

## Original Research Article

### Climate Adaptation Practices in Agriculture Sector of Nepal: Determinants of Intensity of Adoption of Climate Change Adaptation Practices in the Agriculture Sector in Nepal

#### ABSTRACT

Climate change has been visualized in the agricultural sector of Nepal which calls for strategies for adaptation and resilience building. We assessed the influence of demographic, and institutional factors and farm characteristics on the adoption of twenty climate change adaptation practices. We used climate change impact survey data of 800 households for ~~province~~ Province 1 and analyzed the data by using the Poisson regression and corrected the overdispersion using Negative Binomial regression. The results revealed that the operational size of landholding, years of experience in farming and formal education of the household head, the association of members in cooperatives and ~~community~~ community-based organizations, the occurrence of disasters in the last five years, male-headed households, and receiving remittance influenced the adoption of a package of climate change adaptation practices in agriculture in Nepal. ~~for climate adaptation.~~ The results imply that policies should be geared towards enhancing the capacity of farmers through education and skill training, strengthening social networks, increasing investment for the generation and dissemination of climate-resilient agricultural technologies and practices, implementing additional off-farm and on-farm activities to increase income, and improving access to physical resources, education, and information to female-headed households.

**Keywords:** *Adaptation, agriculture, climate change, farmers, influencing, intensity, negative binomial, package of practices.*

**Comment [PJA1]:** The abstract should be in single space format

**Formatted:** Font: Italic

## 1. INTRODUCTION

Agriculture in Nepal is still the main economic sector as it contributes about one-fourth to the gross domestic product (GDP) and provides livelihood to over 60% of the population. The agricultural production system in Nepal is mostly subsistence [1] and cultivated under rainfed conditions. The size of the landholding is small (0.68 ha) and is fragmented with an average number of parcels ~~number~~ of 4.7 per hectare. The land distribution is skewed as 53% holdings have land sizes of 0.5 ha or below. Nepalese agriculture is characterized by low input use with low land value? (USD 1,804/ha) and labor productivity (USD 794/person) [1]. Per capita GDP has reached ~~to~~ \$1191 [2].

Formatted: Font: Italic

Formatted: Font: Italic

In the decade from 2011 to 2020, the real GDP growth remained at 4.37% while that of Agricultural-agricultural GDP (AGDP) growth was 3.04%. The technical change contributed only one-third to the total factor productivity growth in agriculture. The agricultural sector consists of cereal crops, horticulture, livestock, fisheries, and forestry. These sub-sectors have varying level of contribution to AGDP. The cereals sub-sector's contribution to AGDP is the highest (49.4%) followed by livestock (25.8%), horticulture (16.7%) and forestry (8.1%). There are several crops and commodities under these categories [3].

Compared to other economic sectors of Nepal, agricultural production system is heavily dependent on monsoon rain, hence more sensitive to climate change. Changes in the time, duration and intensity of precipitation patterns s may affect the agricultural production and productivity. Most people are dependent on agriculture for livelihood and cultivate crops like paddy, maize, wheat, millet and potato, and raise different types of livestock, changes in the pattern of precipitation most importantly the monsoon highly aggravate the poverty and

inequality. Although a rise in temperature may have some location-specific short-run positive effects, these would be outweighed by the negative effects of rising temperature and drought. This would result into vulnerability and impact mainly due to the variability of weather conditions [4].

Given Nepal's diverse topography and social vulnerability, she is mainly susceptible to geological and climate-related disasters. Inadequate and weak effective response mechanisms and strategies for addressing natural disasters and hazards has traditionally aggravated this vulnerability. Climatic hazards have led to increased soil erosion, landslides, flash floods, and droughts in recent years across the country with increased intensity and impact on the lives and livelihoods of the people in Nepal [5]. Nepal is was regarded as the 10<sup>th</sup> most vulnerable country from the perspective of their terms of the occurrence of extreme weather events between 2000-2019 with 0.82 fatalities per 100,000 inhabitants and 0.39% losses per unit GDP [6] despite Nepal's very lower share to global greenhouse gas (GHG) emission.

Nepal has faced several climatic events during the last four decades affecting social, economic, and environmental sectors. Rapid population increase, dwindling farm sizes, and an unplanned agricultural system in hazard-prone marginal areas would all have a negative influence on those sectors. Additionally, it has been projected that natural disaster risk levels in Nepal could grow, posing a threat to human life over the 21st century through the occurrence of natural disasters and hazards like such as drought, heatwaves, river flooding, and glacial lake outburst floods [5].

