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Analysis of Phenolic Compounds in *Nicotiana Tabacum* Based on Aqueous Two-Phase Extraction Coupled with Liquid Chromatography

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ABSTRACT

Background: Phenolic compounds in *Nicotiana tabacum* L. have anti-tumor, antibacterial, anti-inflammatory, and other pharmacological effects. Therefore, either to optimize the utilization of plant resources or to reduce the physiological harm caused by cigarette, it is necessary to separate and analyze the phenolic compounds in *Nicotiana tabacum*.

Aim: To develop a protocol for extraction and analysis of phenolic compounds in *Nicotiana tabacum*. The method based on aqueous two-phase extraction (ATP) should provide a solid experimental basis for future large-scale medical industrial extraction.

Results: Five of phenolic compounds in *Nicotiana tabacum* including catechol, caffeic acid, coumarin, rutin, and quercetin were extracted with the developed ATP method and analyzed by the optimized liquid chromatography method simultaneously. In the concentration range of 10-200 µg/mL, the linear correlation coefficients of calibration curve for five compounds were all greater than 0.999. The developed ATP extraction method employed 70% acetonitrile water solution as the extractant. The ammonium sulphate was selected as the preferred phase separation salt. The extraction efficiencies of five phenolic compounds were all more than 90%. In concrete sample, the concentrations of five compounds were determined based on acetonitrile/salt ATPE system,

Conclusion: Analysis of phenolic compounds in *Nicotiana tabacum* was achieved based on acetonitrile/ammonium sulphate ATP extraction coupled with liquid chromatography. The developed ATP extraction protocol employed 70% acetonitrile water solution as the extractant. The ammonium sulphate was selected as the preferred phase separation salt. The accuracy and precision were satisfied. The protocol developed in this study is expected to be applied in medical industry.

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Keywords: acetonitrile; ammonium sulphate; aqueous two-phase extraction; Nicotiana tabacum; phase separation salt; phenolic compounds.

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1. INTRODUCTION

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Nicotiana tabacum (tobacco) is a perennial herbaceous plant. It is widely used as a natural product [1] in addition to the principal source of nicotine. *Nicotiana tabacum* L. contains not only nicotine, which can treat muscle spasms and joint pain, but also phenolic compounds [2]. A variety of phenolic compounds were detected in *Nicotiana tabacum* L. and its smoke, such as chlorogenic acid, rutin, quercetin, coumarin, caffeic acid, etc. [3] In flue-cured tobacco, the content of chlorogenic acid and rutin accounted for 75-95 % of total phenols [4].

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Studies have shown that chlorogenic acid and rutin have certain curative effects in anti-cancer and anti-HIV [5]. Other phenols such as quercetin [6], coumarin [7], caffeic acid [8] have been reported to have anticancer, antibacterial, anti-inflammatory, anti-tumor and other effects. Therefore, either to optimize the utilization of plant resources and flue-cured

28 tobacco, or to reduce the physiological harm caused by cigarette, it is necessary to separate
29 and analyze the phenolic compounds in *Nicotiana tabacum*.

30 At present, many extraction methods of phenolic compounds in *Nicotiana tabacum* L. have
31 been reported. Among them, the commonly used methods are microwave extraction
32 technique and ultrasonic extraction technique [9,10]. However, these technologies are
33 mainly used as pretreatment methods for the analysis of phenolic compounds, rather than
34 for the industrial extraction of phenolic compounds. As for supercritical water or CO₂
35 extraction technique for industrial extraction, although the extraction effect is good, it usually
36 requires expensive special equipment [11]. The complex extraction process limits the large-
37 scale application of supercritical fluid extraction.

38 In recent years, one of the industrial-scale extraction methods, aqueous two-phase (ATP)
39 extraction is the increasing interest [12,13]. ATP system is an aqueous liquid-liquid system
40 that results from the incompatibility between two aqueous solutions. Because of the different
41 characteristics of the two phases, the concentration of the target substance in the upper and
42 lower phases is also different. The different properties of different phase could be attributed
43 to surface properties, charge effects and various forces such as hydrophobic bonds,
44 hydrogen bonds and ionic bonds, etc. As the liquid-liquid two phases are separated, the
45 target substance enriched in one phase. Thereby, the extraction is realized. ATP process
46 shows great potential in the extraction or purification of biological compounds. [14].

