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# Remarks on the Murray-von Neumann

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# Equivalence of Projections

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## ABSTRACT

In this paper, we characterize Murray-von Neumann equivalent projections. We also investigate and compare the relationship between the Murray von Neumann relation and other equivalence relations on the set  $\mathcal{P}(B(\mathcal{H}))$  of orthogonal projections in the von Neumann algebra  $B(\mathcal{H})$ .

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*Keywords:* [partial isometry, orthogonal projection, Murray-von Neumann equivalence, unitary equivalence, metric equivalence, central carrier] (Arial, inclined, 10 font, justified)

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## 1. INTRODUCTION

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Let  $\mathcal{H}$  denote a Hilbert space and  $B(\mathcal{H})$  denote the Banach algebra of bounded linear operators on  $\mathcal{H}$ . If  $T \in B(\mathcal{H})$ , then  $T^*$  denotes the adjoint of  $T$ , while  $\text{Ker}(T)$ ,  $\text{Ran}(T)$ ,  $\overline{\mathcal{M}}$  and  $\mathcal{M}^\perp$  stands for the kernel of  $T$ , range of  $T$ , closure of  $\mathcal{M}$  and orthogonal complement of a closed subspace  $\mathcal{M}$  of  $\mathcal{H}$ , respectively. We denote by  $\sigma(T)$ ,  $\|T\|$  the spectrum and the norm of  $T$ , respectively.

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An operator  $T \in B(\mathcal{H})$  is an orthogonal projection if  $T = T^* = T^2$ ; an isometry if  $T^*T = I$ ; unitary if  $T^*T = TT^* = I$ ; symmetry if  $T^* = T = T^{-1}$ , i.e, if  $T^*T = TT^* = T^2 = I$ ; normal if  $T^*T = TT^*$ ; an involution if  $T^2 = I$ .

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A subspace  $\mathcal{M}$  of  $\mathcal{H}$  is said to be invariant under  $T \in B(\mathcal{H})$  if  $T(\mathcal{M}) \subseteq \mathcal{M}$  and is said to reduce  $T$  if it is invariant under both  $T$  and  $T^*$ . Two operators  $A \in B(\mathcal{H})$  and  $B \in B(\mathcal{H})$  are said to be similar (denoted  $A \sim B$ ) if there exists an invertible operator  $N \in B(\mathcal{H})$  such that  $NA = BN$  or equivalently  $A = N^{-1}BN$ , and are unitarily equivalent (denoted by  $A \simeq B$ ) if there exists a unitary operator  $U \in B_+(\mathcal{H})$  (Banach algebra of all invertible operators in  $B(\mathcal{H})$ ) such that  $UA = BU$  (i.e.  $A = U^*BU$ , equivalently,  $A = U^{-1}BU$ ). Two operators  $A \in B(\mathcal{H})$  and  $B \in B(\mathcal{H})$  are said to be metrically equivalent (denoted by  $A \stackrel{m,e}{\sim} B$ ) if  $\|Ax\| = \|Bx\|$ , (equivalently,  $|\langle Ax, Ax \rangle|^{\frac{1}{2}} = |\langle Bx, Bx \rangle|^{\frac{1}{2}}$  for all  $x \in \mathcal{H}$  or  $A \stackrel{m,e}{\sim} B$  if  $A^*A = B^*B$ . (cf. [10]). Two operators  $A \in B(\mathcal{H})$  and  $B \in B(\mathcal{H})$  are said to be almost similar (denoted  $A \stackrel{a,s}{\sim} B$ ) if there

36 exists an invertible operator  $N \in B(\mathcal{H})$  such that  $A^*A = N^{-1}(B^*B)N$  and  $A^* + A =$   
 37  $N^{-1}(B^* + B)N$ .

38 An operator  $V \in B(\mathcal{H}, \mathcal{K})$  is called partial isometry if it is an isometry on  $\text{Ker}(V)^\perp$ . That is,

$$\|Vx\| = \begin{cases} \|x\|, & x \in (\text{Ker}(V))^\perp \\ 0, & x \in \text{Ran}(V) \end{cases}$$

39 In this case,  $\mathcal{M} = \text{Ker}(V)^\perp$  is called the initial space of  $V$  and  $\mathcal{N} = \text{Ran}(V)$  is called the final  
 40 space of  $V$ .

41 Two projections  $P$  and  $Q$  in  $B(\mathcal{H})$  are said to be Murray-von Neumann equivalent, denoted  
 42 by  $P \overset{M-v-N}{\sim} Q$ , if there exists an operator  $V \in B(\mathcal{H})$  such that  $V^*V = P$  and  $VV^* = Q$ . The  
 43 notion of Murray-von Neumann equivalence of projections was introduced by Berberian[1]  
 44 and has since generated considerable interest to operator theorists (see [2], [9] and [11]).

45 A von Neumann algebra  $\mathfrak{M}$  is a strongly closed  $C^*$ -subalgebra of  $B(\mathcal{H})$ . The commutant of a  
 46 von Neumann algebra  $\mathfrak{M}$  is the set  $\mathfrak{M}' = \{T \in B(\mathcal{H}) : TA = AT, \forall A \in \mathfrak{M}\}$ . We denote by  $\mathcal{P}(\mathfrak{M})$   
 47 the set of all orthogonal projections in  $\mathfrak{M}$  and by  $\mathcal{Z}(\mathfrak{M}) = \mathfrak{M} \cap \mathfrak{M}' = \{P \in \mathfrak{M} : PQ = QP, \text{ for all } Q \in \mathfrak{M}\}$   
 48 the center of  $\mathfrak{M}$ . If  $\mathcal{Z}(\mathfrak{M}) = \{\alpha I : \alpha \in \mathbb{C}\}$ , then  $\mathfrak{M}$  is called a factor.

49 Given two projections  $P, Q$ , if  $Q - P$  is a projection (i.e.  $P \leq Q$  or equivalently,  $Q - P \geq 0$ ), we  
 50 call  $P$  a sub-projection of  $Q$  and write  $P \leq Q$ , where " $\leq$ " denotes the Murray-von Neumann  
 51 order on  $\mathbb{P}(\mathfrak{M}) = \mathcal{P}(\mathfrak{M})/\overset{M-v-N}{\sim}$ . We write  $P \leq Q$  if and only if  $P \overset{M-v-N}{\sim} Q_0$  for a certain sub-  
 52 projection  $Q_0 \leq Q$ . Clearly  $P \leq P$  for any projection  $P$ . Note that for any  $P, Q \in \mathcal{P}(\mathfrak{M}), P \leq Q$  or  
 53  $Q \leq P$ . Indeed,  $P \leq Q$  and  $Q \leq P$  implies that  $P \overset{M-v-N}{\sim} Q$ . Clearly, the order  $\leq$  in  $B(\mathcal{H})$   
 54 translates into the Murray-von Neumann order between orthogonal projections.

55 A projection  $P \in \mathcal{P}(\mathfrak{M})$  is called a central projection if  $P$  commutes with every projection in  
 56  $\mathcal{P}(\mathfrak{M})$ . For each  $P \in \mathcal{P}(\mathfrak{M}), C_P \in \mathcal{P}(\mathcal{Z}(\mathfrak{M}))$  stands for the central support or central carrier of  
 57  $P$ , where the central carrier  $C_A$  of an operator  $A$  in a von Neumann algebra  $\mathfrak{M}$  is the  
 58 projection  $I - P$ , where  $P$  is the union (that is,  $P = \bigvee_\alpha P_\alpha$ ) of all central projections  $P_\alpha$  in  $\mathfrak{M}$   
 59 such that  $PA = 0$ .  $C_A$  can as well be defined as the intersection of all central projections  $Q$   
 60 such that  $QA = A$ . For any projection  $P, C_P$  is the smallest projection in the center  $\mathcal{Z}(\mathfrak{M})$   
 61 containing  $P$  as a sub-projection (i.e., it is the smallest projection  $Z$  in the centre such that  
 62  $P \leq Z$ [11]). That is, every projection  $P$  in a von Neumann algebra has a central carrier since  
 63  $\mathcal{Z}(\mathfrak{M})$  is itself a von Neumann algebra.

