

Original Research Article

Adaptation strategies of farming communities against climate induced changes in Chilika lake wetland ecosystem of Odisha

ABSTRACT

Chilika, a brackish water coastal lagoon situated in Odisha, forms the base of livelihood security for more than 0.2 million fishers and 0.4 million farmers living in and around the wetland and its adjoining catchments. The aim of the study is to identify various adaptation strategies of farming communities under climate-induced changes in the wetland and to estimate the parameters involved in determining the strategies. The study ~~was carried out has been conducted~~ in Chilika lake including the peripheral districts Khurda, Puri and Ganjam of Odisha. Primary data of 120 farmers across different sectors and secondary data pertaining to natural calamities occurred in the area has been used for the analysis. ~~The statistical tool~~ Probit analysis was used to estimate the parameters and its marginal effects on different adaptation strategies ~~beingy has~~ identified. The various stakeholders had different adaptation strategies against climate change. ~~In the case of the~~ Among the farming community, water conservation methods, ~~entailed~~ Integrated farming system, institutional aids, agronomic measures and cultivation of climate resilient varieties, ~~identified as were the~~ major adaptation strategies. ~~It was discovered is found~~ that 62.5 per cent of the farmers were going for climate resilient varieties, especially salt, drought and pest tolerant varieties. About half of the farmers were adopting agronomic measures to cope with climate change. Almost 60 per cent were depending upon institutional aids for various adaptation practices as well as gathering information for preparedness against any natural calamity. Less than half of the farmers responded that they were practicing integrated farming system and using water saving techniques against uncertainties in the weather. The results of the probit model revealed that age, education, landholding, income from wetlands, Income from other sources and level of awareness were found to have a significant role in going for various adaptation strategies.

Keywords: Climate change, adaptation, probit model, Chilika, farming

1. INTRODUCTION

Climate regulation is one of the most significant ecosystem services provided by wetlands, and also it has immense role in buffering the effects of climate change and thereby supporting climate adaptation and resiliency as well as many additional ecosystem services. Millennium Ecosystem Assessment (2005) [1]. Wetlands are valuable and ecologically sensitive systems that occupy about 6% of the world's land surface (Turner et al., 2000) [2]. Wetlands play an important role in flood control. Wetlands help to lessen the impacts of flooding by absorbing water and reducing the speed at which flood water flows. In view of their effectiveness associated with flood damage avoidance, wetlands are considered to be a natural capital substitute for conventional flood control investments such as dykes, dams, and embankments (Boyd and Banzhaf, 2007) [3]. Lake Chilika, the largest coastal lagoon on the east coast of India and lifeline of the state of Odisha, is a designated Wetland of International Importance (Ramsar Site under the Convention on Wetlands) [4] Chilika Lake,

Comment [K1]: Abstract:

-Kindly check if the highlighted line highlighting the said results has not been misconstrued. I found it difficult to understand what authors were trying to depict despite getting the drift.
-The abstract must be kept between 150-250 words. It must be brief, concise and coax readers.
-Aspects which are not so relevant must be removed. The abstract must have a concluding sentence which highlights the significance and contribution of this study to sectoral players.

Comment [K2]: Introduction:

-Kindly enrich the introductory section by some standardized theories linked to Ecosystem based Approaches (EbA), climate vulnerability, adaptation and mitigation definitions can be integrated briefly in a paragraph, sustainable livelihood frameworks and sustainable development goals.
-Again, early studies with similar scope conducted in other countries or from a global perspective could be integrated briefly to show research progress on what's done so far, and what's unknown yet important to be studied that is currently driving the current study objectives.

25 with its rich biodiversity and scenic beauty, is one of the important tourist destinations of the
26 state, and accounts for 8-10% of the total tourist arrival into the state. Chilika is one of only
27 two lagoons in the world that supports Irrawaddy Dolphin (*Orcaella brevirostris*) populations.
28 Barkudiansularis, a limbless skink, is endemic to Chilika. Lake Chilika, with an enormous
29 storage capacity of 1200 Million cubic meters (MCM) of water (with a water level variation in
30 excess of a meter) provides a huge capacity for buffering floods and impacts of extreme
31 events.