47 Aqueous two-phase extraction (ATPE) technique has been applied to the efficient crude
48 separation of various natural products. For example, it was reported that the nicotine
49 recovery rate reached 96.1% when an aqueous two-phase system was applied [15]. ATPE
50 technique was also employed to separate β -glucuronidase (rGUS), an acidic recombinant
51 protein from transgenic tobacco [16]. An aqueous two-phase partitioning system was
52 developed for therapeutic antibodies and enzymes from tobacco extracts [17]. The ATPE
53 was used to purify antibodies directly from cell culture medium [18] as well as protein, virus-
54 like particles and plasmid DNA [19,20]. Based on these applications, ATPE is not only
55 simple and environmentally friendly, but also can effectively improve the extraction rate of
56 target compounds.

57 The development of aqueous two-phase system to extract polyphenols from *Nicotiana*
58 *tabacum* can provide a technical basis for the comprehensive development of *Nicotiana*
59 *tabacum*. Hitherto, few applications of ATPE technique for the extraction of phenolic
60 substances in *Nicotiana tabacum* were reported. Further, to the best of our knowledge, there
61 is not aqueous two-phase system has been applied to the extraction and separation of
62 *Nicotiana tabacum* polyphenols.

63 In this paper, five kinds of easily available, high content and stable phenols, including
64 catechol (C₆H₆O₂) [21,22], caffeic acid (C₉H₈O₄) [23], coumarin (C₉H₆O₂) [24], rutin
65 (C₂₇H₃₀O₁₆) [25] and quercetin (C₁₅H₁₀O₇) [26] were selected as the target compounds. The
66 extract efficient of them were studied. The results showed that the protocol developed in this
67 study, based on acetonitrile/salt ATPE system, combined with high performance liquid
68 chromatography, efficiently extracted and analyzed five target phenolic compounds in
69 *Nicotiana tabacum* Leaves. In the aqueous two-phase system, factors such as salt type and
70 acetonitrile concentration were systematically investigated. The analysis results of actual
71 *Nicotiana tabacum* samples showed that the method developed in this study was accurate
72 and reliable, which provided a solid experimental basis for future large-scale industrial
73 extraction.

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75 **2. MATERIAL AND METHODS**

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77 **2.1 Chemicals and reagents**

78 Standards of caffeic acid ($\geq 99.0\%$), rutin ($\geq 99.5\%$), coumarin ($\geq 99.6\%$), quercetin ($\geq 95.0\%$),
79 and catechol ($\geq 97.5\%$) were purchased from China National Institutes for Food and Drug
80 Control.

81 HPLC grade methanol and acetonitrile were purchased from Tianjin Kemiou Chemical
82 Reagent Co., Ltd. (Tianjin, China). Water was purified using a Milli-Q system (Molsheim,
83 France). HPLC grade acetic acid was purchased from Sinopharm Chemical Reagent Co.,
84 Ltd. (Shanghai, China). Analytical grade reagents including potassium chloride, sodium
85 chloride, sodium dihydrogen phosphate, and ammonium sulfate were all purchased from
86 Sinopharm Chemical Reagent Co., Ltd. (Shanghai, China). The *Nicotiana tabacum* leaves
87 were collected from Qingyu, Guizhou province, China.

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89 **2.2 Sample preparation**

90 The stock solutions of caffeic acid, rutin, coumarin, quercetin, and catechol were prepared
91 with acetonitrile at a series of concentrations of 1200 $\mu\text{g/mL}$, 800 $\mu\text{g/mL}$, 800 $\mu\text{g/mL}$, 660
92 $\mu\text{g/mL}$, and 800 $\mu\text{g/mL}$. The working solutions were then diluted from the stock solution with
93 acetonitrile serially according to the experiment procedure.