64 The von Neumann algebra plays a role in determining central carriers. For example, the  
 65 central carrier of a projection  $P$  (different from 0 and  $I$ ) relative to the algebra of all bounded  
 66 operators  $B(\mathcal{H})$  and relative to the von Neumann algebra generated by  $P$  and  $I$ . In the first  
 67 case the central carrier is  $I$  and in the second it is  $P$ . It is well-known that for any operator  $A$   
 68 in a von Neumann algebra  $\mathfrak{M}, C_A A = A$  (see [9] and [11]).

69 If an operator  $T \in B(\mathcal{H}, \mathcal{K})$  has closed range, the restriction  $T|_{\text{Ker}(T)^\perp} \rightarrow \text{Ran}(T)$  is a  
 70 boundedly invertible operator and the inverse defined on  $\text{Ran}(T)$  can be defined on all of  $\mathcal{K}$   
 71 by letting  $T|_{\text{Ran}(T)^\perp} = T|_{\text{Ker}(T^*)} = 0$ . The extension uniquely determined by  $T$ , and denoted by  
 72  $T^\dagger$ , is called the Moore-Penrose inverse or pseudo-inverse of  $T$  and it is the unique solution  
 73 to the equations

$$TT^\dagger T = T, T^\dagger T T^\dagger = T^\dagger, T T^\dagger = (T T^\dagger)^*, T^\dagger T = (T^\dagger T)^*.$$

74 Clearly  $T^\dagger$  exists if and only if  $\text{Ran}(T)$  is closed. In this case,  $TT^\dagger$  and  $T^\dagger T$  are the orthogonal  
75 projections onto  $\text{Ran}(T)$  and  $\text{Ran}(T^*)$ , respectively and  $\text{Ran}(T^\dagger) = \text{Ran}(T^*)$

76 Unlike the Moore-Penrose inverse, the Drazin inverse is defined on  $B(\mathcal{H})$ . The Drazin  
77 inverse of  $T \in B(\mathcal{H})$  is the unique operator denoted by  $T^D$  satisfying

$$TT^D = T^D T, T^D T T^D = T^D, T^{k+1} T^D = T^k,$$

78 where  $k = \text{index}(T)$ , the smallest nonnegative integer  $k$  such that  $\text{rank}(T^{k+1}) = \text{Ran}(T^k)$ . If  
79  $\text{index}(T) = 0$ , then  $T$  is invertible and  $T^D = T^{-1}$ . The Drazin inverse was developed by  
80 Drazin in 1958[3] and it was proved that if  $T, S \in B(\mathcal{H})$  with  $TS = ST = 0$ , then  $(S + T)^D =$   
81  $S^D + T^D$ . Thus  $T \in B(\mathcal{H})$  is said to have a Drazin inverse or to be Drazin invertible if there  
82 exists  $X \in B(\mathcal{H})$  such that

$$TX = XT, XTX = X, T^{k+1}X = T^k.$$

83 In this case  $X = T^D$  is called the Drazin inverse of  $T$ . For every  $T$  there exists at most one  
84 such  $X$ .

85 To set the stage, we first state and prove some results which are useful in the proof of the  
86 main results.

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## 89 2. Preliminary Results

90 From now on, if there is no danger of confusion, by a projection we mean an orthogonal  
91 projection.

92 Recall that  $V \in B(\mathcal{H})$  is a partial isometry if there exists a subspace  $\mathcal{M} \subseteq \mathcal{H}$  such that  
93  $\|Vx\| = \|x\|$ , for all  $x \in \mathcal{M} = \text{Ker}(V)^\perp$ , (i.e., it is isometric on the orthogonal complement of  
94 its kernel) and  $\|Vx\| = 0$  if  $x \in \mathcal{M}^\perp$ . This means that  $V^*$  is the Moore-Penrose inverse of  $V$ .  
95 That is,  $VV^*V = V$ . The class of partial isometries was first studied by Halmos and  
96 McLaughlin [7] and they have shown that every partial isometry  $V \in B(\mathcal{H})$  has a canonical  
97 representation as  $V = \begin{pmatrix} 0 & B \\ 0 & D \end{pmatrix}$  on  $\mathcal{H} = \text{Ker}(V) \oplus \text{Ker}(V)^\perp$ , with  $B^*B + D^*D = I$ . This result  
98 was improved by Halmos ([6], §127), where it is stated that every partial isometry  $V$  is  
99 bounded and if  $V \neq 0$ , then  $\|V\| = 1$ . Clearly a partial isometry is a contraction and therefore  
100 its spectrum is necessarily a subset of the closed unit disc in  $\mathbb{C}$ . A non-empty compact  
101 subset  $\Omega$  of  $\mathbb{C}$  is the spectrum of a partial isometry  $V$  if and only if either  $\Omega \subseteq \partial\mathbb{D}$ , the unit  
102 circle/circumference (i.e. it does not contain the origin:  $V$  is invertible and hence unitary) or  
103  $\Omega \subseteq \mathbb{D}$ , with  $0 \in \Omega$  (i.e.  $V$  is not invertible) ([6], §133), where  $\mathbb{D}$  denotes the open unit disc of  
104 the plane. For more properties the reader may consult ([6], Chapter 15).

105 Proposition 2.1 ([5], Theorem 3). Let  $V$  be an operator on a Hilbert space  $\mathcal{H}$ . Then the  
106 following statements are mutually equivalent.

107 (a).  $V$  is a partial isometry.

108 (b).  $V^*$  is a partial isometry.

109 (c).  $VV^*V = V$

110 (d).  $V^*VV^* = V^*$ .

111 (e).  $V^*V$  and  $VV^*$  are projection operators.

112 (f).  $V^* = V^\dagger = V^D$ .

113 Proof. See ([5], Theorem 3 and [4], Theorem 2.3).

114 Remark. The projections  $V^*V$  and  $VV^*$  in Proposition 2.1 are called the initial and final  
115 projections of  $V$ , respectively. The class of partial isometries is wider than the class of  
116 isometries. It contains isometries, co-isometries and also projection operators. The set of all  
117 partial isometries on a Hilbert space  $\mathcal{H}$  forms a semigroup. By a semigroup of operators on  
118  $\mathcal{H}$  we simply mean a set  $\mathcal{S}$  closed under multiplication; it is said to be self-adjoint if  $\mathcal{S} = \mathcal{S}^* :=$   
119  $\{V^* : V \in \mathcal{S}\}$ . Thus, the concept of self-adjoint semigroups of partial isometries is a direct and  
120 natural generalization of that of groups of unitary operators or semigroup orthogonal  
121 projections, which is abelian.

122 Theorem 2.2. Let  $T \in B(\mathcal{H})$  such that  $T^2 = T$ . Then  $T$  is a partial isometry if and only if  
123  $T = T^*$ . Furthermore, if  $T$  is both idempotent and a partial isometry, then  $T = T^* = T^\dagger = T^D$ ,  
124 where  $T^\dagger$  and  $T^D$  denote the Penrose and Drazin inverse of  $T$ , respectively.

125 Proof. Suppose  $T^2 = T$ . If  $T = T^*$ , then  $(TT^*)^2 = TT^*$ . This means that  $T^*TT^* = T^*$  and  
126 therefore by Proposition 2.1 (d),  $T$  is a partial isometry. Conversely, if  $TT^*T = T$ , then  
127  $\|T\| \leq 1$  and since  $T^2 = T$ ,  $T$  is necessarily self-adjoint. In this case,  $T = T^* = T^\dagger = T^D$ .

128 Proposition 2.3. If  $V$  is a partial isometry then the following statements are equivalent.

129 (a). the non-zero eigenvalues of  $V$  lie on the unit circle.

130 (b).  $V = U^*TU$ , for some unitary operator  $U$  and a triangular operator matrix  $T = [t_{ij}]$  with  
131  $|t_{ii}| = 1$  or  $0$ , for each  $i$ .

132 Example. The operator  $V = \begin{pmatrix} 0 & 0 \\ 0 & i \end{pmatrix}$  acting on  $\mathcal{H} = \mathbb{C}^2$  satisfies the properties of Proposition  
133 2.3.

134 Theorem 2.4 ([8], Proposition 5.87). If  $T: \mathcal{H} \rightarrow \mathcal{K}$  is a partial isometry, then  $T = VP$  where  
135  $V: \text{Ker}(T)^\perp \rightarrow \mathcal{K}$  is an isometry and  $P: \mathcal{H} \rightarrow \mathcal{H}$  is the orthogonal projection onto  $\text{Ker}(T)^\perp$ .  
136 Conversely, let  $\mathcal{M}$  be any subspace of  $\mathcal{H}$ . If  $V: \mathcal{M} \rightarrow \mathcal{K}$  is an isometry and  $P: \mathcal{H} \rightarrow \mathcal{H}$  is the  
137 orthogonal projection onto  $\mathcal{M}$ , then  $T = VP: \mathcal{H} \rightarrow \mathcal{K}$  is a partial isometry.

