

# On propositions pertaining to the Riemann Hypothesis

## Abstract

In this paper, we discuss methods that lead to identifying non-zeroes of the Riemann zeta function.

## 1 Introduction

In this paper, we study the Riemann zeta function (Riemann [1859], Stein and Shakarchi [2010]) from the point of view of establishing a method to determine whether a point would qualify as a non-zero point. This is based on prior perspectives as presented in Basu [2022]. The results perhaps might be viewed as complementary to theorems in Mangoldt [1905], Hardy [1914] and Hardy and Littlewood [1921]. As noted in Basu [2022], the previous papers and theorems related to the Riemann Hypothesis may be found in Conrey [2003], Lagarias [2002], Bump et al. [2000], Platt and Trudgian [2021] and Borwein et al. [2008].

## 2 Riemann Hypothesis

We build on some results from Basu [2022]. The Riemann zeta function is defined as follows in this paper. Let us first define the region of interest explicitly as a subset of  $\mathbb{R}^2$  as

$$S = \{(\sigma, t) \in \mathbb{R}^2 : \sigma \in (0, 1); t \neq 0\}. \quad (1)$$

The Riemann zeta function is defined for each  $s \in S$  as

$$\zeta(s) := \sum_{n=1}^{\infty} \left(1 - \frac{1}{2^{1-s}}\right) \times \frac{(-1)^{n+1}}{n^s}, \quad (2)$$

where in the infinite sum, we have the vector

$$\frac{1}{n^s} = e^{-\sigma \ln(n)} (\cos(-t \ln(n)), \sin(-t \ln(n))), \quad (3)$$

obtained using Euler's formula (Stein and Shakarchi [2010]), multiplied by the vector  $1 - \frac{1}{2^{1-s}}$  as defined by the binary operation of multiplication of complex numbers i.e.  $\mathbb{R}^2$ . Since for each  $s \in S$ , we have that  $1 - \frac{1}{2^{1-s}} \neq 0$ , it follows that the zeroes of  $\zeta$  coincide exactly with the zeroes of the function  $\zeta^*$  given only by the alternating Dirichlet sum (Stein and Shakarchi [2010]) i.e.

$$\zeta^*(s) = \sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{n^s}. \quad (4)$$

We now make a digression and prove a preliminary proposition that will be needed.

**Proposition 2.1.** *For each  $\sigma \in (0, 1)$ ,*

$$\sup_{x \in (0,1)} \frac{x}{e^{\sigma x} - 1} = \frac{1}{\sigma}. \quad (5)$$

*Proof.* We note that by applying the fundamental theorem of calculus, for any differentiable  $f, g$  on  $[0, 1]$  such that  $g(x) \neq 0$  for each  $x$  and  $f(0) = g(0) = 0$ , we have that for each  $x > 0$ ,

$$\frac{f(x)}{g(x)} = \frac{\int_{(0,x)} f'(y) dy}{\int_{(0,x)} g'(y) dy}. \quad (6)$$

Now we define  $f(x) = x$  and  $g(x) = e^{\sigma x} - 1$ . Since,  $f'(x) \leq \frac{g'(x)}{\sigma}$ , from 6, we have that

$$\frac{f(x)}{g(x)} \leq \frac{1}{\sigma}. \quad (7)$$

Further, by applying L'Hospital's rule, it follows that  $\lim_{x \rightarrow 0} \frac{f(x)}{g(x)} = \frac{1}{\sigma}$ . Hence, we obtain 5.  $\square$

We now study the defined function  $\zeta^*$ . Suppose we define the vector  $Z_0$  and for each  $s \in S$ , we define the sequence  $\{Z(s)_n\}_{n \in \mathbb{Z}^+}$  as follows

$$Z_0 = (1, 0); \quad (8)$$

$$Z_n(s) = \frac{1}{(2n+1)^s} - \frac{1}{(2n)^s}; \text{ for each } n \in \mathbb{Z}^+. \quad (9)$$

Hence, the function  $\zeta^*$  may expressed as

$$\zeta^*(s) = Z_0 + \sum_{n=1}^{\infty} Z_n(s). \quad (10)$$

We may now prove the following proposition.