94 For the linear range investigation, each stock solution was diluted to a series of working
95 solutions at the concentration of 10 $\mu\text{g/mL}$, 50 $\mu\text{g/mL}$, 100 $\mu\text{g/mL}$, 150 $\mu\text{g/mL}$, and 200
96 $\mu\text{g/mL}$.

97 For concrete sample preparation, the *Nicotiana tabacum* leaves were dried and crushed. 1.2
98 g powder of leaves were then carefully weighted and transferred to a 50 mL centrifuge tube.
99 10 mL extractant (acetonitrile/water solution) was added. Further three drops of acetic acid
100 were added to inhibit the dissociation of the phenolic compounds. After one-hour ultrasonic
101 bath followed by centrifugation for 5 minutes, the supernatant was transferred to a 10 mL
102 tube with plug. The supernatant was then extracted with aqueous two-phase procedure and
103 introduced to the chromatographic system [27].

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105 **2.3 Instruments**

106 The HPLC system model in Shimadzu LC-10AD (Japan) consisted of a vacuum degasser, a
107 binary gradient pump, an autosampler, a column thermostat, and an UV detector. Analytical
108 balance (SartoriusBSA224S, China) and ultrasonic cleaner (KQ5200B, China) were used for
109 sample preparation.

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111 **2.4 HPLC condition**

112 Separation occurred on a Nova-Pak C18 column (3.9 \times 150 mm, 5 μm). The acetic acid/water
113 solution (0.5%, v/v) was used as mobile phase A for separation condition optimization. The
114 mobile phase B was acetonitrile. The total flow rate was set at 1.0 mL/min. The sampling
115 volume was 5.0 μL without further note. The ratio of phase A to phase B was adjusted
116 according to the optimization progress. The column temperature was 35 $^{\circ}\text{C}$. The wavelength
117 of detector was set at 280 nm.

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119 **2.5 Optimization of ATP extract**

120 To investigate the effect of phase separation salt, 5 model compounds were prepared,
121 extracted and analyzed. For each compound, it was prepared at the concentration of 400
122 $\mu\text{g/mL}$. The solvent utilized was the acetonitrile/water (7:3, v/v) solution containing 1%(v/v)
123 acetic acid. The ATP extraction was then carried out. 5 mL model solution was transferred to

124 a tube with plug. Excess sodium chloride was then added to conduct the phases separation.
125 After phase separation, the volumes of upper and lower phases were measured. The upper
126 acetonitrile phase was delivered to HPLC to get analysis. Then the ATP extraction process
127 was repeated with salts of ammonium sulfate, potassium chloride, and sodium dihydrogen
128 phosphate respectively. All experiments were performed in triplicate.

129 To investigate the effect of acetonitrile, the extractant containing 40%, 50%, 60%, 70%, and
130 80% acetonitrile were employed to prepare the sample solution respectively. For each model
131 compound, the concentration in sample solution was 400 µg/mL. During investigation, the
132 ammonium sulfate was utilized as the salt. The ATP extraction and HPLC analysis were
133 conducted with the same procedure.
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135 **2.6 Standard addition recovery**

136 The standards of caffeic acid, rutin, coumarin, quercetin, and catechol were added into 1.0 g
137 powder of *Nicotiana tabacum* leave simultaneously. The amount of each standard added
138 was 3 mg. The sample was introduced to 10 mL extractant (70% acetonitrile, 1% acetic acid)
139 and then processed with 1 h ultrasonic bath and 5 min centrifugation. Afterward, the ATP
140 extraction was carried out with the salt of ammonium sulfate. The HPLC analysis were
141 conducted with the optimized method.
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143 **2.7 Extraction efficiency**

144 The extraction efficiency ($E\%$) of ATP extraction was calculated as follows
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$$146 \quad E\% = \frac{V_t C_t}{V_o C_o} \times 100\% \quad (1)$$