138 Proposition 2.5. A partial isometry  $V \in B(\mathcal{H})$  is an isometry if and only if  $\text{Ker}(V)^\perp = \mathcal{H}$ . That  
139 is, if  $\text{Ker}(V) = \{0\}$ .

140 Proof. Let  $VV^*V = V$ . If  $V$  is an isometry, then  $V^*V = I$ . Therefore  $\text{Ker}(V) = \{0\}$  and hence  
141  $\text{Ker}(V)^\perp = \mathcal{H}$ . Conversely, suppose that  $\text{Ker}(V)^\perp = \mathcal{H}$ . Then  $\text{Ker}(V) = \{0\}$ , and since  
142  $VV^*V = V$  we have that  $V^*V = I$ . This establishes the claim.

143 Example. The operator  $V = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$  on  $\mathcal{H} = \mathbb{R}^2$  is a partial isometry which is not an isometry.

144 Proposition 2.6. Every orthogonal projection  $P \in B(\mathcal{H})$  is a partial isometry.

145 Proof. . If  $P^* = P = P^2$ , then  $P^*P = P$  and therefore  $PP^*P = P^2 = P$ .

146 For any  $T \in B(\mathcal{H})$ , the maps  $T \rightarrow TT^*$  and  $T \rightarrow T^*T$  are continuous. In particular, if  $V$  is a  
147 partial isometry, then the maps  $V \rightarrow P_{\text{Ran}}(V) = VV^*$  and  $V \rightarrow P_{\text{Ker}}(V)^\perp = V^*V$  are  
148 continuous.

149 Proposition 2.7. Let  $A, B \in B(\mathcal{H})$  such that  $AB = BA \neq 0$ . Then  $AB$  is invertible if and only if  $A$   
150 and  $B$  are invertible.

151 Theorem 2.8 ([5], Theorem 1§3.7.1). The following conditions on an operator  $T$  are  
152 equivalent:

153 (i).  $T$  is a partial isometry and quasinormal.

154 (ii).  $T$  is the direct sum of an isometry and zero.

155 Theorem 2.9 ([5], Theorem 2§3.7.1). Let  $T$  be an operator on a Hilbert space  $\mathcal{H}$ . Then (i).  $T$   
156 is a normal partial isometry if and only if  $T$  is the direct sum of a unitary operator and zero.

157 (ii).  $T$  is a subnormal partial isometry if and only if  $T$  is the direct sum of an isometry and  
158 zero.

159 Theorem 2.10. Let  $V$  be a partial isometry such that  $P = V^*V$  and  $Q = VV^*$ . Then

160 (i).  $\text{Ker}(P) = \text{Ker}(V), \overline{\text{Ran}}(Q) = \overline{\text{Ran}}(V)$ .

161 (ii).  $\text{Ker}(Q) = \text{Ker}(V^*), \overline{\text{Ran}}(P) = \overline{\text{Ran}}(V^*)$ .

162 (iii). if  $V$  is self-adjoint, then  $\text{Ker}(P) = \text{Ker}(Q)$  and  $\overline{\text{Ran}}(P) = \overline{\text{Ran}}(Q)$ .

163 Proof. The proof of (i) and (ii) follows from the definition. To show (iii), we note that since  
164  $V = V^*$ , by (i) and (ii), we have  $\text{Ker}(Q) = \text{Ker}(V^*) = \text{Ker}(V) = \text{Ker}(P)$  and  $\overline{\text{Ran}}(P) =$   
165  $\overline{\text{Ran}}(V^*) = \overline{\text{Ran}}(V) = \overline{\text{Ran}}(Q)$ .

166 Proposition 2.11. If  $T \in B(\mathcal{H})$  is such that  $\|I - T\| < 1$ , then  $T$  is invertible.

### 167 3. Main Results

168 Recall that two projections  $P$  and  $Q$  in  $B(\mathcal{H})$  are said to be Murray-von Neumann equivalent,  
169 denoted by  $P^{\text{M-v-N}} \sim Q$  if there exists an operator  $V \in B(\mathcal{H})$  such that  $V^*V = P$  and  $VV^* =$   
170  $Q$ .

171 Remark. Clearly, the operator  $V$  implementing the Murray-von Neumann equivalence of any  
172 two orthogonal projections is automatically a partial isometry. That is, it satisfies  $VV^*V = V$ .  
173 Thus, two orthogonal projections are Murray-von Neumann equivalent exactly when there is  
174 a partial isometry with one projection as the initial projection and the other as the final  
175 projection. For any partial isometry  $V$ , its initial projection  $P$  is the smallest projection (with  
176 respect to the partial ordering  $\leq$  on  $\mathcal{P}(\mathfrak{M})$ ) such that  $VP = V$  and its final projection  $Q$  is the  
177 smallest projection such that  $QV = V$ .

178 Theorem 3.1. Let  $V$  be a partial isometry and let  $P$  and  $Q$  be Murray-von Neumann  
179 equivalent projections with respect to  $V$ . Then  $V = VP = QV$ .

180 Proof. Suppose  $P = V^*V$  and  $Q = VV^*$  for a partial isometry  $V$ . Then from the definition and  
181 the above remark, we have  $V = VV^*V = VP = QV = QVP$ .

182 Theorem 3.1 also says that if  $P$  and  $Q$  be Murray-von Neumann equivalent projections with  
183 respect to  $V$ , then  $V^* = PV^* = V^*Q$ . A consequence of this result is that  $P = V^*QV$ . Using  
184 Theorem 3.1, we have that two projections  $P$  and  $Q$  are Murray-von Neumann equivalent if  
185 there exists a partial isometry  $V$  such that  $P = V^*QV$

186 Proposition 3.2. The Murray-von Neumann relation is an equivalence relation on the family  
187  $\mathcal{P}(B(\mathcal{H}))$  of projections in  $B(\mathcal{H})$ .

188 Proof. Suppose that  $P$  and  $Q$  are projections such that  $P = V^*V$  and  $Q = VV^*$ , for some  
189 partial isometry  $V$ . Reflexivity follows easily from Proposition 2.6, because a projection is also  
190 a partial isometry. Symmetry follows from Proposition 2.1 since  $V^*$  is a partial isometry (with  
191 the initial and final spaces interchanged) whenever  $V$  is. Now suppose  $P, Q$  and  $R$  are  
192 projections and that  $P \overset{M-V-N}{\sim} Q$  and  $Q \overset{M-V-N}{\sim} R$ . Then there exists partial isometries  $V$  and  $W$   
193 such that  $P = V^*V, Q = VV^* = W^*W$  and  $R = WW^*$ . Now let  $Z = WV$ . Then using the proof of  
194 Theorem 3.1,  $Z$  is also a partial isometry and that  $Z^*Z = V^*W^*WV = V^*QV = V^*V = P$ , and  
195  $ZZ^* = WVV^*W^* = WQW^* = WW^* = R$  which proves transitivity.

196 For any partial isometry  $V \in B(\mathcal{H})$ , its initial space is  $\text{Ran}(V^*V)$  and its final space is  
197  $\text{Ran}(VV^*)$ . Clearly  $V$  is understood to be a unitary operator in  $B(\text{Ran}(V^*V), \text{Ran}(VV^*))$ , in  
198 the sense that  $V^*V = I_{\text{Ran}(V^*V)}$  and  $VV^* = I_{\text{Ran}(VV^*)}$ .

199 For a given partial isometry  $V \in B(\mathcal{H})$ , either  $\text{Ran}(V^*V) = \text{Ran}(VV^*), \text{Ran}(V^*V) \subset \text{Ran}(VV^*)$   
200 or  $\text{Ran}(VV^*) \subset \text{Ran}(V^*V)$ . If  $\text{Ran}(V^*V) = \text{Ran}(VV^*)$ , then  $V$  is a unitary in  $B(\text{Ran}(A^*A))$ . If  
201  $\text{Ran}(V^*V) \subset \text{Ran}(VV^*)$ , then the partial isometry  $V^*$  satisfies  $\text{Ran}((V^*)^*V) = \text{Ran}(VV^*) \supset$   
202  $\text{Ran}(V^*V)$ . This is equivalent to saying that the initial space of  $V^*$  coincides with the final  
203 space of  $V$  and the initial space of  $V$  coincides with the final space of  $V^*$ . In other words, the  
204 final space of  $V^*$  is properly contained in the initial space of  $V^*$ . Similarly, if  $\text{Ran}(VV^*) \subset$   
205  $\text{Ran}(V^*V)$ , then the initial space of  $V^*$  is properly contained in the final space of  $V^*$ .

