNA expression and western blot can be used to identify the correction of SCD mutations.	ND	19, 24
HBB	CD34 <sup>+</sup> Cell	CRISPR Cas9	Anti-sickling $\beta$ -globin	Concentrated	44

	Mobilized		cDNA donor with 29% RNA expression level is used for SCD mutation correction.	CD34 <sup>+</sup> treated population long-term engraftment assessed by flow Femoral BM cytometry (4–30%)	
HBB	iPSC	CRISPR Cas9	Up to 67.9% of compensation effectiveness was examined sequentially. No functional studies	ND	45

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#### 4.4. Challenges

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##### 4.4.1. Editing Efficiency

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The CRISPR/Cas9 system is dependent on Protospacer-Adjacent Motif (PAM) sequence close to the object, which is a limiting element for the varied application of knowledge. There aren't many options for guide RNA for various Cas proteins, similar to how SCD mutation repair studies must focus on a certain chromosomal area. In order to recognize diverse PAM orders, significant efforts have been undertaken to create various Cas-effector proteins. Despite the development of 19 variants of the CRISPR system, each of which has a distinct Cas-effector protein that can recognize a new PAM site and an expanded target genomic region, not all of them have been examined for their efficacy and safety. Established Cas types, such as Cas12a or Cas9 from *Streptococcus pyogenes*, are still used by researchers in their studies [46]. While some other Cas9 orthologs have been reported to require longer PAM sites, SpCas9 PAM recognition is 5' NGG 30. Although they differ from the traditional SpCas9 in certain ways, their longer PAM sites restrict their application despite maybe more effective delivery. For instance, smaller Cas effector proteins, such as SaCas9 from *Staphylococcus aureus* [47] with the NNGRRT PAM site, are better at delivering viruses. Increase the target area's size such that mutations at neighbouring PAM DNA duplex residues can successfully change the PAM preference. Cas-effector subunit proteins were better understood, enabling PAM modification selectivity. *Streptococcus canis* Cas9 (ScCas9) was described as looking as 50-NNG-30 PAM and reported to have an 89.2% sequence similarity to SpCas9 in a recent study [48]. A structural investigation revealed that the specificity of a minimum PAM sequence is caused by two distinct mutational areas, positively charged insertions in the REC domain (367–376) and KQ insertions in the PAM interaction domain. Another group has recently developed Cas9 variants with various PAM compatibilities utilizing the PACE technique, or phage-assisted continuous evolution. However, more intriguingly, they showed better DNA specificity of Cas9 variants of canonical SpCas9, which has a smaller genome-wide target, extending the recognition of PAM Cas9 variants projected to have substantially more OTE. Sniper-Cas9, which has a high goal and few OTEs, was successfully obtained via the wizard development in the second strategy.

741 These investigations highlight the opportunity for and necessity of additional action  
742 improvements in genomic targets for various Cas-effector proteins. When using the  
743 methodologies in ordinary clinical applications, safety should also be taken into account in  
744 order to maximize recovery effectiveness.