147 Where, V_t is the volume of upper phase (acetonitrile) in the proposed ATP system. C_t is the
148 concentration of target compound in the upper phase. V_o is the volume of sample solution
149 submitted to ATP extraction process. C_o is the concentration of target compound in the
150 sample solution.
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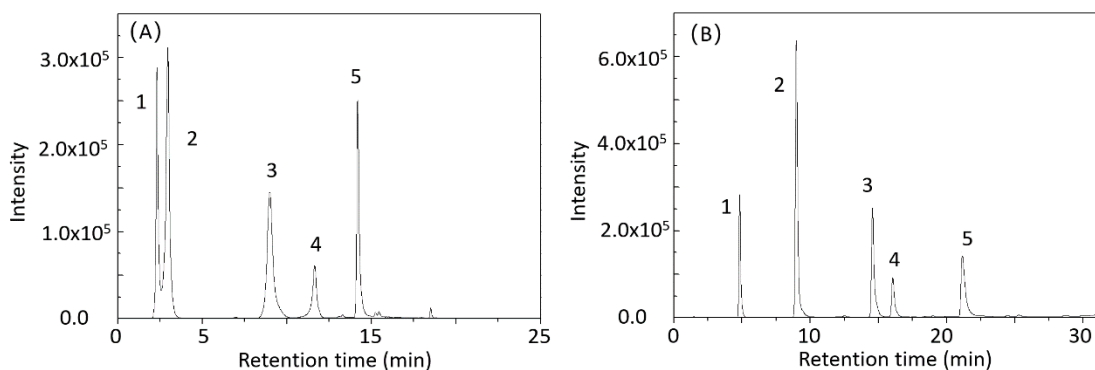
152 **3. RESULTS AND DISCUSSION**

153 **3.1 Chromatography optimization**

154 Phenolic compounds in *Nicotiana tabacum* were extracted simultaneously. Hence, their
155 baseline separation on chromatography was necessary for quantification and qualification.
156 The chromatography optimization of five model compounds was realized in two steps. The
157 first step was the empirical method, and then the condition optimization was carried out
158 according to the results. The second step was to verify the optimized method.
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160 The empirical chromatography was carried out with a binary mobile phase at a flow rate of
161 1.0 mL/min. Eluent A was water containing 0.2% acetic acid (v/v). Eluent B was acetonitrile.
162 The gradient program started at the eluent ratio of 30% B and maintained for 6 minutes. The
163 ratio of elution B increased to 40% linearly within 6-10 min and then increased to 90%
164 linearly during 10-14 min. Afterward, the ratio increased to 100% within 14-17 min and
165 maintained until 20 min.

166 With this empirical method, the chromatographic spectrum of the mixed standard samples of
167 five phenolic compounds is shown in Figure 1(A).



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Fig. 1. The chromatogram of five standards with empirical LC method (A) and optimized LC method (B)

Peak 1: Catechol; 2: caffeic acid; 3: coumarin; 4: rutin; 5: quercetin

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It can be found that two components of catechol (peak 1) and caffeic acid (peak 2) have not been separated completely. The peak of coumarin (peak 3) was broadened obviously. In order to solve the above problems, one means was to reduce the ratio of organic phase in the initial conditions of gradient program. The retention of caffeic acid could be enhanced with the lower organic mobile phase. Consequently, the separation of catechol and caffeic acid could be achieved.

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The broaden of coumarin may attributed to non-specific interaction between coumarin molecule and silica gel of stationary phase in chromatography column. Base on this hypothesis, increasing the concentration of acetic acid in the mobile phase may reduce the peak broadening of coumarin. Acetic acid releases hydrogen ions to inhibit the dissociation of the phenolic compounds. Along with inhibition, the non-specific interaction of phenolic compounds and silica gel decreases.

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Therefore, in the optimized method, the concentration of acetic acid in the eluent A was increased to 0.5%. The beginning ratio of eluent B was decreased to 15% and maintained for 2 minutes. Within 2-6 min, the ratio of B increased to 30% linearly. Afterward, the ratio increased linearly to 70% during 6-25 min and then to 90% during 25-28 min. Within 28-30 min, the ratio maintained.