### 206 3.1. Murray-von Neumann Relation and other Operator 207 Relations.

208 The following result gives a condition under which Murray-von Neumann equivalence implies  
209 unitary equivalence and hence similarity of projection operators.

210 Theorem 3.3. Let  $P$  and  $Q$  be projections such that  $P \overset{M-V-N}{\sim} Q$  with an implementing partial  
211 isometry  $V$ . If  $V$  is invertible then  $P$  and  $Q$  are similar projections.

212 Proof. Suppose  $P = V^*V$  and  $Q = VV^*$ . Invertibility of  $V$  implies  $V$  is unitary and hence by  
213 Theorem 3.1  $V^* = PV^{-1} = V^{-1}Q$ . Thus  $Q = VV^* = VPV^{-1} = VPV^*$

214 Theorem 3.3 also says if  $V$  is invertible, then  $P = V^*V$  and  $Q = VV^*$  are also invertible. This  
215 also means that  $P = Q = I$ , since the only invertible projection is the identity operator. In  
216 addition, we conclude that  $V$  is unitary, since every invertible partial isometry is unitary.

217 Corollary 3.4. Let  $P$  and  $Q$  be invertible projections. If  $P \overset{M-v-N}{\sim} Q$  with an implementing partial  
 218 isometry  $V$  then  $P = Q$ .

219 Corollary 3.4 says that for invertible projections in a Hilbert space  $\mathcal{H}$ , the notions of unitary  
 220 equivalence, similarity, quasisimilarity, metric equivalence and Murray von Neumann  
 221 equivalence coincide with equality. The statement is also valid if we assume that  $V$  is a  
 222 normal partial isometry. Note that a normal partial isometry need not be unitary. The  
 223 operator  $V = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$  is a normal partial isometry which is a direct sum of a unitary and  
 224 zero but it is not unitary.

225 Corollary 3.5. Let  $P$  and  $Q$  be projections such that  $P = V^*V$  and  $Q = VV^*$  for some partial  
 226 isometry  $V$ . If  $V$  is normal then  $P = Q$ .

227 Proof. This follows from  $0 = V^*V - VV^* = P - Q$ .

228 Remark. We note that for any orthogonal projections  $P, Q \in B(\mathcal{H})$ , if  $P = V^*V$  and  $Q = VV^*$   
 229 for some partial isometry  $V$ , the condition of  $V$  being either normal, unitary, or invertible and  
 230 the condition of invertibility of both  $P$  and  $Q$  all coincide. If any of these conditions is satisfied,  
 231 then  $P = Q$ .

232 Proposition 3.6. If  $P$  and  $Q$  are unitarily equivalent projections then they are Murray-von  
 233 Neumann equivalent.

234 Proof. Suppose that  $P = UQU^*$  for some unitary operator  $U$ . Since a unitary operator is a  
 235 partial isometry, the result follows from the proof of Theorem 3.1.

236 We remark that Murray-von Neumann equivalence does not in general imply unitary  
 237 equivalence or metric equivalence of projection operators. Let  $S$  be a non-unitary partial  
 238 isometry, for instance, the unilateral shift on  $\ell^2$ . Then  $P = S^*S$  and  $SS^* = Q$  are projections.  
 239 Clearly  $P \overset{M-v-N}{\sim} Q$  but  $P$  and  $Q$  are not unitarily equivalent. A simple calculation also shows  
 240 that these projection operators are not metrically equivalent. In finite dimensions, it is clear  
 241 that Murray-von Neumann equivalence implies similarity. However, this is not true in infinite  
 242 dimensions. To see this, let  $P = S^*S$  and  $SS^* = Q$ , where  $S$  is the unilateral shift operator on  
 243  $\ell^2$ . This example also shows that Murray-von Neumann equivalence does not in general  
 244 imply metric equivalence of projection operators.

245 If  $A_1, A_2, \dots, A_n$  are elements in  $B(\mathcal{H})$ , then  $\text{diag}(A_1, A_2, \dots, A_n)$  denotes the  $n \times n$  matrix  
 246 whose main diagonal consists of the elements  $A_1, A_2, \dots, A_n$ . The following result gives a  
 247 condition when Murray-von Neumann equivalence implies unitary equivalence of operators.

248 Proposition 3.7. Let  $P$  and  $Q$  be projections. If  $P \overset{M-v-N}{\sim} Q$  then  $\text{diag}(P, 0)$  is unitarily  
 249 equivalent to  $\text{diag}(Q, 0)$ .

250 Proof. Suppose there is a partial isometry  $V$  such that  $P = V^*V$  and  $Q = VV^*$ . Then by  
 251 Theorem 3.1, we have that  $V = VP = QV = QVP$ . Using this fact, the operators  $U =$   
 252  $\begin{pmatrix} V & I - Q \\ I - P & V^* \end{pmatrix}$  and  $W = \begin{pmatrix} Q & I - Q \\ I - Q & Q \end{pmatrix}$  are unitary and hence  $WU$  is also unitary. Clearly

$$WU \begin{pmatrix} P & 0 \\ 0 & 0 \end{pmatrix} U^* W^* = W \begin{pmatrix} UPU^* & 0 \\ 0 & 0 \end{pmatrix} W^* = W \begin{pmatrix} Q & 0 \\ 0 & 0 \end{pmatrix} W^* = \begin{pmatrix} Q & 0 \\ 0 & 0 \end{pmatrix}.$$

253 The following two results are a consequence of Proposition 3.7. Corollary 3.8. Let  $P$  and  $Q$   
254 be projections. If  $P \overset{M-v-N}{\sim} Q$  then  $\sigma(P)/\{0\} = \sigma(Q)/\{0\}$

255 This result can be improved as follows.

256 Corollary 3.9. Let  $P$  and  $Q$  be Murray-von Neumann equivalent projections. If  $P$  and  $Q$  are  
257 invertible, then  $\sigma(P) = \sigma(Q)$ .

258 Proof. There are several ways to prove this result. One of them is to use the fact that since  $P$   
259 and  $Q$  are invertible,  $0$  is not contained in the spectra of  $P$  and  $Q$ . The rest of the proof  
260 follows upon application of Corollary 3.8. The other is to use Corollary 3.4. That is,  $P = Q$ .

261 Theorem 3.10. Two projections  $P$  and  $Q$  acting on a Hilbert space  $\mathcal{H}$  are unitarily equivalent  
262 if and only if  $\dim(\text{Ran}(P)) = \dim(\text{Ran}(Q))$  and  $\dim(\text{Ker}(P)) = \dim(\text{Ker}(Q))$

263 Proof. Suppose  $P = UQU^*$ , where  $U$  is a unitary operator. Then  $PU = UQ$ . Since  $U$  is an  
264 isomorphism (indeed, an automorphism), it preserves the dimension of the Hilbert space.  
265 Therefore  $\dim(\text{Ran}(P)) = \dim(\text{Ran}(Q))$ . The rest of the proof follows from the self-  
266 adjointness of  $P$  and  $Q$  and the fact that  $\text{Ker}(A^*) = \text{Ran}(A)^\perp$ , for any  $A \in B(\mathcal{H})$

267 Theorem 3.10 says that two projections on a Hilbert space  $\mathcal{H}$  are unitarily equivalent if and  
268 only if they have the same rank and nullity. The following weaker condition is necessary and  
269 sufficient for Murray-von Neumann equivalence.

270 Theorem 3.11. Two projections  $P$  and  $Q$  on a Hilbert space  $\mathcal{H}$  are Murray-von Neumann  
271 equivalent if and only if  $\dim(\text{Ran}(P)) = \dim(\text{Ran}(Q))$ .