The vulnerability of Nepal's communities, particularly those living in poverty vulnerable remote areas, in remote areas, and those operating subsistence agriculture, increase the risk posed by

climate change. ~~This is because~~ ~~Because~~ they are least able to cope with disasters ~~since they~~ live in areas most at risk of hazards, and generally have the least information, knowledge, and resources to reduce their risk [7]. The impact of climate change will also be realized disproportionately in ~~the~~ future where ~~those~~ countries having resources and technologies will prevent or reduce the impacts to an acceptable level whereas technically and financially resource-poor countries like Nepal will be affected immensely due to low coping capacity [8].

The Government of Nepal (~~GON~~GoN) formulated ~~the~~ National Adaptation Program of Action and National Adaptation Plan to address the climate change impacts. In this respect, several projects and programs are being implemented on adaptation at varying scales on agriculture and related sectors with different practices, techniques, and technologies. These might have been adopted at different levels in different ecological zones and production systems. Climate change adaptation, which refers to adjustment in natural, socio-economic, or human systems in response to actual or expected climatic stimuli or their effects [9]. For mitigating the adverse impact of climate change on ~~the~~ agricultural sector, adaptation is considered a critical component of any policy response [10- 11]. In this context, it is more ~~practical and~~ realistic to assume that an agricultural producer ~~has to choose faces the decision~~ to adopt a combination of practices to better manage available resources and mitigate climate-induced risk at the same time rather than ~~choosing~~ one practice at a time. This necessitates ~~the need~~ to examine the joint adoption of multiple climate change adaptation practices and their interdependence at the farm and household level. ~~Selecting the A~~right combination of multiple climate change adaptation practices is crucial to improve farm productivity and to reduce the negative impacts of climate change [12-13]. It is ~~therefore~~ important to understand ~~the~~ relationships among multiple climate

change adaptation practices and identify their determinants for formulating and implementing appropriate policies to enable scaling e up their adoption.

Most of the past studies analyzing the determinants of technology adoption have focused on a single technology, given that component technologies are adopted independently in accordance with farmer preference rather than all of them at once, as a bundle or set of packages. This study differs from the previous ones following a single technology as we have considered technology adoption in terms of the total number of components adoption for a measure of adoption intensity. While decision to adopt using binary choice, that is, i.e., adopt or not adopt considers a specific technology or practice, the intensity of adoption considers the extent to which the various techniques are adopted. In analyzing the adoption decisions of multiple climate adaptation practices in agriculture, count data models are employed in which the number of practices adopted serves as a measure of intensity of adoption [14- 15]. Hence, the focus of this is paper is aims to identify the socio-economic, demographic, and institutional related determinants of adoption of multiple practices by agricultural households for climate adaptation by agricultural households in Province No. 1 using the climate impact survey data of Nepal.

**Comment [PJA2]:** This statement is not clear and needs to be rephrased!

The remainder of this paper is organized into four sections. Section 2 presents a review of the literature on the adoption of agricultural technologies and practices while the section 3 describes the methodology. Section 4 presents the results and discussion while the section 5 concludes and discusses some policy implications.

**Comment [PJA3]:** This is not necessary in my view

## 2. METHODOLOGY

### 2.1 Sampling Technique & Sample Size

The data from the National Climate Change Impact Survey 2016 undertaken by the Central Bureau of Statistics [16] has been used for this paper ~~because it is~~ ~~which is~~ still relevant in analyzing climate change adaptation and its determinants in Nepal. The sample selection for this survey was done in three stages. ~~namely:~~ the districts were selected in the first stage, the Primary Sampling Unit (PSU) in the second stage ~~while and~~ the households ~~were selected~~ at the ~~third or~~ final stage. The process was applied separately for each of the 16 domains ~~separately~~ which were treated as a stratum. Independent samples in each stratum were then selected using that. ~~The sample selection procedure adopted in all stages was~~ the Probability Proportional to Size (PPS) sampling procedure, where the number of expected households in a particular district was adopted to be the size measure. ~~adopted for each was the number of expected households in that district.~~

Once districts with the 16 domains were selected, a sample of PSUs was chosen to represent each district. The number of PSUs selected from each district was determined by dividing the number of the households ~~to be selected~~ in each domain by 20, divided by the number of districts selected in that domain. The listing of the households was based on ~~the~~ the age of potential respondent (that is, 45 years or older) and those residing in the ~~community area~~ for a period of at least 25 years. Large PSUs were sub-divided into more manageable sizes ~~but and~~ one of these sub-divided PSU was selected to represent the whole PSU using PPS sampling. As a rule of thumb, PSUs with more than 500 households were sub-divided into smaller units. In total, 253

**Comment [PJA4]:** There is need for an explanation to this

PSUs were selected as the representative sample across Nepal from 26 districts giving a total with a sample of 5,060 households.

Among the 7 provinces of Nepal, this analysis is focused to Province No. 1 comprising 5 districts with - one each from the Mountains and Terai and three from the hilly ecological region of Nepal. The Primary Sampling Unit (PSU) from 101-140 having 800 households were considered as an adequate -sample size.