745

#### 746 **4.4.2. Possibility of Editing Tools or Edited Cells Being Immunogenic**

747 CRISPR technology's ultimate goal is to modify disease-related mutations or regulate  
748 disease-related gene expressions in patient-derived stem/progenitor cells. There are still a  
749 lot of questions about how CRISPR/Cas9 systems behave *in vivo*. Since 2019, there have  
750 been active clinical trials using CRISPR/Cas9 as a potential treatment for SCD, thalassemia,  
751 HIV-1, and several cancer types both domestically and internationally. Ex-vivo research  
752 suggests that there may be negative impacts of technology, even though these doctors have  
753 hope for future tests. Whether guide RNAs or Cas9 itself has an impact on the immune  
754 system is the initial query. Kim *et al.* [49] revealed which *in vitro* transcribed RNAs at 5'-  
755 triphosphate group (50-ppp) caused cytotoxicity due to activation of the innate immune  
756 system in human and mouse cells in an effort to partially answer this question. The authors  
757 also note that the elimination of triphosphate resulted in a high mutation rate in the 45 CD4+  
758 cells utilized in the first human CRISPR experiment for anaemia sickness, which allowed  
759 them to avoid detection by the innate immune system. Charlesworth *et al.* [50] demonstrated  
760 pre-existing anti-Cas9 antibodies in a small group of healthy volunteers in a recent preprint  
761 article. These antibodies were acquired from *Staphylococcus aureus* (79%) or *Streptococcus*  
762 *pyogenes* (65%). The prevalence of anti-SaCas9 antibodies and SpCas9 was shown to be  
763 10% and 2.5%, respectively, in additional research using 200 blood samples [51]. Although  
764 the results are not shocking, activating the immune system with CRISPR/Cas9 most likely  
765 led to problems and injury in real life. Although extensive animal models and clinical studies  
766 are anticipated to address these discoveries and potential immune responses, Cas9  
767 expression levels, delivery strategies, vector types for transduction routes, and target cell  
768 populations should be optimized in any way possible to lessen a severe immune reaction.

769

#### 770 **4.4.3. Editing Specificity**

771 OTE are unquestionably one of the most demanding circumstances for the CRISPR/Cas9  
772 technology, aside from potential immunological responses. OTE for a given manual RNA  
773 cannot be disregarded, since the Cas9-manual RNA complex can comprehend sequences  
774 with up to five mismatched nucleotides. Numerous improvements were made to increase the  
775 CRISPR/Cas system's specificity, but the manual RNA design is still the most crucial method  
776 for eliminating OTEs. There are excellent manual RNA layout tools available, and the most  
777 recent versions include additional algorithms that compare on-goal reduction performance in  
778 addition to selectivity for the goal. Additional modifications to the structure of the manual  
779 RNA during synthesis, including as the truncation of spacer RNA and chemical  
780 modifications, have been linked to increased Cas9 endonuclease specificity[52]. Additionally,  
781 by increasing the stability of manual RNAs in cells, chemical alterations using 2'-O-methyl  
782 3'-phosphorothioate and 2'-fluoro-ribose improve the modifying performance. Enhancing  
783 Cas9 specificity is the second essential element to reduce OTEs. Because two closed  
784 recognition sites inside the DNA are needed for a double strand break, a mutant form of  
785 Cas9 called nickase (Cas9n) can best reduce a single strand of DNA. As a result, OTEs are  
786 significantly decreased (50–1500-fold in human cells). However, this method also advanced  
787 with the development of a catalytically inactive Cas9 and Fok1 fusion protein, which can  
788 convert some single nicks to double strand breaks. In this method, the Fok1 enzyme is  
789 brought into close contact to the Fok1 nuclease, which is necessary for active dimerization.  
790 While those methods greatly reduced off-target concerns, the need for double recognition  
791 sites might result in substantially lower editing efficiencies, and the demand for double  
792 manual RNA utilization might limit viral delivery methods. Active nucleases are being  
793 designed for greater specificities to retain modifying performance that is excessive enough

794 for scientific application. The initial idea behind high specificity nucleases was to lessen  
795 Cas9's interactions with its DNA in order to diminish OTEs while maintaining enough power  
796 for on-goal popularity. In contrast to wild type nucleases, high constancy Cas9 and higher  
797 specificity Cas9 have no or much fewer OTEs while maintaining robust on-goal activity. In a  
798 recent post, Doudna claimed that SpCas9-HF1 and eSpCas9 are both bound to mismatched  
799 goals and locked in inactive regions, and that the non-catalytic region of Cas9, REC3, is  
800 responsible for goal popularity and the direction of nuclease activity. They were able to  
801 generate hyper-accurate Cas9 variations (HypaCas9) with broad genome specificity using  
802 those observations without compromising any discernible OTEs [53]. Numerous courses  
803 have recently brought up the pertinent issue of the CRISPR/Cas system's unintended  
804 consequences, which include significant chromosome deletions, insertions, and  
805 rearrangements when it is used in clinical trials. Although it's not always clear whether this  
806 uncertainty will be clarified or is clinically relevant, it is feasible to encourage further pre-  
807 scientific research addressing those valid safety concerns.