272 This says that two projections are Murray-von Neumann equivalent if and only if they have  
273 the same rank.

274 Example. Consider the projections  $P = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ ,  $Q = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$ ,  $R = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$  and

275  $S = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$  acting on the Hilbert space  $\mathcal{H} = \mathbb{R}^3$ . A simple calculation shows that  $P$  and  $Q$   
276 are Murray-von Neumann equivalent, with the equivalence being implemented by the partial

277 isometry  $V = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$ . A simple calculation also shows that  $P$  and  $R$  are Murray-von  
278 Neumann equivalent, with the equivalence being implemented by the partial isometry

279  $W = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$ . Also  $Q$  and  $R$  are Murray-von Neumann equivalent, with the equivalence  
280 being implemented by the partial isometry  $Z = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$ . By Theorem 3.11,  $S$  is not

281 Murray-von Neumann equivalent to either  $P$ ,  $Q$  or  $R$ .

282 A simple calculation also shows that  $P$ ,  $Q$  and  $R$  are pairwise similar and also pairwise almost

283 similar. In particular,  $X = \begin{pmatrix} 0 & 0 & 1 \\ -2 & 3 & 0 \\ -1 & 1 & 0 \end{pmatrix}$  implements the similarity and also the almost  
284 similarity between  $P$  and  $Q$ . A closer look also reveals that  $P$ ,  $Q$  and  $R$  are pairwise unitarily

285 equivalent. The operator  $S$  is Murray-von Neumann equivalent to, for instance, the operator

286  $T = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ , with the equivalence being implemented by the partial isometry  $Y =$

287  $\begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ .

288 Remark. We note that the partial isometry implementing the Murray-von Neumann  
289 equivalence of two projections need not be unique. For instance, in the example above, the

290 partial isometry  $X = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$  also implements the Murray-von Neumann equivalence

291 between  $P$  and  $R$ . The next result gives the relation between the partial isometries

292 implementing a Murray-von Neumann equivalence of two projections  $P$  and  $Q$ .

293 Theorem 3.12. Suppose  $P$  and  $Q$  are Murray-von Neumann equivalent projections with  
294 distinct partial isometries  $V$  and  $W$  implementing the equivalence. Then  $W^*W = V^*V = P$  and  
295  $WW^* = VV^* = Q$ .

296 Theorem 3.12 says that if partial isometries  $W$  and  $V$  implement the Murray-von

297 Neumann equivalence of  $P$  and  $Q$ , then  $W \overset{m.e.}{\sim} V$  and  $W^* \overset{m.e.}{\sim} V^*$ . That is,  $W, V$  and

298

299 their adjoints  $W^*, V^*$  are pairwise metrically equivalent operators. For a deeper and  
300 comprehensive theory about metric equivalence operators, see [10].

301 Theorem 3.13. If  $P^{M-v-N} \sim Q$  then  $\|P\| = \|Q\|$ .

302 Proof. This follows from  $\|P\| = \|V^*V\| = \|VV^*\| = \|Q\|$ .

303 The converse of Theorem 3.13 is not true in general. The orthogonal projections  $P =$

304  $\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$  and  $Q = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$  have equal norm but

305  $P$  and  $Q$  are not Murray – von Neumann equivalent.

306 Theorem 3.14. Two orthogonal projections  $P$  and  $Q$  are unitarily equivalent if and only if they  
307 are Murray-von Neumann equivalent and  $I - P$  and  $I - Q$  are Murray-von Neumann  
308 equivalent.

309 Proof. Suppose  $Q = UPU^*$ , for some unitary operator  $U$ . Put  $V = UP$  and  $W = U(I - P)$ .

310 Then  $V^*V = PU^*UP = P^2 = P, VV^* = UP^2U^* = UPU^* = Q$  and similarly  $W^*W = I - P, WW^* =$

311  $I - Q$ . Thus  $P$  and  $Q$  are Murray-von Neumann equivalent and  $I - P$  and  $I - Q$  are Murray-

312 von Neumann equivalent. Conversely suppose  $P$  and  $Q$  are Murray-von Neumann equivalent

313 and  $I - P$  and  $I - Q$  are Murray-von Neumann equivalent. Then there exists partial

314 isometries  $V: \text{Ran}(P) \rightarrow \text{Ran}(Q)$  and  $W: \text{Ran}(I - P) \rightarrow \text{Ran}(I - Q)$  satisfying the above

315 conditions. Now, let  $Z = V + W$ . Direct calculation shows that  $Z$  is unitary with  $Z^* = U^*$  and

316 therefore  $ZPZ^* = UPU^* = Q$ , which proves the claim.

317 Proposition 3.6, Theorem 3.10 and Theorem 3.14 say that unitary equivalence of projections

318 is stronger than Murray-von Neumann equivalence of projections. However, in finite

319 dimensions they are equivalent. That is, they are equal modulo passing to matrix algebras.

320 Given a contraction  $T \in B(\mathcal{H})$ , both  $(I - T^*T)$  and  $(I - TT^*)$  are positive operators and  
 321 hence have unique square roots. We define  $D_T = (I - T^*T)^{\frac{1}{2}}$  and  $D_{T^*} = (I - TT^*)^{\frac{1}{2}}$  and call  
 322 them the defect operators of  $T$ . The closures of their ranges  $\mathcal{D}_T = \overline{D_T(\mathcal{H})}$  and  $\mathcal{D}_{T^*} = \overline{D_{T^*}(\mathcal{H})}$   
 323 are called the defect spaces of  $T$ . The respective dimensions (ranks)  $d_T$  and  $d_{T^*}$  are called  
 324 the defect indices or numbers of  $T$ . Equivalently, the defect numbers of a contraction  
 325 operator  $T \in B(\mathcal{H})$ , can be defined as  $d_T = \dim(\text{Ran}(T)^\perp) = \dim(\mathcal{H} \ominus \overline{\text{Ran}(T)})$  and  
 326  $d_{T^*} = \dim(\text{Ran}(T^*)^\perp) = \dim(\mathcal{H} \ominus \overline{\text{Ran}(T^*)})$ . We note also that  $d_T$  can be characterized in  
 327 terms of the adjoint operator  $T^*$ , namely,  $d_T = \dim(\text{Ker}(T^*))$ . In applications, the equation  
 328  $Tx = y$ , where  $T$  is invertible, is solvable if and only if  $y$  is orthogonal to  $\mathcal{H} \ominus \text{Ran}(T)$ . So  $d_T$   
 329 is a measure of the number of "orthogonality" conditions ensuring the solvability of the  
 330 equation  $Tx = y$ . Recall that  $\text{Ran}(T) = T(\mathcal{H}) = \{y \in \mathcal{H} : y = Tx, \text{ some } x \in \mathcal{H}\}$ .

331 Since partial isometries are contractions, the defect numbers for projections and partial  
 332 isometries are well-defined.

333 Proposition 3.15. Let  $P$  and  $Q$  be orthogonal projections and suppose that  $P = V^*V$  and  
 334  $Q = VV^*$ , for some partial isometry  $V$ . Then  $D_V = D_P$  and  $D_{V^*} = D_Q$

335 Proof. By definition we note that  $D_V = (I - V^*V)^{\frac{1}{2}} = (I - P)^{\frac{1}{2}} = (I - P^*P)^{\frac{1}{2}} = D_P$  and  $D_{V^*} =$   
 336  $(I - VV^*)^{\frac{1}{2}} = (I - Q)^{\frac{1}{2}} = (I - Q^*Q)^{\frac{1}{2}} = D_Q$

337 The following result shows that Murray-von Neumann equivalent projections have equal  
 338 defect numbers.

339 Proposition 3.16. Let  $P$  and  $Q$  be projections acting on a finite dimensional Hilbert space  $\mathcal{H}$ .  
 340 Then  $d_P = d_Q$  if and only if  $P$  and  $Q$  are Murray-von Neumann equivalent.

341 Recall that an operator  $S$  is a symmetry if  $S^* = S = S^{-1}$  (i.e.  $S^*S = SS^* = S^2 = I$ ).

342 Proposition 3.17. If  $S \in B(\mathcal{H})$  is a symmetry and  $P \in B(\mathcal{H})$  is a projection, then  $V = SP$  is a  
 343 partial isometry.