**Comment [PJA5]:** Any justification and rationale for this number? Is it based on standard procedure and if so where is the reference?

**Formatted:** Font: Bold

## 2.2 Analytical Technique

In order to investigate the factors influencing the adoption of number of climate change adaptation practices by the households, a count data (Poisson) model was used. The number of agriculturally based climate adaptation practices adopted by each household defines the dependent variable which is - Hence, it is a discrete non-negative integer value, count variable. The number of climate change adaptation practices at any given  $y_i$  which is an integer count variable, can be said to come from a Poisson distribution and can thus be modelled using the basic Poisson model [17-19] as explained below:

**Comment [PJA6]:** Why was this model preferred?

Consider a sample of count data  $y = (y_1, y_2, \dots, y_n)$ , it is assumed that the sample is generated from a Poisson distribution,

**Formatted:** Font: Italic

$$p(y_i | \lambda_i) = \exp(-\lambda_i) \lambda_i^{y_i} / y_i! \quad i = 1, \dots, n. \quad (1)$$

**Formatted:** Font: Italic

The parameter of the Poisson distribution,  $\lambda_i$ , follows a lognormal distribution. Given a  $k \times 1$  vector of covariates  $x_i$ , and a  $k \times 1$  parameter vector  $\beta$ .

**Formatted:** Font: Italic

$$\log \lambda_i = x_i' \beta + u_i \quad (2)$$

**Formatted:** Font: Italic

**Formatted:** Font: Italic

**Formatted:** Font: Italic

**Formatted:** Font: Italic

where  $u_i \sim IN(0, \sigma^2)$ . The Poisson regression model is a special case when  $\sigma = 0$ .

**Formatted:** Font: Italic

**Formatted:** Font: Italic

### Negative Binomial (NB) Distribution:-

Poisson and negative binomial (NB) regression models differ regarding their assumptions of the conditional mean and variance of the dependent variable [\(Reference?\)](#). Poisson models assume that the conditional mean and variance of the distribution are equal. NB regression model does not assume an equal mean and variance and particularly correct for overdispersion in the data, which is when the variance is greater than the conditional mean.

NB usually estimates the count frequency of an event when there is Poisson deviance, which is otherwise referred to as overdispersion. In essence, binomial deals with a non-negative count variable. In this model, the count variable is assumed to be generated by Poisson-like process, except for the issue of overdispersion where the variation is greater than that of a true Poisson.

The NB distribution is a two-parameter distribution. For positive integer  $n$ , “it is the distribution of the number of failures that occur in a sequence of trials before  $n$  successes have occurred, where the probability of success in each trial is  $p$ ” [20]. The distribution is defined for any positive  $n$ . The NB distribution combines both Poisson distribution and the Gamma distribution or generalized factorial function. Unlike the Poisson, which is fully characterized by its mean  $\mu$ , the NB distribution is a function of both  $\mu$  and  $\alpha$ . Its mean is still  $\mu$ , but its conditional variance is  $\mu(1 + \alpha\mu)$ . Then, it is evident that as  $\mu$  tends towards 0 (that is,  $\mu \rightarrow 0$ ), the distribution becomes Poisson distribution [\(reference?\)](#).

The NB distribution model with the following characteristics is expressed as:

$$Pr(Y = y|\lambda, \alpha) = \frac{\Gamma(y+\alpha^{-1})}{y!\Gamma(\alpha^{-1})} \left[ \frac{\alpha^{-1}}{\alpha^{-1}+\lambda} \right]^{\alpha^{-1}} \left[ \frac{\lambda}{\alpha^{-1}+\lambda} \right]^y \quad (3)$$

The NB distribution has two parameters; that is,  $\lambda$  and  $\alpha$ .

where,

$\lambda$  is the mean or expected value of the distribution and  $\alpha$  is the over dispersion parameter. When  $\alpha = 0$ , the negative binomial distribution is the same as a Poisson distribution.

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

### 2.3 Variables Used

The variables used in this paper which influence the adoption behavior of the farmers have been categorized into four groups, namely: demographic factors, farm characteristics, location factor, and institutional factors. One important proxy used to measure the scale economy is the farm size which has played a significant role in both the theoretical and empirical literature of technology adoption (reference?). In this respect, ~~we have considered~~ size of the operational land holding as one of the variables is considered. In general, operational landholding is hypothesized to have a positive impact on adoption decisions (reference?). The education level of the farmer is also found to be significant determinants of adoption decisions as well. More educated farmers are more likely to adopt a new technology than the uneducated ones (reference?).