808

#### 809 **4.4.4. A Secure Delivery**

810 Based on the specifications for the required amount of protein, exposure period, efficiency,  
811 and constraints for OTEs and other safety considerations, the application of the  
812 CRISPR/Cas9 system to a particular cell type, structure, and carrier components must be  
813 specified. Systematically, it can be (i) integrating/non-integrating virus vectors/plasmids  
814 expressing both mRNAs, guide RNA and Cas9 (ii) Cas9 mRNA and guide RNA and (iii) a  
815 ribonucleoprotein complex (RNP) that forms the Cas9 protein and guide RNA. After its  
816 discovery, the CRISPR/Cas9 system can be used in human cells for genome editing, virus  
817 constructions that provide continuous expression of Cas9 and guide RNAs were used to  
818 investigate this potential, although it can be useful for gene editing methods that require a  
819 long-standing expression, it was also recognized continuous expression of guide RNAs and  
820 Cas9 increased the possibility of mismatched pairs and OTEs [54]. Although viral problems,  
821 immune system-based systems, and insertional mutagenesis are still up for debate, the use  
822 of vector transfer in the lab is reliable and affordable. An alternate way of plasmids/vectors  
823 uses a primer mRNA for Cas9, which is transported into the cell and translated into an active  
824 protein. Although this technology can also be utilized only for genome editing techniques that  
825 allow for temporary Cas9 expressions, it does so by bypassing the time needed for Cas9  
826 transcription conveyed with plasmids. The Cas9 protein reached its peak in mice at 6 hours  
827 after Cas9 administration mRNA and went undetectable at 24 hours [55]. Different  
828 distribution methods or chemical alterations for stability RNAs, as previously discussed in  
829 security, could be used to optimize effectiveness. In addition to the issue of whether a native  
830 there are significantly more foreign proteins for human cells immunogenic to suppress RNP  
831 potential of use, another option is the RNP complex, which forms native Cas9 protein and  
832 guide RNA one complex that is readily active when internal to a room. However, the biggest  
833 drawback of this application is that the Cas9 guide RNA structure is relatively a large  
834 complex. Other DNA and RNA systems as well as non-virus dissemination methods like  
835 electroporation, encapsulation, and delivery modified are popular. Long used as a non-  
836 selective delivery method, electroporation increases the number of cell membrane pores by  
837 applying a high electric field, allowing different DNA, RNA, and proteins to pass over the cell  
838 membrane. Despite the fact that this technique is quite effective once Cas9 and guide RNA  
839 are introduced into HSPCs. The purpose of electroporation in the clinical setting during  
840 interrogation is to fix the SCD mutation, the problem of toxicity, and the issue of long-term  
841 survivability. Large amounts of Cas9 protein may be needed in the clinical setting, and it is  
842 currently not economically feasible to clean Cas9 protein that is endotoxin-free. In order for  
843 this technique to be useful in a clinical environment, it is important to research more  
844 industrially feasible approaches to attain GMP in grade Cas9 production.