344 Proof. The result follows from  $VV^*V = SPP^*S^*SP = SP = V$ .

345 Theorem 3.18. If  $P \overset{M}{\sim} \overset{N}{Q}$  and  $PQ = 0$ , then there exists a symmetry  $S$  such that  $SPS = Q$ .  
 346 Proof. Suppose there exists a partial isometry  $V$  such that  $P = V^*V$  and  $Q = VV^*$ . By Theorem  
 347 3.1,  $Q = VPV^*$ . Let  $S = V + V^* + I - (P + Q)$ . Clearly  $S^* = S$ . Since  $PQ = QP = 0$ , by  
 348 Theorem 3.1, we also have  $VPQ = VV^*V = 0$ ,  $V^2 = V^*2 = 0$  and  $V^*VV = 0$ . A simple  
 349 computation using this fact shows that  $S^*S = SS^* = I$ . Therefore  $S^* = S = S^{-1}$ . Another  
 350 calculation shows that  $SP = QS$ . This establishes the claim.

351 Theorem 3.18 gives conditions under which Murray-von Neumann equivalence implies  
 352 unitary equivalence.

353 We define the distance between two closed subspaces  $\mathcal{M}$  and  $\mathcal{N}$  of the Hilbert space  $\mathcal{H}$  by  
 354  $d(\mathcal{M}, \mathcal{N}) = \|P - Q\|$ , where  $P$  and  $Q$  are the orthogonal projections with ranges  $\mathcal{M}$  and  $\mathcal{N}$ ,  
 355 respectively. This function defines a metric on the set of all closed subsets of  $\mathcal{H}$ . We can  
 356 define  $\|P - Q\|$  as follows

$$\begin{aligned}
\|P - Q\| &= \sup \{ \|(P - Q)x\| : x \in \mathcal{H}, \|x\| \leq 1 \} \\
&\geq \sup \{ \|(P - Q)x\| : x \in \mathcal{M}^\perp, \|x\| \leq 1 \} \\
&= \sup \{ \|Qx\| : x \in \mathcal{M}^\perp, \|x\| \leq 1 \} = \alpha
\end{aligned}$$

357 and

$$\begin{aligned}
\|P - Q\| &= \sup \{ \|(P - Q)x\| : x \in \mathcal{H}, \|x\| \leq 1 \} \\
&\geq \sup \{ \|(P - Q)x\| : x \in \mathcal{N}^\perp, \|x\| \leq 1 \} \\
&= \sup \{ \|Px\| : x \in \mathcal{N}^\perp, \|x\| \leq 1 \} = \beta.
\end{aligned}$$

358 Theorem 3.20. Let  $P$  and  $Q$  be orthogonal projections acting on a Hilbert space  $\mathcal{H}$ . Then

$$\|P - Q\| = \max\{\alpha, \beta\},$$

359 where  $\alpha$  and  $\beta$  are as defined in Remark 3.19.

360 Proof. see ([12], Theorem 4.33).

361 With this definition, and for any two orthogonal projections  $P$  and  $Q$  on a Hilbert space  $\mathcal{H}$ , it  
362 is easy to see that  $0 \leq \|P - Q\| \leq 1$ . Thus  $0 \leq \max\{\alpha, \beta\} \leq 1$ .

363 Theorem 3.21 ([6], Problem 130). If  $U$  and  $V$  are partial isometries such that  $\|U - V\| < 1$   
364 then  $\dim(\text{Ran}(U)) = \dim(\text{Ran}(V))$

365 Corollary 3.22 ([6], Problem 57). If  $P$  and  $Q$  are projections on a Hilbert space  $\mathcal{H}$  such that  
366  $\|P - Q\| < 1$  then  $\dim(\text{Ran}(P)) = \dim(\text{Ran}(Q))$  and  $\dim(\text{Ran}(I - P)) = \dim(\text{Ran}(I - Q))$

367 Proof. First, we note that  $(I - P)\mathcal{H} = \text{Ran}(P)^\perp$  and  $(I - Q)\mathcal{H} = \text{Ran}(Q)^\perp$ . Thus  $(I - P)(I - Q)\mathcal{H} = \text{Ran}(P)^\perp \cap \text{Ran}(Q)^\perp$ . Put  $Z = PQ + (I - P)(I - Q)$ . Then a simple computation shows  
368 that  $ZZ^* = Z^*Z = I - (P - Q)^2$ . This means that  $Z$  is normal and since  $\|P - Q\| < 1$ , we  
369 deduce that  $I - (P - Q)^2$  is invertible (using the fact that  $\|T\| < 1$  implies that  $I - T$  is  
370 invertible, for any bounded linear operator  $T$ ) and by Proposition 2.7,  $Z$  is invertible. Since  
371  $Z(\text{Ran}(Q)) \subseteq \text{Ran}(P)$  and  $Z(\text{Ran}(Q)^\perp) \subseteq \text{Ran}(P)^\perp$ , the invertibility of  $Z$  implies that  
372  $Z(\text{Ran}(Q)) = \text{Ran}(P)$  and  $Z(\text{Ran}(Q)^\perp) = \text{Ran}(P)^\perp$ . This is equivalent to saying that  
373  $\text{Ran}(P) \equiv \text{Ran}(Q)$  and  $\text{Ran}(P)^\perp \equiv \text{Ran}(Q)^\perp$ , and hence have equal dimensions,  
374 respectively.  
375

376 Corollary 3.23. If  $P$  and  $Q$  are projections such that  $\|P - Q\| < 1$  then there exists a unitary  
377 operator  $U$  such that  $P = UQU^*$ .

378 Proof. The conclusion of Corollary 3.22 guarantees existence of such a unitary operator  $U$ .  
379 From Corollary 3.22,  $Z = PQ + (I - P)(I - Q)$  is normal and invertible and if  $Z = U|Z|$  is the  
380 polar decomposition of  $Z$ , we have that  $|Z|$  is invertible and  $U$  is unitary. Since  $Z$  is normal,  
381 the operators  $Z, |Z|$  and  $U$  all commute. Also

$$P|Z|^2 = P(I - (P - Q)^2) = PQP = (I - (P - Q)^2)P = |Z|^2P$$

382 we deduce that  $P|Z| = |Z|P$ . Thus

$$|Z|PU = P|Z|U = PU|Z| = PZ = PQ = ZQ = |Z|UQ.$$

383 Therefore  $PU = UQ$ , or equivalently,  $P = UQU^*$ .

384 Example. The projections  $P = \begin{pmatrix} \frac{1}{2} & \frac{1}{2} & 0 \\ \frac{1}{2} & \frac{1}{2} & 0 \\ \frac{1}{2} & \frac{1}{2} & 0 \\ 0 & 0 & 0 \end{pmatrix}$  and  $Q = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$  are such that

385  $\|P - Q\| = \frac{\sqrt{2}}{2} < 1$ . A simple calculation shows that  $P = UQU^*$  with  $U = \begin{pmatrix} -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ 0 & 0 & 1 \end{pmatrix}$  being

386 unitary. Another calculation shows that  $P \stackrel{M-v-N}{\sim} Q$ , with the partial isometry  $V =$

387  $\begin{pmatrix} 0 & \frac{1}{\sqrt{2}} & 0 \\ 0 & \frac{1}{\sqrt{2}} & 0 \\ 0 & 0 & 0 \end{pmatrix}$  implementing the equivalence.

388 Remark. The converse to Corollary 3.23 is not true in general. The following result gives a  
389 condition under which the converse holds.

390 Corollary 3.24. Let  $P$  and  $Q$  be projections on a Hilbert space  $\mathcal{H}$ . Then  $\|P - Q\| < 1$  if and  
391 only if  $PQ \neq QP$ ,  $\|PQ\| < 1$  and  $Q = XPX^{-1}$ , for some invertible operator  $X$ .