Similarly, the male farmers are expected to be more likely to adopt and intensify the use of new technologies because women have limited access to resources such as land, capital, and extension services. The households that have an alternative source of income may be better able to adopt new technology because of improved liquidity. The institutional variables such as members in cooperatives, community organizations and services received from the Agriculture Service Center may have positive influence on the technology adoption. Membership of the

farmers in such institutions facilitate the exchange of information and the opportunity to learn from one another. It also enables farmers to access inputs on schedule and overcome credit constraints and shocks. It can reduce transaction costs and increase farmers' bargaining power, helping farmers earn higher income (reference?). This in turn can affect technology adoption. The location dummy used is the ecological zone of the district to examine the unobserved location-specific effects in the household technology adoption (Table 1).

Formatted: Font: Bold

The dependable variable (that is 'Adapt') is the number of practices adopted by each household ranging from zero to twenty. The explanatory variables include household characteristics, socio-economic and institutional variables. They are defined as follows:

$$Adapt = \beta_0 + \beta_1 Gendum + \beta_2 Landhold + \beta_3 Edun + \beta_4 Ecodum + \beta_5 Remit + \beta_6$$

Formatted: Font: Italic

*Experi* +

$$\beta_7 Membcoop + \beta_8 Commorg + \beta_9 Ascservdum + \beta_{10} Disastdum + \epsilon_i$$

$\beta_0$  .....  $\beta_{10}$  are the parameters to be estimated,  $\epsilon_i$  is the error term.

The definition of variables is presented in Table 1. It shows that over two-third of the households are headed by males, the average experience of the household head is 33 years, no. of years of schooling is 3 years, and average operational landholding per household is about 17 ropani<sup>1</sup>. In addition, 27% of the households receive remittances, 59% of the households were affected by disasters in the last five years, only one-fourth of the households received technical service from Agriculture Service Center, 53% households are the members of the cooperatives and 41% have received membership in community organizations.

Formatted: Font: Bold

---

<sup>1</sup> 1 ropani equals 508.74 square meter

**Table 1. Definition and summary statistics of variables**

<b>Definition of Variables</b>	<b>Mean</b>	<b>Standard deviation</b>
<b>Demographic</b>	0.78	0.41
Gendum-Gender of the household head (1 for male and 0 otherwise)		
Experi- No. of years of experience in farming	33.25	18.82
Edun-No. of years of schooling of household head	3.00	3.94
<b>Farm Characteristics</b>		
Landhold-Operated landholding (ropani)	16.87	19.72
Remit- Households receiving remittance (1 or receiving household and 0 otherwise)	0.27	0.44
Disastdum -Household affected by disasters like drought, flood, hailstorm, soil erosion etc. in the last 5 years (1 for affected household, and 0 otherwise)	0.59	0.49
<b>Location dummy</b>		
Ecodum- Ecological region (1 for Terai and 0 otherwise)	0.33	0.47

## Institutional

Membcoop- Membership of the cooperative (1 for member and 0 otherwise)	0.53	0.51
Commuorg- Membership in community organization (1 for member, and 0 otherwise)	0.41	0.51
Ascervdum- Technical service received from Agriculture Service Center (1 for Service receiver, 0 otherwise)	0.25	0.43

The source and year for the Table should be indicated

## 3. RESULTS AND DISCUSSION

### 3.1 Descriptive Analysis

Twenty different practices have been adopted by the farming households at varying level (Table 2). Among them, the most frequently adopted adaptation technology component by the household was increased quantity of inorganic fertilizers (54.3%) followed by practicing mixed crops (48.1%), participation in road and infrastructure improvement (47.9%), participation in community based natural resource management activities (42.4%) and growing new crops (42.3%). The households using risk minimizing measures such as insurance for crop and livestock was the lowest.

Formatted: Font: Bold

**Table 2. Adoption of climate adaptation practices**

S.No.	Practices	No. of Adopters	Percentage
1	Changed in cropping pattern	327	40.9
2	Reared livestock of a different breed than the earlier one	209	26.1
3	Provided supplemental irrigation	200	25.0

4	Invested in ponds for irrigation	47	5.9
5	Adopted improved seeds	298	37.3
6	Change in planting date	290	36.3
7	Used increased quantity of inorganic fertilizers	434	54.3
8	Used increased quantity of organic fertilizers	324	40.5
9	New crops grown	338	42.3
10	Raised new livestock	218	27.3
11	Insurance of livestock done	27	3.4
12	Insurance of crops done	20	2.5
13	Started farming both crops and livestock	246	30.8
14	Started agro-forestry	161	20.1
15	Practiced mixed (compatible) cropping	385	48.1
16	Started preserving local seeds (seed bank)	147	18.4
17	Contributed to soil and water conservation	223	27.9
18	Participated in flood/landslide risk reduction and water management practices	255	31.9
19	Participated in road and infrastructure improvement to protect from flood and landslide	383	47.9
20	Participated in community based natural resource management activities	339	42.4

A Table should not extend beyond on page!!