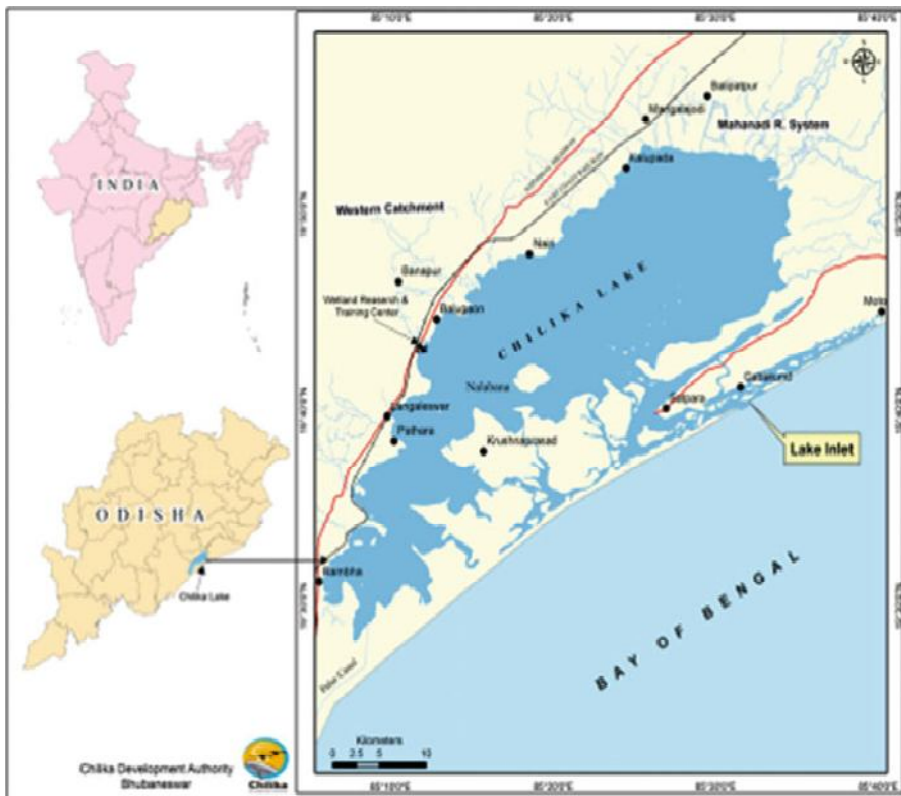
32 Climate change (CC) is likely to intensify coastal hazards imposing significant challenges on
33 coastal regions (Adger et al., 2005). [5] Odisha is the state which is very prone to natural
34 calamities such as flood and cyclones. Quantitative estimations regarding the impact of
35 climate change on wetlands are scarce in the literature, especially in developing countries
36 where the primary data both on physical impacts and valuation are limited and difficult to
37 obtain (Mehvar et al., 2018b) [6]. The potential consequence of any climate change- driven
38 variation in wetlands would be particularly high in developing countries due to the inherently
39 low adaptation capacity, and the high dependence of local communities towards wetlands.
40 This main objective of the study is to identify various adaptation strategies for farming
41 communities under climate-induced changes in the wetland and estimate the parameters
42 involved in determining the strategies. This study is an attempt to identify different adaptation
43 strategies by the farming communities against climate change happening in Chilika Lake
44 catchment and its peripheral districts, which supports the formulation of various contingency
45 plans for the area.

46 47 **2. METHODOLOGY**

48 49 **2.1 Study area**

50 Chilika Lake (19°28"N-19°54"N and 85°6"E-85°35"E) lies in the districts of Puri, Khurda and
51 Ganjam in the state of Orissa, on the eastern coast of India (Fig.1). Chilika lies on the main
52 Madras-Calcutta highway (National Highway 5) and Madras-Howrah rail line passes the
53 western bank, near Balugaon, Chilika and Rambha being the main stations along the Lake.
54 Chilika lies about 50 km southwest of the city of Puri from where one can approach Satapara
55 by road on the eastern bank of Chilika. It is 100 km away from Biju Pattnaik Airport,
56 Bhubaneswar and 1 km from Balugaon railway station.