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With the optimized method, the mixed standard samples of five phenolic compounds were analyzed. The result chromatogram was shown in Figure 1(B). The five phenolic compounds were completely separated and each peak shape was symmetrical enough. The chromatographic analysis was completed within 30 min. The time consumption was relatively reasonable. All subsequent analyses were performed using the optimized mobile phase and gradient.

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3.2 Method validation

The linear ranges of the method for five phenols were investigated. Each target compound was tested separately.

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The relationship between the peak area and the sample concentration was calculated. The linear standard curves of the five substances were fitted. The respective linear regression equations are included in table 1. The results showed that under the optimized chromatographic conditions, the five substances all had good correlation in the proposed

205 concentration range 10-200 µg/mL. The linear correlation coefficients (R^2) of each calibration
206 curve were all greater than 0.999.

207 **Table 1. Linear range and correlation coefficient of five phenolic compounds**

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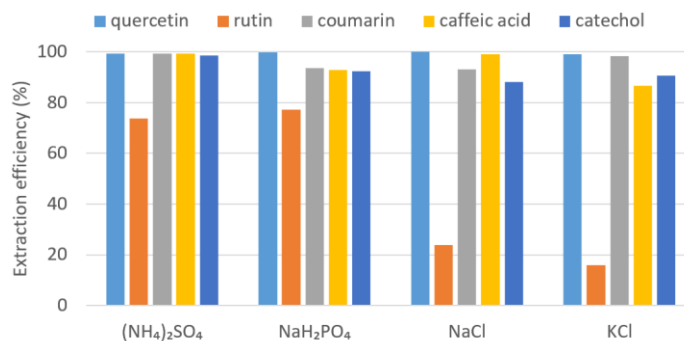
| Compound | Regression equation | Linear range (µg/mL) | R^2 |
|--------------|-------------------------|----------------------|--------|
| catechol | $y = 5150.2 x - 21139$ | 10-200 | 0.9998 |
| caffeic acid | $y = 136022 x - 285526$ | 10-200 | 0.9991 |
| coumarin | $y = 5738.5 x - 22251$ | 10-200 | 0.9997 |
| rutin | $y = 2506.5 x - 91321$ | 10-200 | 0.9997 |
| quercetin | $y = 6545.5 x - 184587$ | 10-200 | 0.9992 |

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210 3.3 ATP system optimization

211 Phase separation salt is one of the important factors due to salting out effect of ATPE.
212 Naturally, in this acetonitrile-water aqueous two-phase system, different salts have different
213 phase separation ability. It will eventually lead to the different extraction efficiency

214 The extraction efficiency of five target phenolic compounds were investigated with 4 kinds of
215 salt. Four salts were ammonium sulfate, sodium dihydrogen phosphate, sodium chloride and
216 potassium chloride respectively. The extractions were performed with extractant that
217 containing 70% acetonitrile. All extracts were analyzed with the same chromatographic
218 program. The results were compared in Figure 2.



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220 **Fig. 2. Extraction efficiency with different salts.**

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222 The minimum extraction efficiency of rutin was observed when potassium chloride was
223 applied. The maximum efficiency of rutin was achieved with the sodium dihydrogen
224 phosphonate. The efficiency of caffeic acid was also the smallest when potassium chloride
225 was applied. However, even with potassium chloride, the extraction efficiency of catechol,
226 coumarin and quercetin were all more than 90%.

227 Among four salts, when ammonium sulfate or sodium dihydrogen phosphate was applied,
228 the extraction efficiency of rutin **was** both greater than 70%. The extraction efficiency of other
229 4 target compounds were all greater than 90%. It inferred that the ammonium sulfate or
230 sodium dihydrogen phosphate could be the preferred separation salt. The efficiency values
231 of them **are** listed in table 2.