392 Theorem 3.25. If  $P, Q \in B(\mathcal{H})$  are orthogonal projections and if there exists an invertible  
393 operator  $X$  such that  $\|Q - XPX^{-1}\| < \frac{1}{2}$  then  $P \stackrel{M-v-N}{\sim} Q$ . Proof. Let  $P_0 = XPX^{-1}$  and  $Z = P_0Q +$   
394  $(I - P_0)(I - Q)$ . Clearly,  $P_0^2 = P_0$  and

$$\begin{aligned} \|Z - I\| &= \| (P_0Q + (I - P_0)(I - Q)) - I \| \\ &= \| (P_0Q + (I - P_0)(I - Q)) - (Q + (I - Q)) \| \\ &\leq \| (P_0 - I)Q \| + \| ((I - P_0) - I)(I - Q) \| \\ &= \| (P_0 - Q)Q \| + \| [(I - P_0) - (I - Q)](I - Q) \| \\ &= \| (P_0 - Q)Q \| + \| (Q - P_0)(I - Q) \| \\ &< 1 \end{aligned}$$

395 By Proposition 2.11, the operator  $Z$  is invertible. Thus, the partial isometry  $U$  in the polar  
396 decomposition of  $Z = U|Z|$  is unitary and  $U = Z|Z|^{-1}$ . Clearly,  $P_0 = UQU^*$  by Corollary 3.23.  
397 Therefore,  $Q = U^*XPX^{-1}U = (U^*X)P(U^*X)^{-1}$ , where  $T = U^*X$  is invertible and therefore the  
398 partial isometry  $W$  in the polar decomposition of  $T = U^*X = W|T|$  is unitary. A simple  
399 calculation shows that  $Q = WPW^*$ . Therefore  $P$  and  $Q$  are unitarily equivalent, and hence  
400 Murray-von Neumann equivalent, by Proposition 3.6.

401 Theorem 3.25 shows that similar orthogonal projection operators are Murray-von Neumann  
402 equivalent. But it also says that there may be orthogonal projections which are not similar  
403 but are Murray-von Neumann equivalent. This evidently happens if

404  $0 < \|Q - XPX^{-1}\| < \frac{1}{2}$ .

405 Theorem 3.26. Similar orthogonal projections  $P$  and  $Q$  acting on a Hilbert space  $\mathcal{H}$  are  
406 Murray-von Neumann equivalent.

407 Proof. The proof follows immediately from the fact that similar normal operators are unitarily  
408 equivalent. The rest of the proof follows from Proposition 3.6. This result also follows from  
409 Theorem 3.25.

410 We conclude that for orthogonal projections acting on a Hilbert space  $\mathcal{H}$  :

411 Metric equivalence  $\Rightarrow$  Unitary equivalence  $\Rightarrow$  Similar  $\Rightarrow$  Murray-von N. equivalent

412 Are there orthogonal projections in a Hilbert space  $\mathcal{H}$ , which are not similar but are Murray-  
413 von Neumann equivalent?

414 Corollary 3.27. Let  $P$  and  $Q$  be orthogonal projections such that  $P \neq I$  and  $Q \neq I$ . If  $P$  and  $Q$   
415 are Murray-von Neumann equivalent then they are unitarily equivalent.

416 Proof. The result follows from Theorem 3.25.

417 Corollary 3.28. If  $P$  and  $Q$  are orthogonal projections acting on a Hilbert space  $\mathcal{H}$  then the  
418 following are equivalent.

419 (a).  $\text{Ran}(P) \subseteq \text{Ran}(Q)$

420 (b).  $QP = P$

421 (c).  $PQ = P$

422 (d).  $\|Px\| \leq \|Qx\|$  for all  $x \in \mathcal{H}$

423 Proof. (a)  $\Rightarrow$  (b). Suppose  $\text{Ran}(P) \subseteq \text{Ran}(Q)$ . Then  $Px \in \text{Ran}(Q)$  for all  $x \in \mathcal{H}$ . Thus  
424  $QPx = Px$  for all  $x \in \mathcal{H}$ . This is equivalent to  $QP = P$ .

425 (b)  $\Rightarrow$  (c). Since  $P$  and  $Q$  are self-adjoint, if  $QP = P$ , then we also have  $P = P^* = (QP)^* =$   
426  $P^*Q^* = PQ$

427 (c)  $\Rightarrow$  (d). Suppose  $PQ = P$ . Then  $\|Px\| = \|PQx\| \leq \|P\| \|Qx\| \leq \|Qx\|$  for all  $x \in \mathcal{H}$ .

428 (d)  $\Rightarrow$  (a). Suppose  $\|Px\| \leq \|Qx\|$  for all  $x \in \mathcal{H}$ , but  $\text{Ran}(P) \not\subseteq \text{Ran}(Q)$ . Then there exists  
429  $x \in \text{Ran}(P)$  such that  $x \notin \text{Ran}(Q)$ . Let  $x = y + z$ , with  $y \in \text{Ran}(Q)$  and  $z \in \text{Ran}(Q)^\perp$ . By the  
430 Pythagorean Theorem,  $\|Px\|^2 = \|y\|^2 + \|z\|^2 > \|y\|^2 = \|Qx\|^2$ , a contradiction. Thus  
431  $\text{Ran}(P) \subseteq \text{Ran}(Q)$

432 If  $P$  and  $Q$  are orthogonal projections such that  $PQ = QP = P$ , then  $Q - P$  is a projection and  
433  $\|Q - P\| = 1$ . If  $Q - P$  is a non-trivial projection, then  $P$  and  $Q$  need not be unitarily  
434 equivalent.

435 Let  $P = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$  and  $Q = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$ . Then  $PQ = QP = P$  and  $\|Q - P\| = 1$ . Clearly these  
436 projections are not unitarily equivalent. This shows that the strict less than condition in  
437 Corollary 3.23 cannot be dropped.

438 Corollary 3.30. If  $P$  and  $Q$  are orthogonal projections acting on a Hilbert space  $\mathcal{H}$  with  
439  $\text{Ran}(P) = \text{Ran}(Q)$ , then  $P = Q$ .

440 Proof. From Corollary 3.27,  $P = PQ = QP = Q$ .

441 Theorem 3.31. Let  $P$  and  $Q$  be orthogonal projections acting on a Hilbert space  $\mathcal{H}$ . Then  
442  $P - Q$  is a projection if and only if  $\text{Ran}(Q) \subseteq \text{Ran}(P)$ .

443 Theorem 3.32. Let  $P, Q \in B(\mathcal{H})$  be orthogonal projections. Then  $P - Q$  and  $Q - P$  are  
444 orthogonal projections if and only if  $\text{Ran}(P) = \text{Ran}(Q)$ .

445 Proof. The result follows from Corollaries 3.27, 3.30 and Theorem 3.31.

446 Theorem 3.33. Let  $\mathcal{H}$  be a finite dimensional Hilbert space and  $T \in B(\mathcal{H})$ . Let  $\mathcal{M}$  and  $\mathcal{N}$  be  
447 reducing subspaces for  $T$ , with  $\dim(\mathcal{M}) = \dim(\mathcal{N})$  and suppose that  $T_1 = T|_{\mathcal{M}} = PT|_{\mathcal{M}}$  and  
448  $T_2 = T|_{\mathcal{N}} = QT|_{\mathcal{N}}$ , with  $\mathcal{H} = \mathcal{M} \oplus \mathcal{N}$ , where  $P = P_{\mathcal{M}}$  and  $Q = P_{\mathcal{N}}$  are in  $\mathcal{P}(\mathfrak{M})$ . Then  $T_1$  and  
449  $T_2$  are unitarily equivalent if and only if  $P$  and  $Q$  are Murray-von Neumann equivalent.

### 450 3.2. Orbit of Murray-von Neumann equivalent Projections

451 Recall that for a projection  $P \in \mathfrak{M}$ , we denote by  $C_P$  the central carrier of  $P$ . That is,  $C_P$  is the  
452 smallest projection  $Z$  in the center of a von Neumann algebra  $\mathfrak{M}$  such that  $P \leq Z$ . That is

$$C_P = \text{smallest } \{Z \in \mathcal{Z}(\mathfrak{M}): P \leq Z\} = \text{smallest } \{Z \in \mathfrak{M}: ZQ = QZ, \forall Q \in \mathfrak{M}, P \leq Z\}$$

453 Theorem 3.34. Let  $P$  and  $Q$  be projections. If  $P \leq Q$ , then  $P = C_P Q$ .

454 In Example 3.29,  $P \leq Q$ . A simple calculation shows that  $P = C_P Q$ , with  $C_P = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$ .

455 Theorem 3.35. Let  $P, Q \in B(\mathcal{H})$  be orthogonal projections. If  $P \leq Q$ , then  $C_P \overset{M-v-N}{\sim} Q$

456 Recall that for any two projections  $P, Q \in B(\mathcal{H})$ , we let " $\leq$ " denote the Murrayvon Neumann  
457 order on  $\mathcal{P}(\mathfrak{M})/\overset{M-v-N}{\sim}$ , the set of Murray-von Neumann equivalent projections. We write  
458  $P \leq Q$  if and only if  $P \overset{M-v-N}{\sim} Q_0$  for a certain sub-projection  $Q_0 \leq Q$

459 Proposition 3.36.  $\leq$  induces a partial order on  $\mathcal{P}(\mathfrak{M})/\overset{M-v-N}{\sim}$ , the set of Murray-von Neumann  
460 equivalent projections.