57
58 The Lake is surrounded by a strip of silted and reclaimed land. On the northeast margin lies
59 an extensive area of marshy land, some of which has been reclaimed for agriculture. Other
60 silted-up lands around the northern and central margins have been reclaimed for agriculture
61 and are separated from the Lake by bunds (dykes). The Lake margins are steeper in the
62 central and southern sectors and rocky promontories jut out into the Lake at several places.
63 The land is less flat and somewhat rocky, being part of the Eastern Ghats. The estuary of
64 the Rushikulya River lies about 18 km down the coast and is separated from Chilika by
65 lowlands, some of which are used as salt pans (Rao et al. 1986).[7] The 21 km long Palur
66 canal connects the Rushikulya estuary to the Lake.



Source: Chilika Development Authority, (year?????)

Fig.1 Map of Chilika Lake

Comment [K3]: Methodology:
-Source or citation for Fig.1 has been cited wrongly.
The year of publication must be added

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2.1.1 Climate and rainfall

Chilika's location on the coast in the tropical zone spares it from extreme temperatures. The rainfall over the area varies from 1007 - 1,146 mm, increasing towards the northeast having an annual average rainfall of 1202 mm. Source: (Chilika Development Authority, Report, 2001). Most of the rain (80 %) occurs during the South West monsoon season. The Lake area experiences mean wind speeds ranging from 0.678 to 86.10 kmph at Chnadrput and Satapada (CDA Report, 2001) [8]. However, the coastal areas experience higher wind speeds. Being on the Bay of Bengal, Chilika is also subjected to cyclonic activity during May – December. The Lake area experiences mainly three seasons, namely Summer (March-June), Rainy (July to October) and Winter (November to February). Two Automatic Weather Stations have been installed by CDA within the campus of the Visitor Centre, Satapada and Wetland Research & Training Centre (WRTC) at Chandraput to record various meteorological parameters (Air Temperature, Relative Humidity, Wind Speed & Direction, Solar Radiation, Rainfall) relating to the Lake area.

Rainfall in the region is contributed by southwest and northeast monsoons from June to September and November to December, respectively. About 75% of the annual rainfall is

87 received during the monsoon months from June to September. Rainfall generally decreases
88 from northeast to south-west. The monsoon starts by about the second week of June and
89 withdraws early in October. Wind speed is higher from March to July and speed is low during
90 the winter season.

91 **2.1.2 Soil types**

92 The broad soil groups in the Chilika catchment, as identified by the Directorate of Soil
93 Conservation, Orissa in 1988 [9] include-

- 94 • Red Soils (Alfisols): These soils are developed on the plains and slightly undulating
95 uplands in the northwestern regions. These are characterized by moist soils with B
96 horizon of clay accumulation, horizons of grey, brown or red colour, surface horizon
97 not darkened by humus and water available to plants for at least 3 consecutive
98 months.
- 99 • Laterite Soils (Ultisols&Oxisols): Developed on the excessively drained and porous
100 lateritic mass, these soils are found on the pediment zones and uplands. Oxisols
101 represent those soils which are very old and highly weathered. The ultisols
102 represent the soils developed in varied climates e.g. moist subtropical climate, wet-
103 dry tropical climate and monsoon climate. These form under forest cover. B horizon
104 of well drained ultisols looks red or yellowish brown. These contain accumulated
105 clay.
- 106 • Black Soils (Vertisols) : These loamy to clayey textured soils are found in a singular
107 patch south of Sunakhala village. The vertisols are characterized by the high content
108 of clay that swells on hydration and shrinks on dehydration, wide deep cracks during
109 the dry season and movement of soil during the wet season.
- 110 • Brown Forest Soils (Humults): These are rich in organic matter and found in the hilly
111 tracts in the western and southwestern regions. Humults are suborders of Ultisols,
112 which have high to a very high content of organic matter formed under high rainfall.
113 D.2.4.5.12.4 Alluvial soils (Entisols) These are fertile soils found on the flood plains
114 of Daya, Bhargavi and Makara River and river alluvium of small rivulets. Entisols are
115 very poorly developed soils with no horizon.
- 116 • Coastal Saline and Sandy Soils (Haplaquents/ Ustipsamments): Coastal alluvial
117 soils with high soluble salts and sandy soils of the coastal region come under this
118 category. Aquents and Psamments are suborders of Entisols. Aquents are
119 seasonally saturated with water and are generally gleyed. The Psamments are
120 sandy or loamy sand textured soils.