**Table 3** ~~presents shows~~ the frequency distribution of the number of practices adopted by the sampled farming households. ~~This means that e-survey offers evidence that~~ 717 households out of 800 in the sample had adopted at least one practice with 89.6% overall adoption rate. Over 10% of households did not adopt any practices while those adopting over 15 practices was only 2.5%. Most of the households adopted 1-4 practices (33.4%). The sampled households on an average, adopted 6 practices.

**Formatted:** Font: Bold

**Table 3. No. of households adopting practices**

No. of practices	No. of households	Percentage
15-20	20	2.5
10-14	189	23.6
5-9	241	30.1
1-4	267	33.4
0	83	10.4
Total	800	100
Average practices adopted	6	

**Source and year?**

### 3.2 Factors Affecting Adoption Behavior

Both Poisson regression and Negative Binomial Regression (NBR) were run. A diagnostic test was performed which shows the presence of overdispersion of count data. A goodness of fit test using the log-likelihood value was used to compare the Poisson and NBR. The log-likelihood values indicate that NBR has larger value than Poisson regression (**Table 4 and 5**), implying that the NBR model fits the data significantly well.

**Comment [PJA7]:** This hasn't be described anywhere!

**Formatted:** Font: Bold

Out of ten variables estimated, 9 variables were statistically significant in explaining the intensity of adoption of climate adaptation practices in agriculture in case of Poisson regression (**Table 4**) while only 8 variables were significant in NBR as ecological dummy (Ecodum) variable appeared non-significant (**Table 5**). Among the included variables, ~~the~~ variables such as operational size of landholding (Landhold), no. of years of experience in farming (Experi), member of the cooperatives (Membcoop) and households affected by disasters in the last five years (Disastdum) were significant ~~variables~~ at 1% level; number of years of schooling of household head (Edun) and affiliation with community level ~~organisations~~ organizations were significant at 5% level; ~~whilst and the~~ gender of the household head (Gendum) and household receiving remittance (Remit) were significant at 10% level. All the coefficients of significant variables were positive to the adoption of number of climate adaptation practices. The location dummy for district in a specific ecological region (Ecodum) and service received from the Agriculture Service Center (Ascervdum) were found to be positive but not significant.

Formatted: Font: Bold

Formatted: Font: Bold

The eEducation variable which is taken as explained as the number of years spent in formal schooling was is also found to be significant and positively impacts ~~on~~ adoption intensity. This suggests that as farmers spend more years in school, their understanding of the benefits of adopting climate adaptation practices in agricultural production improves.

Farmers' experience in agriculture/farming has a positive effect on intensity of adoption of climate adaptation practices as per the a priori expectation. Experienced farmers are thought to have accumulated technical know-how and skills over time and therefore are in have a better

position to adopt technologies. A good ~~count~~number of empirical studies ~~have~~has found a positive effect of farming experience on adoption of agricultural technologies [21-22]. The ~~is~~is-positive and significant coefficient of operational landholding implies that larger holders are likely to adopt the package of production practices to realize scale economies compared to smaller holders.

An increase in the household's income from remittances increased the number of adoption of agricultural practices ~~adoption~~—compared to non-remittance receiving households. This also addresses the credit constraint to adopt supporting technologies and practices that need to be procured from the market. These findings are consistent with those from other previous studies [23-24].

Male headed households are more likely to adopt improved production technologies than the female headed households as they have better access to information and other resources [25]. Similarly, the incidence of adoption among the female-led households is low possibly because they are constrained by lack of access to input, credit, and extension services [26].

The membership of the household in cooperatives is likely to increase the adoption of adaptation practices than the non-members as cooperatives ~~provides~~provides different services on input supply, marketing, and other technical services to its members. This finding is consistent with previous studies [27-28]. Similarly, farmers who participate in community-based organizations are likely to adopt more adaptation practices than non-participants as they are engaged in social learning which is consistent with the findings of previous researchers [29]. Similarly, the households facing one or more disaster events in the last five years are aware about the disaster and its

impact and more likely to adopt adaptation practices when compared with those households that had not not-facing a disaster event; which finding is in line with those of other researchers [30].