461 Clearly for any two operators  $P, Q \in B(\mathcal{H})$ , either  $P \leq Q$  or  $Q \leq P$ . This means that any two  
462 projections in  $B(\mathcal{H})$  are comparable. That is the partial ordering  $\leq$  is a linear ordering.

463 Theorem 3.37 (Murray-von Neumann Schröder- Bernstein). Let  $P$  and  $Q$  be orthogonal  
464 projections on a Hilbert space  $\mathcal{H}$ . If  $P \leq Q$  and  $Q \leq P$  then  $P \overset{M-v-N}{\sim} Q$ .

465 Theorem 3.38. Let  $P$  and  $Q$  be orthogonal projections acting on a Hilbert space  $\mathcal{H}$ . Then

466 (a).  $P \leq Q$  if and only if  $\text{tr}(P) \leq \text{tr}(Q)$ .

467 (b).  $P \overset{M-v-N}{\sim} Q$  if and only if  $\text{tr}(P) = \text{tr}(Q)$ .

468 Theorem 3.39. If  $P$  is a central projection in  $\mathcal{P}(B(\mathcal{H}))$ , then  $I - P$  is a central projection in  
469  $\mathcal{P}(B(\mathcal{H}))$ .

470 Proof. The proof that  $I - P$  is a projection is trivial. For all  $Q \in \mathcal{P}(B(\mathcal{H}))$ , we have that  
 471  $Q(I - P) = Q - QP = Q - PQ = (I - P)Q$ . This establishes the claim.

472 Let  $P \in B(\mathcal{H})$  be an orthogonal projection. Let  $\text{Orb}_{Mv}(P)$  be the set or orbit of projections  
 473 which are Murray-von Neumann equivalent to  $P$ . That is,  $\text{Orb}_{Mv}(P) =$   
 474  $\{Q \in \mathcal{P}(B(\mathcal{H})): Q \overset{M-v-N}{\sim} P\}$ . If  $Q$  and  $R$  are both in  $\text{Orb}_{Mv}(P)$ , then  $Q \overset{M-v-N}{\sim} P$  and  $R \overset{M-v-N}{\sim} P$   
 475 and by symmetry,  $R \overset{M-v-N}{\sim} Q$ . This leads to the following result. Theorem 3.40. Let  $P \in$   
 476  $\mathcal{P}(B(\mathcal{H}))$ . Then any two elements of  $\text{Orb}_{Mv}(P)$  are Murray-von Neumann equivalent.

477 Corollary 3.41. Let  $P \in \mathcal{P}(B(\mathcal{H}))$ . If  $Q \in \text{Orb}_{Mv}(P)$  and  $R \overset{M-v-N}{\sim} Q$ , then  $R \in \text{Orb}_{Mv}(P)$

478 Corollary 3.41 says that any element of  $\mathcal{P}(B(\mathcal{H}))$  that is Murray-von Neumann equivalent to  
 479 an element of  $\text{Orb}_{Mv}(P)$  is itself an element of  $\text{Orb}_{Mv}(P)$ . Consequently, for any two  
 480 elements  $P, Q \in \mathcal{P}(B(\mathcal{H}))$ , the sets  $\text{Orb}_{Mv}(P)$  and  $\text{Orb}_{Mv}(Q)$  are either identical or disjoint.

481 Corollary 3.42. Let  $P, Q \in \mathcal{P}(B(\mathcal{H}))$ . If  $P \overset{M-v-N}{\sim} Q$ , then  $\text{Orb}_{Mv}(P) = \text{Orb}_{Mv}(Q)$

482  $\emptyset$ . Corollary 3.43. Let  $P, Q \in \mathcal{P}(B(\mathcal{H}))$ . If  $P \overset{M-v-N}{\sim} \chi Q$ , then  $\text{Orb}_{Mv}(P) \cap \text{Orb}_{Mv}(Q) = \emptyset$

483 The sets in the collection  $\{\text{Orb}_{Mv}(P): P \in \mathcal{P}(B(\mathcal{H}))\}$  are the Murray-von Neumann  
 484 equivalence classes or sets of  $\mathcal{P}(B(\mathcal{H}))$  under the relation  $\overset{M-v-N}{\sim}$ . Thus  $\mathcal{P}(B(\mathcal{H}))$  is the  
 485 disjoint union of the Murray-von Neumann equivalence classes. No such Murrayvon  
 486 Neumann equivalence class is empty, since  $P \in \text{Orb}_{Mv}(P)$ . The collection of equivalence  
 487 classes under the equivalence relation  $\overset{M-v-N}{\sim}$  is the quotient of  $(B(\mathcal{H}))$  with respect to  
 488  $\overset{M-v-N}{\sim}$ , and we denote it by  $\mathbb{P}(B(\mathcal{H})) = \mathcal{P}(B(\mathcal{H})) /_{M-v-N}$ .

489 If  $\mathfrak{M} = B(\mathcal{H})$ , then  $\leq$  defines a linear ordering on  $\mathbb{P}(B(\mathcal{H})) = \mathcal{P}(B(\mathcal{H})) /_{M-v-N}$  which is order  
 490 isomorphic to  $\{0, 1, 2, \dots, n\}$  if  $\dim(\mathcal{H}) = n$  or  $\omega + 1$  if  $\dim(\mathcal{H}) = \aleph_0$ .

491 Example. If  $\mathcal{H} = \mathbb{R}^2$ , then  $\mathbb{P}(B(\mathcal{H})) = \left\{ \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \right\}$ .

### 492 3.3. Orthogonal projections and Associated Involution Operators

493 Every idempotent operator  $P$  acting on a Hilbert space  $\mathcal{H}$  has an associated involution  
 494 defined by  $T_P = 2P - I$ .

495 The following assertions follow easily from definition.

496 Proposition 3.44. If  $P$  is an idempotent operator, then the associated operator  $T_P = 2P - I$  is  
 497 an involution.

498 Proposition 3.45. If  $P$  and  $Q$  are unitarily equivalent projections, then their associated  
 499 involutions  $T_P$  and  $T_Q$  are unitarily equivalent.

500 Proposition 3.46. Two involutions are similar if and only if their associated idempotents are  
 501 similar.

502 Proposition 3.47. If  $P$  is an orthogonal projection (self-adjoint idempotent), then the  
 503 associated involution  $T = I - 2P$  is unitary. Theorem 3.48. If  $P$  and  $Q$  are Murray-von

504 Neumann equivalent projections, then their associated involutions  $T_P$  and  $T_Q$  are unitarily  
505 equivalent.

## 506 4. Discussion and Conclusion

507

508

509 In this paper we have managed to show, for the first time, that two orthogonal projections  $P$   
510 and  $Q$  acting on a Hilbert space  $\mathcal{H}$  are Murray-von Neumann equivalent if and only if there  
511 exists a partial isometry  $V \in B(\mathcal{H})$  such that  $P = V^*QV$ .

512 For two orthogonal projections  $P, Q \in B(\mathcal{H})$  with ranges  $\mathcal{M}$  and  $\mathcal{N}$ , respectively, the question  
513 of invertibility of the operator  $P - Q$  is of great interest as it is connected with the question of  
514 when  $\mathcal{H}$  is decomposable as a direct sum  $\mathcal{H} = \mathcal{M} \oplus \mathcal{N}$ , with the existence of an idempotent  
515 operator  $X$  satisfying the equations

$$PX = X, XP = P, Q(I - X) = I - X, (I - X)Q = Q.$$

516 In von Neumann algebras the notion of Murray-von Neumann equivalence is fundamental in  
517 the classification and structure theory. Projection operators are useful in vast areas of  
518 physics, especially in quantum theory, multi-body system dynamics, Markov Chains, singular  
519 difference and differential equations, numerical analysis and group theory. In ergodic theory,  
520 the orthogonal projections  $P$  and  $Q$  could be taken to correspond to measurable subsets of a  
521 measure space in which a group is acting.

522

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### 533 COMPETING INTERESTS

534

535 The author has declared that no competing interests exist.

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537

538 *Author's contribution*

539

540 *All the authors designed, analyzed, interpreted, prepared, read and approved the manuscript.*

541

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