121 **2.1.3 Land use pattern**

122 The landcover/landuse map derived from satellite imagery indicates that the predominant
123 land use class in the catchment area is agriculture characterized by 61.55% of the total
124 catchment area. However, the western catchment under the Eastern Ghat domain is
125 represented by a major patch of forest area characterized by mixed deciduous forest. The
126 land cover of Chililka is depicted in Table 1 and (Fig. 2)

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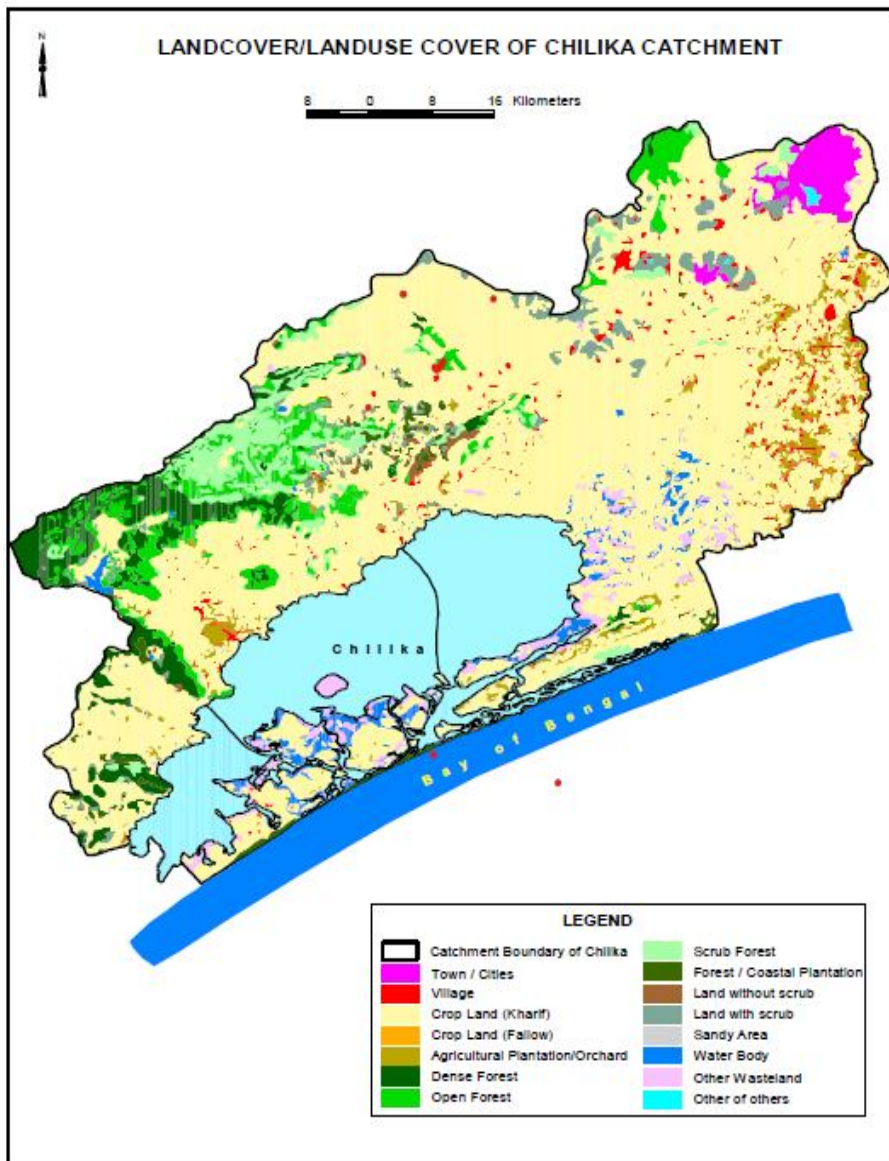
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Comment [K5]: -Lines 122-124: It's strange how authors claim the land-use map was derived from satellite imagery with follow-up statistics when they cite «Chilika Development Authority, Bhubaneswar...as source for the land use map. Clarity needs to be given here and rephrased if that secondary data (i.e., land use map) was acquired from the said institution. -If the authors generated Fig. 2 or the land use maps by themselves, they must provide the source of data acquisition, type of imagery, image pre-processing and enhancement, resolution, row/path, type of classification and data analysis used in the methodology section to support the said map generated.