845

#### 846 **4.5. In Vivo Gene Modification**

847 The expensive cost of *ex vivo* gene editing hinders the use of this therapy for SCD patients  
848 in locations with limited resources, despite the fact that it offers several benefits, including  
849 high editing efficiency and the ability to remove unedited HSPCs from the patient. Using non-  
850 integrating adenovirus, efforts have been made to construct an *in vivo* HSC  
851 transduction/selection method. *In vivo* editing of the HBG promoter by CRISPR/Cas9 was  
852 carried out in mice that were transgenic for YAC/CD46 [33]. In order to reactivate  $\beta$ -globin, a  
853 human CD46-targeted adenoviral vector (HDAAd-HBG-CRISPR/mgmt) expresses  
854 CRISPR/Cas9 targeting the HBG promoter. The vector additionally has an O-6-  
855 methylguanine DNA methyltransferase i.e. MGMTP140K cassette enabling  
856 chemotherapeutic drug-based *in vivo* selection of transduced cells when viral transduction is  
857 directed by hematopoietic tissue, CD46 is universally expressed in HSPCs. Direct *in vivo*  
858 investigation of  $\beta$ -globin reactivation using an adenoviral vector targeting human CD46 is  
859 possible because the P-YAC/CD46 mice bearing the human  $\beta$ -globin gene locus, which  
860 express human CD46 at a level and pattern similar to that of humans. An *in vivo* HSPC  
861 transduction method includes mobilizing HSPCs from the bone marrow into the peripheral  
862 blood and then injecting an adenoviral vector (HDAAd-HBG-CRISPR/mgmt) intravenously  
863 because direct transduction of BM-located HSPCs is ineffective. This led to the reactivation  
864 of human  $\beta$ -globin in adult animals' erythrocytes, which persisted even after a secondary  
865 HSPC transplant. This strategy might have long-lasting effects, since mobilized HSCs that  
866 were transduced into peripheral blood could locate to the bone marrow and regenerate [33].  
867 Despite the promise of *in vivo* gene editing for the treatment of SCD, there remain numerous  
868 obstacles. SCD HSCs need to have high *in vivo* trafficking and editing efficiency, and target  
869 cell/tissue editing could be a concern. Although viral vector-based gene editing machinery  
870 delivery *in vivo* can be very efficient, it can also result in unregulated Cas9/gRNA  
871 expression, which can be genotoxic and trigger the immune system. Contrarily, nonviral *in*  
872 *vivo* delivery vehicles may be ineffective and widely biodistributed.

873

## 874 **5. CLINICAL TRIALS PERFORMED BY CRISPR CAS9 MECHANISM**

875 Seven clinical trials utilizing gene editing methods have been started in the last four years to  
876 treat SCD. *Ex vivo* delivery of editing agents to autologous HSCs is used in all of them. In  
877 five of these treatment strategies, BCL11A expression is suppressed in the erythroid lineage  
878 by destroying enhancer elements or altering BCL11A binding sites in HBG promoters. This is  
879 done in an effort to restore  $\beta$ -globin expression. The disease that is causing the mutation at  
880 the HBB gene by HDR is being addressed by two alternative methods.

881

### 882 **5.1. Phase 3 Clinical Trial NCT05329649 CTX001**

883 The experimental gene-edited cell treatment CTX001 is being tested in two Phase 3 trials,  
884 one in children with SCD and the other in people with transfusion-dependent  $\beta$ -thalassemia  
885 (TDT), by CRISPR Therapeutics and Vertex. Both Phase 3 studies will consist of a  
886 maximum of 12 kids between the ages of 2 and 11. In the study VX21-CTX001-151  
887 (NCT05329649), children with severe SCD who are intolerant or unresponsive to  
888 hydroxyurea are being enrolled. Participants undergo a single intravenous infusion of  
889 CTX001, which is made up of bone marrow-derived cells that have been altered to create  
890 foetal haemoglobin, and are subsequently followed up for up to two years. In May 2026, both  
891 trials will come to a conclusion. CTX001 stimulates the formation of foetal haemoglobin in  
892 the blood cell progenitors of SCD patients by using the CRISPR-Cas9 gene editing  
893 technology. A type of haemoglobin created during foetal development called foetal  
894 haemoglobin transports oxygen more effectively than adult haemoglobin. In the case of a  
895 stem cell transplant, the altered cells are reinfused into the patient. CTX001 is anticipated to  
896 decrease the prevalence of VOCs in SCD patients and the requirement for ongoing  
897 transfusions in TDT patients by raising foetal haemoglobin levels.