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Table 2. Extraction efficiency (%) of ammonium sulfate and sodium dihydrogen phosphate

| salt | catechol | caffeic acid | coumarin | rutin | quercetin |
|-----------------------------|----------|--------------|----------|-------|-----------|
| ammonium sulfate | 98.61 | 99.22 | 99.4 | 73.81 | 99.36 |
| sodium dihydrogen phosphate | 92.37 | 92.75 | 93.68 | 77.34 | 99.72 |

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236 It can be found that the effect of ammonium sulfate is better than that of sodium dihydrogen
237 phosphate. In ammonium sulfate, the extraction efficiency of catechol, caffeic acid, coumarin
238 and quercetin were 98.61 %, 99.22 %, 99.40 % and 99.36 %, respectively. The rutin
239 extraction efficiency with sodium dihydrogen phosphate was greater than with ammonium
240 sulfate. It is probably that sodium dihydrogen phosphate has a greater hydration capacity,
241 which reduces the dissolution of rutin in salt water (lower phase of ATP system) and pushes
242 rutin molecule into the organic phase. Nevertheless, the extraction efficiency of 73.81% with
243 ammonium sulfate was satisfied. The following experiments were carried out with
244 ammonium sulfate.

245 The effect of acetonitrile concentration in the extractant was investigated. The
246 concentrations of five phenolic compounds in the upper phase (acetonitrile phase) were
247 determined and the extraction efficiency were calculated accordingly. All results are listed in
248 table 3.

249 **Table 3. Extraction efficiency (E%) of five phenolic compounds from different**
250 **acetonitrile concentration extractant**

| C _{ACN} | catechol | caffeic acid | coumarin | rutin | quercetin |
|------------------|----------|--------------|----------|-------|-----------|
| 40% | 99.82 | 91.83 | 94.2 | 57.37 | 97.5 |
| 50% | 97.95 | 98.34 | 98.33 | 69.98 | 95.19 |
| 60% | 99.87 | 99.58 | 97.27 | 73.16 | 94.98 |
| 70% | 98.61 | 99.22 | 99.40 | 73.81 | 99.36 |
| 80% | 93.03 | 89.97 | 96.06 | 86.93 | 92.54 |

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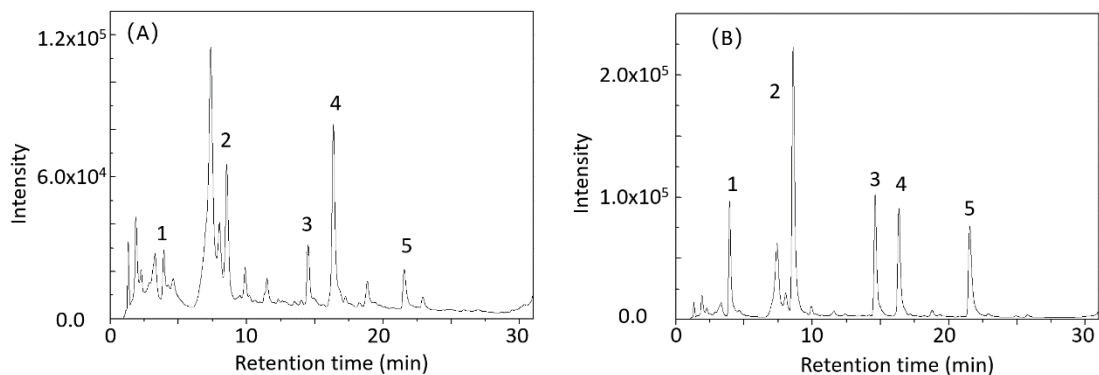
252 The concentration of acetonitrile showed little effect on catechol, caffeic acid, coumarin, and
253 quercetin. The extraction efficiency of them kept at a high value and did not show an obvious
254 trend. When the concentration of acetonitrile exceeds 70%, the extraction efficiency of them
255 decreased obviously. The extraction efficiency of rutin was most affected by the variation of
256 acetonitrile concentration. The value increased along with the increase of acetonitrile
257 concentration continually.