129 **Table 1. Land use pattern of Chilika Lake**

Land cover/ Land use Class	Area in Sq. Km.	Percentage of Total Area
Town/Cities	83.80	2.10
Village	126.29	3.17
Dense forest	229.05	5.74
Open forest	257.64	6.46
Scrub Forest	176.91	4.44
Forest/coastal plantation	74.35	1.86
Land with scrub	141.43	3.55
Land without scrub	18.87	0.47
cropland (fallow)	0.31	0.01
crop land (Kharif)	2454.40	61.55
Agricultural plantation/Orchard	176.13	4.42
Sandy area (river/coastal)	0.01	0.00
Wasteland (Marshy/Swampy/Waterlogged)	108.14	2.71
Waterbody	136.90	3.43
Other	3.17	0.08
Total Catchment	3987.40	100.00

130 Source : Chilika Development Authority



Source: Chilika Development Authority, Bhubaneswar, (year)??

Fig.2 Land use coverage of Chilika Catchment

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2.2 Nature and sources of data

Primary data was collected from the respondents living in the villages of different sectors of Chilika from districts of Puri, Ganjam and Khurda. Socio-economic data, cost of cultivation of

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Comment [K7]:

Comment [K8]: -What was the sample size or fraction? How was the sample size or respondents selected?

-Is the selected target group representative enough to draw conclusions of the entire study area and its inhabitants?

-Did authors consider bias judgements? Does the bias judgements influence the validity or reliability in anyway?

-What probabilistic sampling technique was employed: purposive, snowball, random, convenient and so on?

**Is there any justification as to why this area or understudied areas were selected?

These questions need to be clearly answered and integrated in the manuscript.

138 paddy and adaptation strategies towards climate change were calculated from various
139 stakeholders. A detailed household survey was has been conducted between February 2020
140 and October 2021.

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142 **2.3 Analytical techniques**

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144 **2.3.1 Probit regression**

145 The probit regression model is employed to estimate the parameters that determine the
146 adaptation strategies against climate change by the farmers of Chilika.

147 In this model, the response variable Y is binary, that is it can have only two possible
148 outcomes which we will denote as 1 and 0. For this study 'Y' represents whether the farmer
149 has gone for a certain adaptation strategy or not. We also have a vector of regressors X,
150 which are assumed to influence the outcome Y. Specifically, we assume that the model
151 takes the form

152
$$\Pr(Y = 1 | X) = \Phi(X^T \beta), \dots(1)$$

153 Where Pr denotes probability and Φ is the Cumulative Distribution Function (CDF) of the
154 standard normal distribution. The parameters β are typically estimated by maximum
155 likelihood. The probit model with multiple regressors is as follows

156
$$\Pr(Y = 1 | X_1, X_2) = \Phi(\beta_0 + \beta_1 X_1 + \beta_2 X_2) \dots(2)$$

157 • Φ is the cumulative normal distribution function.

158 • $z = \beta_0 + \beta_1 X_1 + \beta_2 X_2$ is the "z-value" or "z-index" of the probit model.