898

### 899 **5.2. NCT05477563, A Phase 3 Clinical Trial**

900 A phase 3b trial to assess the safety and efficacy of a single dose of autologous CRISPR  
901 Cas9-modified CD34<sup>+</sup> human haematopoietic stem and progenitor cells (CTX001) in  
902 individuals with severe sickle cell anaemia or transfusion-dependent  $\beta$ -thalassaemia.  
903 Participants in this single-dose, open-label research have severe SCD or transfusion-  
904 dependent  $\beta$ -thalassaemia (TDT). The effectiveness and safety of autologous CRISPR-Cas9-  
905 modified CD34<sup>+</sup> human haematopoietic stem and progenitor cells (hHSPC) will be assessed  
906 in the subsequent investigation employing CTX001.  
907

### 908 **5.3. NCT04774536 for SCD by Drug CRISPR SCD001**

909 Patients with severe SCD may get a transplant of CRISPR-modified haematopoietic  
910 progenitor stem cells (CRISPR\_SCD001). NCT04774536. The CRISPR-Cas9-edited RBCs  
911 (also known as the therapeutic product CRISPR\_SCD001) are used in the study to assess  
912 haematopoietic stem cell transplantation (HSCT). Adults make up the first six items. Three  
913 adolescents between the ages of 12 and 18 will be enrolled in the trial to continue collecting  
914 data on the safety of CRISPR SCD001 if it proves to be safe after the first six months.  
915

### 916 **5.4. Long-Term Follow-Up Study in Subjects Who Received CTX001** 917 **NCT04208529**

918 This is a multi-site observational study to assess the long-term safety and efficacy of  
919 CTX001 in patients who had previously received CTX001 or VX21-CTX001-141 for  
920 transfusion-dependent  $\beta$ -thalassaemia (TDT) or CTX001-121 or VX21-CTX001-151 for  
921 severe SCD in the study of CTX001-111 (NCT03655678).  
922

### 923 **5.5. Phase I/II Cedar Clinical Trial NCT04819841**

924 A phase I/II clinical investigation, the CEDAR trial (NCT04819841), is being funded by  
925 Graphite Bio and will begin in 2021. GPH101, in contrast to the products previously  
926 mentioned, is HDR-based and depends on a superior CRISPR-Cas9 system coupled with an  
927 AAV6-based HDR model. The point mutation in HBB that causes SCD must be fixed [56].  
928 90% of erythrocytes in preclinical investigations on mice had normal HbA levels after  
929 approximately 20% of HSCs had their HBB locus repaired. Preclinical safety studies  
930 revealed no chromosomal translocations, aberrant haematopoiesis, or discernible side  
931 effects. Up to 15 patients are currently being tracked at various facilities across the USA,  
932 according to Graphite Bio, which recently announced the enrolment of its first patient, in  
933 midway through 2023; preliminary CEDAR study results are anticipated.  
934

### 935 **5.6. Phase I/II Clinical Trial Ruby NCT04853576**

936 With about 40 people, Editas Medicine began the Phase I or II clinical trial RUBY  
937 (NCT04853576) in 2021 to assess the effectiveness and safety of EDIT-301. The final  
938 product is made from autologous HSC cells in which the HBG1/2 promoter regions have  
939 been disrupted using CRISPR-Cas12. In preclinical mouse models, HSCs generated with  
940 HBG1/2 have shown long-term engraftment. In cells from healthy donors (43%) and SCD  
941 patients (54%), large levels of HbF induction were linked to around 90-fold off-target alleles  
942 without any discernible off-target effects [57].  
943

### 944 **5.7. Gene Therapy (OTQ923) To Treat SCD**

945 This study assesses a drug called OTQ923, which lowers the biological activity of BCL11A,  
946 boosts the generation of foetal haemoglobin (HbF), and lessens the issues associated with  
947 sickle cell anaemia. The blood-forming cells in OTQ923 are genetically altered, and  
948 physicians think this can lower BCL11A activity and raise foetal haemoglobin (HbF). The  
949 person will first get customary drugs to get the body ready to gather its own blood-forming  
950 cells. The next step is apheresis, which is a procedure for gathering, separating, and  
951 preserving blood-forming cells. Through gene editing, haematopoietic cells are processed in  
952 the lab to make OTQ923 and own genetically altered blood-forming cells (OTQ923) will be