258 Considering all five phenolic compounds, when the acetonitrile concentration is 70%, each
259 substance can obtain an extraction efficiency more than 90% except rutin. Therefore, 70%
260 acetonitrile was used as the optimized extraction condition for the following experiments.

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262 3.4 Concrete sample and Recovery

263 The chromatogram of concrete sample that extracted with the developed aqueous two-
 264 phase method is shown in Figure 3(A).



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266 **Fig. 3. Chromatogram of concrete sample(A) and standards added sample (B)**

267 *Peak 1: Catechol; 2: caffeic acid; 3: coumarin; 4: rutin; 5: quercetin*

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269 Five target compounds were detected and labeled as peaks 1, 2, 3, 4 and 5 respectively.
 270 The concentrations of catechol, caffeic acid, coumarin, rutin, and quercetin in the provided
 271 *Nicotiana tabacum* leaves were determined to be 0.54 mg/g, 1.1060 mg/g, 1.5720 mg/g,
 272 4.540 mg/g, and 1.1876 mg/g, respectively.

273 The accuracy of the developed method was tested by the recovery of standard addition. 3
 274 mg of each of the five reference materials were added to the concrete sample. The standard
 275 added samples were extracted with ATP and analyzed by the optimized chromatographic
 276 method. The typical spectrum is shown in Figure 3(B). By comparing Figure 3(A) and Figure
 277 3(B), the specificities of the five target phenolic compounds in the concrete sample were all
 278 confirmed. The calculated recoveries are listed in table 4.

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Table 4. The recovery of the five substances with the ATP extraction

| | catechol | caffeic acid | coumarin | rutin | quercetin |
|----------|----------|--------------|----------|-------|-----------|
| recovery | 97.6% | 98.8% | 101.6% | 97% | 100.9% |
| RSD (%) | 2.4 | 1.5 | 1.7 | 1.2 | 1.2 |

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281 The good accuracy and precision were observed. The recovery of the five substances
 282 ranged from 97 to 101.6%. The relative standard deviation (RSD) was all less than 2.5% (n
 283 = 3).

284

285 4. CONCLUSION

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287 The developed aqueous two-phase (ATP) extraction protocol employed 70% acetonitrile
 288 water solution as the extractant. The ammonium sulphate was selected as the preferred
 289 phase separation salt. Five phenolic compounds in *Nicotiana tabacum* leaves were extracted
 290 with the developed aqueous two-phase method and analyzed by the optimized LC method
 291 simultaneously. In LC process, the mobile phase modifier acetic acid was increased to 0.5%
 292 to inhibit the dissociation of the target phenolic compounds. Thereby, five phenolic

293 compounds were completely separated and each peak shape was symmetrical enough. The
294 chromatographic analysis was completed within 30 min. The linear correlation coefficients
295 (R^2) of calibration curve for 5 substances were all greater than 0.999 within the range of 10-
296 200 $\mu\text{g/mL}$. In **model concrete sample**, the concentrations of catechol, caffeic acid,
297 coumarin, rutin, and quercetin were determined to be 0.54 mg/g, 1.1060 mg/g, 1.5720 mg/g,
298 4.540 mg/g, and 1.1876 mg/g, respectively. The recovery of five substances ranged from 97
299 to **101.6%**. The RSD of the developed method was less than 2.5% ($n = 3$). The accuracy
300 and precision were satisfied.

301

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303

304 All authors have made substantive contribution to this study and/or manuscript, and all have
305 reviewed the final paper prior to its submission.

306

307 **COMPETING INTERESTS**

308

309 The authors declare that they have no conflict of interest.

310

311 **AUTHORS' CONTRIBUTIONS**

312

313 Hua Wang: Conceptualization, methodology, resources, writing-original draft preparation,
314 writing-reviewing and editing. Lina Zeng: Investigation, visualization, and resources. Hui
315 Ding: Writing-reviewing, validation and supervision. All authors read and approved the final
316 manuscript.

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318 **CONSENT AND ETHICAL APPROVAL**

319

320 It is not applicable.

321

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