**Table 4. Poisson regression estimates**

<i>No. of Climate of Climate</i>	<i>Coeff.</i>	<i>Std. error</i>	<i>z</i>	<i>p&gt; z </i>
<i>Adaptation Practices</i>				
<i>Adopted</i>				
Gendum	0.1741	0.0403	4.32	0.000
Landhold	0.0042	0.0007	6.11	0.000
Edun	0.0162	0.0039	4.13	0.000
Ecodum	0.1138	0.0394	2.89	0.004
Remit	0.0894	0.0319	2.80	0.005
Experi	0.0150	0.0009	15.55	0.000
Membcoop	0.3601	0.0312	11.52	0.000
Commuorg	0.1527	0.0323	4.72	0.000
ASCservice	0.0483	0.0339	1.42	0.154
Disastdum	0.1400	0.0309	4.53	0.000
Constant	0.5528	0.0596	9.27	0.000

No. of observations = 800 Log likelihood = -2394.14

Formatted: Font: Italic

Formatted: Font: Italic

$LR \chi^2 (10) = 827.25, Prob > \chi^2 = 0.0000$

$Pseudo R^2 = 0.1488$

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

**Table 5. Negative Binomial regression estimates**

<i>No. of Climate of Climate</i>	<i>Coeff.</i>	<i>Std. error</i>	<i>z</i>	<i>p&gt; z </i>
<i>Adaptation Practices</i>				
<i>Adopted</i>				
Gendum	0.1407	0.0677	2.08	0.078
Landhold	0.0053	0.0014	3.64	0.000
Edun	0.0157	0.0071	2.19	0.028
Ecodum	0.0398	0.0670	0.59	0.552
Remit	0.1132	0.0579	1.96	0.051
Experi	0.0172	0.0018	9.71	0.000
Membcoop	0.3904	0.0586	6.67	0.000
Commuorg	0.1427	0.0616	2.32	0.021
ASCservice	0.0433	0.0621	0.70	0.486
Disastdum	0.1592	0.0540	2.95	0.003
Constant	0.4737	0.0988	4.80	0.000

Formatted: Font: Italic

/lnalpha	-1.0854	0.0870
alpha	0.3377	0.0294

---

LR test of alpha=0:  $\chi^2(01) = 497.31$       Prob  $\geq \chi^2 = 0.000$

Number of observations: 800

Dispersion = mean      Prob  $> \chi^2 = 0.0000$

Log likelihood = -2145.4861      Pseudo R<sup>2</sup> = 0.0568

A table should not extend beyond one page!!!

Instead of reporting negative binomial results as a regression coefficient, measuring the effect of the independent variable on the dependent variable is carried out through the Incidence Rate Ratio (IRR) which is presented in **Table 6**. The IRR of the negative binomial regression model was computed ~~and reported~~ to show the impact of explanatory variables in terms of a percentage change in the observed response variable (in our case the no. of climate adaptation practices adopted). In principle, the IRR represents the change in the response variable in terms of a percentage change, with the precise percentage determined by the amount the IRR is either above or below 1 (reference?).

**Formatted:** Font: Bold

In interpreting and explaining the coefficient of a given variable, all other variables are held constant. The results revealed that, if operational landholding were to increase by one point, the rate ratio for adoption of adaptation practices would be expected to increase by a factor of 1.005 or 0.50%. Similarly, the male gender compared to female counterparts are expected to have a rate of 1.15 times higher for the adoption of adaptation practices. If a household's head education in terms of the number of years of schooling were to increase by a unit, the rate for adoption of practices would be expected to increase by a factor of 1.06. If a farmer's experience in farming

were to increase by one unit, the rate of adoption of practices would be expected to increase by a factor of 1.017.

**Comment [PJA8]:** How do you explain this finding? Does it agree with or contradict findings of other researchers?

In terms of adoption of climate adaptation practices, the households receiving remittance compared to non-receiving households are expected to have a rate of 1.12 times higher; the households having membership in cooperatives are expected to have a rate of 1.48 times higher compared to non-members; the households participating in community-based organizations are expected to have adoption rate higher by 1.15 times compared to non-members; and the households impacted by the disasters in the last five years are expected to adopt the practices by 1.17 times higher than those that are not affected.

**Comment [PJA9]:** Explain this finding in the light of what other researchers have established in other studies

**Table 6. Incidence rate ratio of the Negative Binomial regression model**

<i>No. of Climate of Climate</i>	<i>IRR</i>	<i>Std. error</i>	<i>z</i>	<i>p&gt; z </i>
<i>Adaptation Practices Adopted</i>				
Gendum	1.1510	0.0779	2.08	0.038
Landhold	1.0054	0.0015	3.64	0.000
Edun	1.0158	0.0073	2.19	0.028
Ecodum	1.0406	0.0697	0.59	0.552
Remit	1.1198	0.0648	1.96	0.051
Experi	1.0173	0.0018	9.71	0.000
Membcoop	1.4777	0.0865	6.67	0.000
Commuorg	1.1534	0.0711	2.32	0.021
ASCservice	1.0443	0.0649	0.70	0.486
Disastdum	1.1725	0.0633	2.95	0.003