953 given to the person in a single intravenous (IV) infusion on the day of the transplant. To  
954 determine how well the treatment is working, a person will undergo a biopsy. The clinical trial  
955 doctors will continue to check on health for two years after the completion of the treatment.  
956 Then, a person might have the chance to have medical professionals oversee their health  
957 throughout a clinical trial for up to 15 years. The Food and Drug Administration (FDA) has  
958 not yet given OTQ923 its approval.  
959

## 960 **5.8. CTX001 Clinical Trial NCT03745287**

961 The most recent trial is CTX001, which Vertex Pharmaceuticals and CRISPR Therapeutics  
962 created. The phase II/III clinical trial CLIMB-121 (NCT03745287), which began in 2018 with  
963 45 SCD patients, is now testing it. CTX001 is given as a lineage-specific enhancer of the  
964 BCL11A gene that is disrupted by a CRISPR-Cas9-engineered equivalent HSC product.  
965 This modification decreases the expression of BCL11A in erythroid cells, which in turn  
966 promotes the expression of  $\beta$ -globin. High amounts of edited alleles are found in the stem  
967 cell compartment in the first two patients (one with SCD and one with TDT), according to  
968 published clinical data (69 and 80%, respectively). The HbF level of the SCD patient grew  
969 from 9.1 to 43.2% at 15 months following transplantation, while the HbS level reduced from  
970 74.1 to 52.3%. Patients were said to be VOC-free and independent of transfusions [58].  
971

972 A recent update of the effects of CTX001 infusion in 44 TDT and 31 SCD patients found that  
973 all patients maintained HbF increases (39.6-49.6%), improved mean Hb levels (>11g/dL) 3  
974 years later, and eliminated volatile organic compounds. More than 80 alleles showed a  
975 persistent response to this treatment, according to bone marrow analyses (>12-month  
976 follow-up). However, after receiving injections of modified cells, some individuals had a  
977 number of serious adverse effects (SAEs), including VOC liver disease, sepsis,  
978 cholelithiasis, and haemophagocytic lymphohistiocytosis (HLH). There have also been  
979 reports of mild lymphopenia, possibly as a result of a delayed lymphocyte recovery.  
980

981 Unveiling the Therapeutic Potential of CRISPR-Cas9 SCD for one sickle cell patient, Victoria  
982 Gray, who has suffered from this crippling disease her entire life, there is a chance to get rid  
983 of it once and for all, and a chance to protect her and gift to future generations through the  
984 new CRISPR Cas9 technology (clinicaltrial.gov). Much of her life was spent on trips to the  
985 emergency room due to pain, and her life and career goals were interrupted or disrupted. It  
986 has progressed, making it difficult for his heart to work, and strokes are always a constant  
987 threat. It is the most common inherited blood disorder. Alleviating the symptoms and burden  
988 of the disease with a bone marrow transplant remains the only option for many to this day,  
989 while many others have dark hopes for the future due to the rapid progression of the  
990 disease. As Victoria's doctors considered a bone marrow transplant for the same purpose,  
991 they suggested something else to treat her condition. He took a chance and became the first  
992 patient listed to be treated with the CRISPR-Cas9 genome editor. As of August 2020, a year  
993 after starting treatment, this method seems to work better than any other. The billions of  
994 genetically modified cells infused into his body seem to alleviate almost all the complications  
995 of his SCD. CTX001—clinical trial, although Victoria Gray's SCD is a genetic disease, the  
996 genetic intervention did not involve removing an entire copy of the  $\beta$ -globin gene and  
997 inserting it into her DNA. Instead, CTX001 is used to treat it. CTX001 increases the  
998 production of foetal haemoglobin HbF. It is a type of haemoglobin that is present at birth but  
999 stops being produced in adulthood. The principle of CTX001 is that the production of HbF  
1000 can compensate for the defective Hb produced.  
1001

1002 The steps involved are: 1. Collection of stem cells from the patient's bone marrow, 2.  
1003 Delivery of CRISPR-Cas9 components to cells. and 3. Effect of CRISPR Cas9 deletion in the  
1004 BCL-11A gene (it encodes a transcription factor that normally inhibits foetal haemoglobin  
1005 synthesis).