**Proposition 2.2.** *The series in 10 converges absolutely.*

*Proof.* Let  $s = (\sigma, t) \in S$ . Given the nature of the cos and sine functions and the henceforth established symmetry of  $\zeta^*$  we have the  $\zeta^*(\sigma, t) = -\zeta^*(\sigma, -t)$ . Hence, we assume without loss of generality that  $t > 0$ . Let  $n_0 \in \mathbb{Z}^+$  such that for each  $n \geq n_0$ , we have that  $(\ln(2n+1) - \ln(2n))t \leq \frac{\pi}{2}$ . This means that beyond the point  $n_0$ , the angle in radians traversed in  $\mathbb{R}^2$  between the vectors  $\frac{1}{(2n+1)^s}$  and  $\frac{1}{(2n)^s}$  which is the value  $(\ln(2n+1) - \ln(2n))t$  is at most  $\frac{\pi}{2}$  and is hence, an acute angle.

Now, define the angle

$$\theta_n = (\ln(2n+1) - \ln(2n))t. \quad (11)$$

Geometrically, we may prove using trigonometric relations, that

$$\|Z_n(s)\| = \left\| \frac{1}{(2n+1)^s} - \frac{1}{(2n)^s} \right\| \quad (12)$$

$$= \sqrt{\left( \frac{1}{(2n)^\sigma} - \frac{1}{(2n+1)^\sigma} \right)^2 + \frac{2}{(2n+1)^\sigma (2n)^\sigma} (1 - \cos(\theta_n))}. \quad (13)$$

Again, geometrically we may prove that distance  $\left\| \frac{1}{(2n+1)^s} - \frac{1}{(2n)^s} \right\|$  is at most the difference  $\left( \frac{1}{(2n)^\sigma} - \frac{1}{(2n+1)^\sigma} \right)$  plus the arc length  $\theta_n \frac{1}{(2n+1)^\sigma}$ , which corresponds to the circle centered at zero and has radius  $\frac{1}{(2n+1)^\sigma}$ . This is obtained using the triangular inequality. The arc length is greater than the distance between the vector  $\frac{1}{(2n+1)^s}$  and the unique point  $z$  on the line segment  $\text{conv}\{0, \frac{1}{(2n)^s}\}$  such that  $\|z\| = \frac{1}{(2n+1)^\sigma}$ . Note that  $\|z - \frac{1}{(2n)^s}\| = \left( \frac{1}{(2n)^\sigma} - \frac{1}{(2n+1)^\sigma} \right)$ . Then, we apply the triangular inequality with the vectors  $\frac{1}{(2n+1)^s}$ ,  $\frac{1}{(2n)^s}$  and  $z$ . Hence, we obtain that for each  $n \geq n_0$ ,

$$\|Z_n(s)\| \leq \left( \frac{1}{(2n)^\sigma} - \frac{1}{(2n+1)^\sigma} \right) + \theta_n \frac{1}{(2n+1)^\sigma}. \quad (14)$$

We now apply proposition 2.1, by setting  $x = (\ln(2n+1) - \ln(2n))$ , we obtain the inequality

$$\theta_n \frac{1}{(2n+1)^\sigma} \leq \frac{t}{\sigma} \left( \frac{1}{(2n)^\sigma} - \frac{1}{(2n+1)^\sigma} \right) \quad (15)$$

Hence, for each  $n \geq n_0$ , we have that

$$\|Z_n(s)\| \leq \left( 1 + \frac{t}{\sigma} \right) \left( \frac{1}{(2n)^\sigma} - \frac{1}{(2n+1)^\sigma} \right). \quad (16)$$

Since the series  $\sum_{n \geq 1} \left( \frac{1}{(2n)^\sigma} - \frac{1}{(2n+1)^\sigma} \right)$  converges absolutely, it follows from 16 that the series in 10 converges absolutely.  $\square$

Based on the proof of the above proposition, we define the function

$$F(\sigma) := \sum_{n \geq 1} \left( \frac{1}{(2n)^\sigma} - \frac{1}{(2n+1)^\sigma} \right). \quad (17)$$

We prove the following proposition.