**Formatted:** Font: Italic

**Formatted:** Font: Italic

Constant	1.6060	0.1586	4.80	0.000
/lnalpha	-1.0854	0.0869		
alpha	0.3377	0.0869		

---

LR test of alpha=0:  $\chi^2(01) = 497.31$       Prob  $\geq \chi^2 = 0.000$

**The source and year of all Tables and Figures should be indicated**

**A map showing the location of the study site(s) is necessary**

#### 4. CONCLUSION AND POLICY IMPLICATIONS

This paper has identified the determinants of the adoption of package of climate change adaptation practices in the agricultural sector of Nepal. It has also examined the appropriateness of the Poisson and Negative Binomial regression (NBR) models for analyzing count data. Due to the presence of overdispersionover dispersion, NBR was used to correct it.

**Comment [PJA10]:** This is not captured in the abstract!!!

Twenty practices were adopted by the farmers at varying levels. Around 90% farming households adopted one or more adaptation practices with average 6 practices. The most frequently adopted adaptation component was increased quantity of inorganic fertilizers use while the use of risk minimizing measures such as insurance for crop and livestock was the lowest. The adoption was influenced by the variables associated with demographic, institutional and farm characteristics. Those were the operational landholding of the household, household head's number of years of experience in farming and number of years of schooling, membership in cooperatives and community level organization, households experiencing one or more number

of disasters in the last five years, gender of the household head, and household receiving remittance.

As climate change has affected agricultural sector more than other sectors in Nepal, it is worth to consider variables influencing the adoption of practices as a package through policy interventions to increase agricultural productivity and reduce farmers' risk exposure. Policies should be geared towards enhancing the capacity of farmers through education and skill training on multiple climate change adaptation practices. The significant effect of social network like association with cooperatives and community level organizations indicate the need for strengthening social ties that promotes network and relationships among households within and outside community by enhancing their capacity to organize, coordinate and communicate in using adaptation practices.

The adoption of number of climate adaptation practices among the farming household is very low at present. In this context, it is necessary to increase the investment for generation and dissemination of climate resilient agricultural technologies suitable to varied agro-climatic condition and enterprises, and facilitating the quality production inputs such as seeds, breeds, fertilizers and other materials for irrigation through the joint effort of public-private-cooperative sectors. Furthermore, additional on-farm and off-farm activities need to be implemented to increase the income of the households so that they can relieve from the cash constraint to invest in adaptation practices and their management. The access to physical resources, education and information to female headed households need to be improved for enhancing adoption. Availing quality climate information accessible to farmers will also ease their adoption challenges

including the right combination of practices to adopt that will have positive synergistic effects on farm performance and reduction of risk exposure.

## REFERENCES

1. MoAD. Agriculture Development Strategy (ADS), Ministry of Agricultural Development, Government of Nepal;2014.
2. MoF. Economic Survey 2020/21, Ministry of Finance, Government of Nepal;2021.
3. Joshi, G.R. (2018) *Agricultural Economy of Nepal: Development Challenges & Opportunities*, Sustainable Research & Development Center, Kathmandu Nepal; 2018.
4. Joshi, GR and Joshi B. Climate change impact on agricultural sector of Nepal: implications for adaptation and resilience building, in Thapa et al (Eds.), *Agricultural Transformation in Nepal: Trends, Prospects and Policy Options*, Springer Nature. Singapore.2019; 119-155.
5. WBG and ADB. *Climate Risk Country Profile Nepal*, World Bank Group Washington D.C. and Asian Development Bank, Manila Philippines; 2021.
6. Germanwatch. *Global Climate Risk Index 2021. Who suffers most from extreme weather events? Weather-related loss events in 2019 and 2000-2019*, Germanwatch e.V. Germany;2021.  
<https://www.germanwatch.org/en/19777>
7. FAO. *Implications of climate change for agriculture and food security and adaptation priorities in Nepal*, Food and Agriculture Organization of the United Nations Rome, Italy, June;2010.