159 • β_1 is the effect on the z-score of a unit change in X_1 , holding constant X_2

160 The variable includes:

161 Y = Different adaptation strategies

162 X_1 = Age, X_2 = Education in years, X_3 = Landholding, X_4 = Income from wetlands,

163 X_5 = Level of awareness

164 The model used in the study was analyzed using the software STATA.

165

166 **3. RESULTS AND DISCUSSION**

167

168 **3.1 Adaptation strategies of the farming community against to the climate-** 169 **induced factors changes in the Chilika lake.**

170 The Chilika lake as well as the coastal districts of Odisha are prone to various natural
171 calamities. The various natural calamities that happened in Chilika lagoon along with the
172 year of occurrence are given in Table 2. It is found that natural calamities floods and
173 cyclones were more frequent when compared to drought and earthquakes. The major
174 adaptation strategies identified from the response among the farmers of Chilika lake were

Comment [K9]: Equations:

-Kindly use Math function or insert equation to type or insert all equations in this manuscript.

-Again, all equations and variables must be numbered in an orderly manner.

Comment [K10]: -Line 164: Specific version of the software (i.e., STATA) must be state here.

175 the cultivation of climate resilient varieties, practising an integrated farming system, adopting
176 agronomic measures, following institutional aids and usage of water saving techniques.

177 **Table 2. List of natural calamities in Chilika Lagoon**

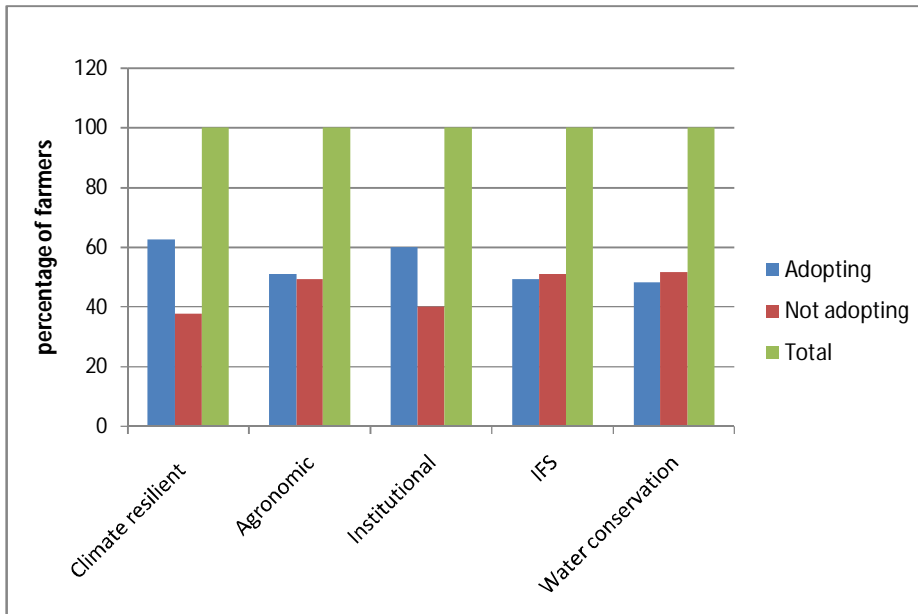
Category	Year of occurrence
Cyclone	1967, 1968, 1970, 1971, 1972, 1973, 1999, 2013, 2014, 2019
Drought	1956, 1970, 1987, 2000, 2002, 2010, 2015
Earthquake	2013, 2015
Flood	1956, 1959, 1969, 1970, 1986, 1987, 1988, 1990, 1991, 1992, 1993, 1994,
	1995, 1996, 1997, 1998, 1999, 2001, 2003, 2005, 2006, 2008, 2011, 2014

178 Source: Modified from Sundaravadiveluet *al.*(2019) [10]

179 It is evident from (Fig 3) that about 62.5 per cent of the farmers were going for climate
180 resilient varieties, especially salt, drought and pest tolerant varieties. About half of the
181 farmers were adopting agronomic measures to cope with climate change. Almost 60 per
182 cent were depending upon institutional aids for various adaptation practices as well as
183 gathering information for preparedness against any natural calamity. Less than half of the
184 farmers responded that they were practising integrated farming systems and using water
185 saving techniques against uncertainties in the weather.