**Proposition 2.3.** *Suppose that  $s = (\sigma, t) \in S$  such that  $t \in \left[-\frac{\pi}{2 \ln(3/2)}, \frac{\pi}{2 \ln(3/2)}\right]$ . If*

$$\left( 1 + \frac{t}{\sigma} \right) F(\sigma) < 1, \quad (18)$$

then  $\zeta(s) \neq 0$ .

*Proof.* The proof follows from the proof of proposition 2.2. Assume, without any loss of generality that  $t > 0$ . Since  $t \leq \frac{\pi}{2 \ln(3/2)}$ , this means that for each  $n \geq 1$ , the angle  $\theta_n$  is acute. Hence, the upper bound in 16 applies. Hence, we have that

$$\sum_{n \geq 1} \|Z_n(s)\| \leq \left( 1 + \frac{t}{\sigma} \right) F(\sigma) < 1. \quad (19)$$

Suppose for contradiction  $\zeta(s) = 0$ . Then, we get that  $\|Z_0\| = \|\sum_{n \geq 1} Z_n(s)\| = 1$ . Hence, we get from 19 that  $1 > \sum_{n \geq 1} \|Z_n(s)\| \geq \|\sum_{n \geq 1} Z_n(s)\| = 1$ , which is a contradiction.  $\square$

This implies the following proposition.

**Proposition 2.4.** *If  $s = (\sigma, t) \in [\frac{1}{2}, 1) \times (\frac{1-\sqrt{2}}{2}, \frac{\sqrt{2}-1}{2})$ , then  $\zeta(s) \neq 0$ .*

*Proof.* Follows from proposition 2.3 since  $F(\sigma) \leq \frac{1}{2^\sigma}$ .  $\square$

**The expectations representation** In Basu [2022], it was shown that identifying zeroes of the riemann zeta function may be interpreted as a problem of identifying a zero expectation random vector. This may be expressed as follows. If a series  $\sum_{n \geq 1} z_n$  is absolutely convergent, then we define on the set of positive integers  $\mathbb{Z}^+$ , a probability measure  $\mu(\{n\}) := \frac{\|z_n\|}{\sum_{m \geq 1} \|z_m\|}$  and random vector  $X(n) := z_n / \|z_n\|$ . Then, we have that the expectation  $\mathbb{E}_\mu[X] = 0$  if and only if  $\sum_{n \geq 1} z_n = 0$ . In this paper, we are interested in the sequence pertaining to 9 i.e  $z_1 := Z_0$  and  $z_n := Z_{n-1}(s)$  for each  $n \geq 2$ . The vector  $Z_n(s)$  may be conveniently represented via geometry in polar form when  $\theta_n$  is acute, as for the defined angle

$$\hat{\theta}_n := \tan^{-1} \left( \frac{\frac{1}{(2n+1)^\sigma} \sin(\theta_n)}{\frac{1}{(2n)^\sigma} - \frac{1}{(2n+1)^\sigma} \cos(\theta_n)} \right), \quad (20)$$

we have that

$$Z_n(s) = \|Z_n(s)\| (\cos(-\ln(n)t + \hat{\theta}_n), \sin(-\ln(n)t + \hat{\theta}_n)). \quad (21)$$

Proposition 2.3 in this paper and Proposition 2.4 from Basu [2022] hence can be obtained by an application of a more general proposition, which we next prove. For any  $z \in \mathbb{R}^2$ , denote as  $\theta(z) \in [0, 2\pi]$ , the angle in radians, traversed by the vector  $z$  in its polar form.

**Proposition 2.5.** *Let  $\mu$  be a probability measure on the unit circle  $\mathbb{S}^1$ . Then,*

$$\mathbb{E}_\mu[z] \neq 0 \text{ if there exist numbers } 0 \leq \theta' \leq \theta'' \leq 2\pi \text{ such that } \theta' - \theta \leq \frac{\pi}{2} \text{ and} \\ \mu(\{z : \theta' \leq \theta(z) \leq \theta''\}) > \frac{1}{1 + \cos\left(\frac{\theta'' - \theta'}{2}\right)}. \quad (22)$$

*Proof.* Suppose that 22 holds. Then, by geometry, essentially there is enough weight on the arc  $A = \{z : \theta' \leq \theta(z) \leq \theta''\}$  so that the expectation is non-zero. The conditional expectation  $\mathbb{E}_\mu[z|A]$  is at least distance  $\cos\left(\frac{\theta'' - \theta'}{2}\right)$  away from 0 and the conditional expectation  $\mathbb{E}_\mu[z|\mathbb{S}^1 \setminus A]$  is at most distance 1 away from 0.  $\square$

The above proposition may be applied to obtaining concentration bounds by computing the expected angle  $\mathbb{E}_\mu[\theta(z)]$  traversed. One may be able to obtain probability lower bounds such as 22 possibly by re-defining the angle as traversed from an origin other than  $(1, 0)$  (see also Kuipers and Niederreiter [2012] for a similar problem).