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Consequence of CRISPR-Cas9 disruption in the BCL-11A gene causes production of billions of such modified and improved stem cells. When the majority of stem cells enter the body and produce protein, it offsets the production of HbS and effectively controls the disease. Prognosis and outcome the patient's predicted HbF level was 20% at the start of therapy. In August 2020, the patient's HbF values far exceeded the doctors' expectations; the HbF level was 46% of the total haemoglobin. Additionally, 81% of body's bone marrow contains the stem cell-mediated genetic modification necessary to produce the foetal haemoglobin protein. This indicates that the engineered and modified stem cells remained in his body for a long time, much longer than expected. Quality of life after treatment from frequent trips to the emergency room and multiple blood transfusions needed to survive and continue living, to zero hospital stays and freedom from symptoms and complications after CRISPR-Cas9 gene therapy, Victoria Gray's life has improved. Although he was the first SCD patient to receive this experimental treatment, he is not the only one. In 2019,  $\beta$ -thalassemia patients also received CTZ001 treatment to increase foetal haemoglobin levels through stem cell transformation.

Although gene therapy can treat many, but not all, complications of the disease, it has not yet been considered a cure for some diseases. CTX001 is not without its drawbacks, like any other treatment. It is believed that the patient will undergo chemotherapy to remove their own bone marrow and make room for new cells. Skin chemotherapy for cancer patients and transplant patients, side effects remain the same due to fatigue, nausea, mouth ulcers, bleeding, and infections due to immunosuppression, hair loss and loss of appetite. Thus, gene therapy effectively controls the disease, but there are still side effects that the patient will inevitably encounter. Technical challenges of *ex vivo* genome editing approaches in HSCs are similar to LV-based approaches and include obtaining sufficient numbers of mobilized CD34<sup>+</sup> cells as starting material, sufficient editing efficiency in the LT-HSC compartment, lower *ex vivo* engraftment rates of edited cells and editing HSC reduced stemness (clinicaltrials.gov).

**Table 2: Clinical Trials Performed by CRISPR CAS9**

Clinical trial	Phase	Year started	Treatment name	Target gene	Delivery mode	Designer nuclease	Sponsors
NCT05329649	III	2022	CTX001	BCL11A	RNP Electroporation	CRISPR CAS9	Vertex pharmaceutical, CRISPR Therapeutics.
NCT05477563	III	2022	CTX001	BCL11A	RNP Electroporation	CRISPR CAS9	Vertex pharmaceutical, CRISPR Therapeutics.
NCT04774536	I & II	2022	CRISPR-SCD001	HBB	RNP Electroporation	CRISPR cas9	University of California
NCT04208529 {Long term follow-up activity}	-	2021	CTX001	BCL11A	RNP Electroporation	CRISPR cas9	Vertex pharmaceutical, CRISPR Therapeutics.
NCT04819841	I & II	2021	GPH 101	HBB	RNP Electroporation	CRISPR cas9	Graphite Bio.
NCT04443907	I & II	2020	QTQ923	BCL11A	RNP Electroporation	CRISPR cas9	Novartis Pharmaceutical, Intellia Therapeutics
NCT03745287	II & III	2020	CTX001	BCL11A	RNP Electroporation	CRISPR cas9	Vertex pharmaceutical, CRISPR Therapeutics.