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189 | [Source: Author, 2023](#)

190 **Fig 3. Percentage of various adaptation strategies by farmers.**

191 The results from probit analysis used to estimate the parameters involved in determining
 192 the adaptation strategies given in Table 3 reveals that the parameter age of the farmers has
 193 a significant positive marginal effect towards the strategies agronomic measures and
 194 institutional aids at one per cent level of significance, whereas it has a significant negative
 195 marginal effect for IFS and water saving methods. The parameter education has a significant
 196 positive effect on the strategies adapting climate resilient varieties, agronomic measures and
 197 institutional aids, meanwhile it has a significant influence on the strategy water saving
 198 methods. The land holding size of the farmer was found positively affect the strategies of IFS
 199 and water saving methods, but it was found negatively effecting both agronomic and
 200 institutional aids. The factor income from wetlands had a significant positive effect on all the
 201 strategies except the adaptation of climate resilient varieties. The level of awareness was
 202 found positively significant for climate resilient varieties and negatively significant for the
 203 strategies towards IFS and agronomic measures.

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Table 3. Estimation of the parameters involved in determining the adaptation strategies

Independent Variable →	Climate resilient varieties	Integrated Farming System	Agronomic measures	Institutional aids	Water saving methods
Parameters ↓	Marginal effects ($\frac{dy}{dx}$)				
Age	-0.005 (0.312)	-0.010*** (0.002)	0.018*** (0.002)	0.018*** (0.000)	-0.136*** (0.001)
Education in Years	0.045*** (0.002)	-0.017 (0.121)	0.030** (0.121)	0.069*** (0.000)	-0.276** (0.035)
Landholding	-0.125 (0.261)	0.227*** (0.000)	-0.376*** (0.000)	-0.218** (0.011)	0.018 (0.885)
Income from wetlands	0.054 (0.486)	0.126*** (0.002)	0.219*** (0.002)	0.245*** (0.000)	0.267*** (0.009)
Level of awareness	0.017*** (0.000)	-0.001*** (0.001)	-0.014** (0.001)	-0.005 (0.912)	0.006 (0.882)
Constant	- 4.07** (0.034)	0.211 (0.833)	-0.477 (0.778)	-6.66*** (0.000)	1.52 (0.285)
LR chi ²	65.88	74.33	31.05	55.89	53.99
Prob> chi ²	0.000	0.0000	0.0000	0.0000	0.0000
No of observations	120	120	120	120	120

Comment [K11]: -Authors can enrich the discussion aspect by linking or establishing a correlation between variables like educational background/awareness levels to the development or employment of some adaptation strategies. Other variables like income levels and adaptation capacity or vulnerability levels could equally be played with or critically assessed within the scope of correlation to enrich the discussion section. Here, the notion is higher incoming earning individuals/households are less vulnerable to climate hazards/impacts with a higher adaptive capacity level and vice versa for poor/low-income level individuals.

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Note: Figures given in the parenthesis are the p-value

Note: ***, **and *implies significance at 1%, 5% and 10% respectively

Source: Author, 2023

214 The Chilika lake as well as the coastal districts of Odisha are prone to various natural
215 calamities. The various natural calamities that happened in Chilika lagoon along with the
216 year of occurrence were shown in Table 2. It is found that natural calamities floods and
217 cyclones were more frequent when compared to drought and earthquakes. The major
218 climate risks opined by the farmers ~~entailed of the study area were farmers were~~ drought,
219 flood, cyclone, crop disease and pest attack.

220 According to Matthews and Wassmann (2003) [11], adaptation is an adjustment made within
221 the crop production systems, in order to live successfully in changing climate. The
222 technological changes for adaptation with special reference to rice cultivation will aim at the
223 introduction of tolerant cultivars and methods of cultivation for improved input efficiency. Smit
224 and Skinner (2002) [12] have categorized farm-level adaptations into three main categories,
225 i.e., changes in farm management practices, farm-level technological developments, and
226 financial management for farm protection. Based on these broad categories, studies have
227 identified several adaptation strategies. The major adaptation strategies identified and
228 categorized as per the response among the farmers of Chilika lake were the cultivation of
229 climate resilient varieties, practising an integrated farming system, adopting agronomic
230 measures, attaining the support of institutional aids and usage of water-saving techniques.