The main idea here is to divide an absolutely convergent infinite sum  $\sum_{n \geq 1} z_n$  into two parts  $\sum_{n \in E} z_n$  and  $\sum_{n \notin E} z_n$  and show that the two parts don't add up in some sense i.e. either the absolute values of the two parts are different; they are aligned at different angles; or one part bears higher weight on one half of a

hyperplane than the other. We demonstrate this last idea as follows.

Suppose  $\sum_{j \in J} z_j$  is a finite sum of vectors and suppose  $q \neq 0$  is a hyperplane. Define  $J^+ = \{j \in J : q \cdot z_j > 0\}$  and  $J^- = \{j \in J : q \cdot z_j < 0\}$ . Suppose that there exist sequences of pairwise disjoint sets  $\{J_k^+\}_{k=1}^K \subseteq J^+$  and  $\{J_k^-\}_{k=1}^K \subseteq J^-$  such that  $\cup_{k=1}^K J_k^- = J^-$  and  $q \cdot (\sum_{j \in J_k^+} z_j + \sum_{j \in J_k^-} z_j) > 0$  for each  $k \in \{1, \dots, K\}$ . Then,  $\sum_{j \in J} z_j \neq 0$  since  $q \cdot (\sum_{j \in J} z_j) > 0$ .

We prove the next proposition.

**Proposition 2.6.** *Let  $t = \frac{\pi}{\ln(2)}$ , then there exists  $\sigma' \in (0, 1)$  such that for each  $\sigma \in (\sigma', 1)$ , we have that  $\zeta(\sigma, t) \neq 0$ .*

*Proof.* Let  $\sigma' \in (0, 1)$  be such that

$$(1/2)^\sigma > (1/4)^\sigma + (1/7)^\sigma \text{ and } \frac{1 + \frac{\pi}{\sigma \ln(2)}}{8^\sigma} < 1. \tag{23}$$

for each  $\sigma \in (\sigma', 1)$ . Let  $s = (\sigma, t)$  where  $\sigma \in (\sigma', 1)$ . Define the following vectors in  $\mathbb{R}^2 : z_n := \frac{(-1)^{n+1}}{n^s}$  for  $n \leq 7$  and define  $z_8 := \sum_{n \geq 4} Z_n(s)$ . Hence,  $\zeta^*(s) = (\sum_{n=1}^7 z_n) + z_8$ .

Now define the hyperplane  $q = (1, 0)$ . Then, we have as strictly positive the values  $q \cdot z_1, q \cdot z_2, q \cdot z_3, q \cdot z_5, q \cdot z_6 > 0$ . We also have  $q \cdot z_4, q \cdot z_7 < 0$ . Suppose that  $q \cdot z_8 < 0$ . Now define  $J = \{1, 2, \dots, 8\}$  and the collections  $\{\{1, 2\}\}$  in  $J^+$  and  $\{\{4, 7, 8\}\}$  in  $J^-$ . Since  $\|z_8\| \leq 1$  (by applying the upper bound in proposition 2.3 and 23) we may then show that  $q \cdot (z_1 + z_2 + z_4 + z_7 + z_8) > 0$  by applying 23. If on the other hand we have  $q \cdot z_8 \geq 0$ , then we may define the collections  $\{\{2\}\}$  in  $J^+$  and  $\{\{4, 7\}\}$  in  $J^-$  and applying 23 show that  $q \cdot (z_2 + z_4 + z_7) > 0$ . These facts may be proved geometrically by studying the defined points in  $\mathbb{R}^2$  and the unit circle  $\mathbb{S}^1$ .  $\square$

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