1037

## 1038 **6. CONCLUSION AND FUTURE PERSPECTIVES**

1039 Most SCD patients can now be cured by autologous transplantation of genetically modified  
1040 haematopoietic stem cells due to developments in CRISPR/Cas9 technology. Because it is  
1041 affordable, simple to use, and extremely successful, CRISPR editing is one of the leading  
1042 choices for treating anaemia disease. The necessity for high editing efficiency and low off-  
1043 target effects are just two of the obstacles involved in bringing a gene editing-based  
1044 treatment approach for SCD to the clinic. For secure clinical applications, a quantitative  
1045 comprehension of the genotypic and phenotypic effects of various mutations in  
1046 CRISPR/Cas9-edited SCD CD34<sup>+</sup> cells are necessary. The development of engineering  
1047 techniques that allow for high yields of polyclonal and highly proportionate genetically  
1048 modified cells to be long-term repopulated in HSCs is still a difficulty. Additionally, little is  
1049 known about how SCD pathophysiology affects HSPC viability and engraftment potential,  
1050 particularly in patients who have endured years of chronic inflammation linked to SCD. Our  
1051 understanding of the impact of chronic systemic inflammation and poor erythropoiesis  
1052 associated with HSPCs from SCD patients is currently limited because the majority of *in vivo*  
1053 transplantation research related to SCD has been carried out with cells from healthy  
1054 persons. Gene editing results and engraftment potential can be strongly impacted by  
1055 individual variations in the source of HSPCs and SCD pathophysiology, including patient  
1056 status. The survivability and capabilities of SCD HSPCs are probably influenced by genetic  
1057 and environmental variables. *Ex vivo* gene editing techniques currently used have certain  
1058 drawbacks. HSCs often make up a very modest portion of CD34<sup>+</sup> cells in SCD patients. It is  
1059 invasive to take HSCs out of the bone marrow. Low blood counts and infections are among  
1060 the negative effects of chemotherapy that myeloablative chemotherapy patients also face.  
1061 HSC pluripotency and engraftment potential are lost as a result of *in vitro* culture and gene  
1062 modification. *Ex vivo* gene editing-based therapy may also be unaffordable for some patients  
1063 because of the high expenses associated with the need for highly specialized facilities and  
1064 the technological know-how necessary. Because *in vivo* treatment can be minimally invasive  
1065 and cost-effective, it is more accessible in resource-poor locations and may be able to  
1066 overcome the constraints of *ex vivo* gene editing. To achieve both high *in vivo* delivery and  
1067 high editing efficiency, as well as to make *in vivo* gene editing a clinically effective method,  
1068 there are considerable obstacles to overcome. A partnership between the NIH and the Bill  
1069 and Melinda Gates Foundation is working to create *in vivo* gene editing therapy for SCD.

1070

1071 Two gene therapy treatments for sickle cell disease - exa-cel (CTX001) from CRISPR  
1072 Therapeutics and Vertex Pharmaceuticals, and lovo-cel from Bluebird Bio are expected to be  
1073 FDA-approved by December 2023 with a probability of success at 77.8% [59]. One concern  
1074 is cost and complexity of the therapy requiring a bone marrow transplant and  
1075 lengthy hospitalization which put it out of reach for those who need it most as well  
1076 as in less affluent countries where the disease is most common. Reduction in cost of  
1077 treatment and occurrence of off target mutations will be two future challenges to be  
1078 addressed by researchers to bring this advance therapy safe and benefit the patients.

1079

1080 Using this gene editing technique, a lot of cell line genotyping and phenotyping has already  
1081 taken place. The therapeutic effects are vast, including inhibition of viral infection, restoration  
1082 of handicapping disorders such muscular dystrophy, and tumour eradication in cancer  
1083 models. Without a doubt, the CRISPR/Cas9 system will transform scientific investigation into  
1084 the treatment of allergy and immunological illnesses. A safe and ethical use of developing  
1085 technology for basic research and therapeutic reasons must be enabled by laws and  
1086 regulations that have been approved by the relevant authorities. A remarkable  
1087 accomplishment in treating diseases at their source, tolerates symptom relief and introduces  
1088 fresh medicinal approaches. Scientists are still working on CRISPR-Cas9's proverbial 'tip of

1089 the iceberg'. Proteins produced by genes through their expression continue to serve both a  
1090 metaphorical "weapon" and an environmental 'trigger' in biology.

1091

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1093

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1095 this review.

1096

## 1097 **CONFLICT OF INTEREST**

1098

1099 The authors declare that there is no Conflict of Interest.

1100

## 1101 **AUTHORS' CONTRIBUTIONS**

1102

1103 NL designed the study, managed the analyses of the study and wrote the first draft of the  
1104 manuscript. PP managed the literature searches and wrote the first draft of the manuscript.  
1105 All authors read and approved the final manuscript.

1106

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