231 The climate resilient varieties mainly cultivated in the area are Swarna sub -1 which is
232 tolerant to flash flooding and Luna suvarna which is salt tolerant. The IFS practices include
233 crop diversification, fishing, poultry and other enterprises to balance the loss from a single
234 crop or enterprise. Rice–fish farming systems are adopted in some of the submergence-
235 prone areas. Agronomic measures include strengthening the field bund height & check the
236 seepage loss, specific nutrient management, changing the planting date and adjusting the
237 cropping season. Krishnan *et al.* (2007) [13] demonstrated the potential outcomes by
238 adjusting the sowing time of rice in two sites (Cuttack and Jorhat in India) by simulating the
239 crop growth under different climate change scenarios. Crop insurance and early weather
240 warning systems were the major institutional aids which need further deepening in the area.
241 Additions of crop residues and manure to the soils improved the soil water holding
242 capacities. Water harvesting structures were used to tap non-saline water to irrigate the
243 crops after the monsoon and in-situ rainwater conservation was the main water conservation
244 method.

245 The results from probit analysis were used to estimate the parameters involved in
246 determining the adaptation strategies given in Table 3. The marginal effect in the analysis is
247 the average change in the probability of going for a specific adaptation strategy when the
248 selected parameter increases by one unit. The results revealed that the parameter age of
249 the farmers has a significant positive marginal effect towards the strategies agronomic
250 measures and institutional aids at one per cent level of significance, whereas it has a
251 significant negative marginal effect for IFS and water saving methods. The parameter
252 education has a significant positive effect on the strategies adapting climate resilient
253 varieties, agronomic measures and institutional aids, meanwhile it has a significant influence
254 on the strategy water saving methods. Farmers who attained higher education are more
255 likely to adapt to climate change by switching to different adaptation strategies. Study
256 findings are in line with Maddison's (2006), [14] Kibue *et al.* (2016) [15] and Singh (2020) [16].
257 The marginal effects in the land holding size of the farmer were found positively affect the
258 strategies IFS and water saving methods, but it was found negatively effecting both
259 agronomic and institutional aids. The factor income from wetlands had a significant positive
260 effect on all the strategies except the adaptation of climate resilient varieties. The level of
261 awareness index was found positively significant with respect to climate resilient varieties
262 and negatively significant for the strategies towards IFS and agronomic measures. Similar

263 studies were reported by Singh (2020) [16] among farmers in the dry region of Bundelkhand
264 (Uttar Pradesh).

265 4. CONCLUSION

266 The major adaptation strategies identified from the response among the farmers of Chilika
267 lake were the cultivation of climate resilient varieties, practising integrated farming systems,
268 adopting agronomic measures, following institutional aids and usage of water saving
269 techniques. It is found that 62.5 per cent of the farmers were going for climate resilient
270 varieties, especially salt, drought and pest tolerant varieties. About half of the farmers were
271 adopting agronomic measures to cope with climate change. Almost 60 per cent were
272 depending upon institutional aids for various adaptation practices as well as gathering
273 information for preparedness against any natural calamity. Less than half of the farmers
274 responded that they were practising integrated farming systems and using water saving
275 techniques against uncertainties in the weather. The results of the probit model revealed that
276 age, education, landholding income from wetlands, Income from other sources and level of
277 awareness were found to have a significant role in going for various adaptation strategies.

278 This study provides understanding of adaptation decisions adopted by sample farmer of
279 Chilika. As a process of involving perceptions of climate change, farmers utilized their
280 leanings from past experiences in dealing with climate change, risk and uncertainties. The
281 results provide useful insights for necessary policy interventions. Climate resilient areas may
282 be piloted in the Chilika with end-to-end support from agriculture technology transfer,
283 infrastructure, inputs, implements and weather advisory services to develop understanding
284 and confidence of the farmers to adapt to new technology and practices addressing climate
285 change including non-farm activities like insurance and credit facilities. This particular study
286 has taken socioeconomic characters of the sample farmers and the result will be valid only if
287 these variables are taken into account.. This work also generates scopes for future research
288 on other determinants of climate change adoption among farmers as well as among other
289 stake holders of Chilika Lake.

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