8. Joshi GR and Uprety BK. Climate adaptation: a survival strategy for mountain people, *Proceeding of Eastern Regional Organisation for Public Administration (EROPA) Seminar 2010*, Kathmandu, Nepal, 2010; 30-39.
9. IPCC. *Climate Change 2007: Impacts, Adaptation and Vulnerability. Contribution of Working Group II to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change*, in Parry et al (Eds.), Cambridge: Cambridge University Press; 2007.
10. Deressa, TT, Hassan RM, Ringler C, Alemu T, and Yesuf M. Determinants of farmers' choice of adaptation methods to climate change in the Nile Basin of Ethiopia. *Global Environmental Change*. 2009; 19(2):248–255.
11. Gbetibouo GA. *Understanding farmers' perceptions and adaptations to climate change and variability, the case of the Limpopo Basin, South Africa*, Environment and Production Technology Division, International Food Policy Research Institute, Washington D.C. USA, Discussion Paper No. 00849; 2009.
12. Aryal JP, Rahut DB, Maharjan S, and Erenstein O. Factors affecting the adoption of multiple climate-smart agricultural practices in the Indo-Gangetic Plains of India. *Natural Resources Forum*. 2018; 42(3): 141–158.
13. Kurukulasuriya P and Rosenthal S. *Climate change and agriculture: A review of impacts and adaptations*, Agriculture and Rural Development Department, World Bank; 2013.  
<https://openknowledge.worldbank.org/handle/10986/16616>
14. Isgina T, Bilgic A, Forster DL and Battec MT. Using count data models to determine the factors affecting farmers' quantity decisions of precision farming technology adoption. *Computers and Electronics in Agriculture*. 2008; 6:231–242.

15. Sharma A, Bailey A and Fraser I. Technology adoption and pest control strategies among UK cereal farmers: evidence from parametric and non-parametric count data models. *Journal of Agricultural Economics*. 2011; 62(1):73-92.
16. CBS. *National Climate Change Impact Survey 2016 - A Statistical Report*, Central Bureau of Statistics, Kathmandu, Nepal; 2017.
17. Cameron AC and Trivedi PK. *Regression Analysis of Count Data*, Cambridge University Press; 1998.
18. Greene WH. *Econometric Analysis*, 6th ed. New Jersey: Prentice Hall; 2008.
19. Winkelmann R. *Econometric Analysis of Count Data*, 5th ed. Berlin Heidelberg: Springer-Verlag;2008.
20. Baum CF. *Models for Count Data and Categorical Response Data*, Boston College and DIW Berlin;2010.
21. Awuni JA, Azumah SB and Donkoh SA. Drivers of adoption intensity of improved agricultural technologies among rice farmers: evidence from northern Ghana. *Review of Agricultural and Applied Economics*.2018;21(2): 48–57.
22. Pedzisa T, Rugube L, Winter-Nelson A, Baylis K, and Mazvimavi K. Abandonment of conservation agriculture by smallholder farmers in Zimbabwe. *Journal of Sustainable Development*. 2015; 8(1): 69–82.
23. Happy FA, Begum IA and Dhar AR. Impact of remittance on agricultural technology adoption and employment generation in Lakshmpur district of Bangladesh. *American Journal of Agricultural and Biological Sciences*. 2019; 14:16-22.  
DOI: 10.3844/ajabssp.2019.16.22

24. Pandit M, Paudel KP and Williams D. Effect of remittance on intensity of agricultural technology adoption in Nepal, *Proceedings of the Southern Agricultural Economics Association Annual Meeting, Feb. 1-4, Dallas, Texas. 2014*; 1-18.
25. Obisesan A. Gender differences in technology adoption and welfare impact among Nigerian farming households. *Munich Personal RePEc Archive Paper*, University Library of Munich, Germany; 2014.  
[https://mpra.ub.uni-muenchen.de/58920/1/MPRA\\_paper\\_58920.pdf](https://mpra.ub.uni-muenchen.de/58920/1/MPRA_paper_58920.pdf)
26. Hossain M. Does gender influence farm households' decision to adopt technology and commercial agriculture: implication for household food security in rural Bangladesh, *SAARC Journal of Agriculture. 2019*; 17(1): 219-226.
27. Manda J, Khonje MG, Alene AD and Tufa AH, Abdoulaye T, Mutenje M, Setimela P. and Manyong, V. Does cooperative membership increase and accelerate agricultural technology adoption? Empirical evidence from Zambia. *Technological Forecasting and Social Change. 2020*; 158: 1-12  
<https://doi.org/10.1016/j.techfore.2020.120160> (Available 20 June 2020)
28. Beyene, A.D. and Kassie, M. Speed of adoption of improved maize varieties in Tanzania: an application of duration analysis. *Technological Forecasting and Social Change. 2015; 96*: 298–307.
29. Katungi E. and Akankwasa K. Community-based organizations and their effect on adoption of agricultural technologies in Uganda: a study of banana pest management technology. *Acta Horticulturae. 2010*; 879:719-726.

30. Aryal JP, Sapkota TB, Rahut DB, Krupnik TJ, Shahrin S, Jat ML and Stirling CM. Major Climate risks and adaptation strategies of smallholder farmers in coastal Bangladesh. *Environmental Management*. 2020; 66: 105–120.  
<https://doi.org/10.1007/s00267-020-01291-8>.

All necessary and required details for all the cited and listed references should be indicated including pages, authors, publisher, last date of access for Web-based articles, etc  
All the cited references should be listed and vice versa