

# Research on engine speed control based on Tuna Swarm Optimization

## ABSTRACT

Accurate control of engine speed can effectively improve fuel economy and comfort. Currently, the commonly used PID parameter setting methods for engine speed control include Ziegler-Nichols method and gradient method, etc. Although they have good performance in parameter setting, they still have shortcomings such as slow response to the control process and long stability time. In this paper, the tuna swarm optimization is used to adjust the PID parameters, and the optimized results are compared with the traditional setting results. The experimental results show that under the same test conditions, the response speed can be reduced by 3.2-6.2s, the maximum overshoot can be reduced by 0.5%-5%, and the maximum steady-state error can be reduced by 0.6%-0.8%. The tuna swarm optimization has an obvious effect in PID parameter optimization of engine speed control, which provides a theoretical basis for the application of other group optimization algorithms in PID parameter optimization of engine speed control.

*Key words: Tuna swarm optimization, speed control, PID, internal combustion engine*

## 1. INTRODUCTION

Precise control of engine speed can effectively improve fuel economy, reduce pollutant emissions, and obtain a better driving experience. However, in the process of engine operation, influenced by fuel characteristics and working condition changes and other factors, the engine speed will usually fluctuate greatly, which has a great impact on driving experience and fuel consumption. Therefore, how to achieve accurate control of engine speed is the focus of engine control system research.

At present, PID (proportional integral derivative) control and adaptive control are commonly used in engine speed control<sup>[1]</sup>, predictive control<sup>[2]</sup>, sliding mode variable structure control<sup>[3]</sup> and so on. Adaptive control, predictive control and sliding mode variable structure control are better when the control system is relatively simple, and the reliability and stability of the practical application will decrease with the complexity of the control system. However, the engine speed control system is very complex, and the reliability and stability of the control algorithm are very high, so PID control is still widely used in the practical application.

It is found that PiD control has the advantages of simple principle, wide application, convenient adjustment, independent control parameters, simple parameter selection and so on<sup>[4-6]</sup>. PID control has the advantages of simple principle, easy to implement, wide application, independent

28 control parameters, simple parameter selection, convenient adjustment and so on. And in theory,  
29 it can be proved that PID controller is an optimal control for the typical process control objects —  
30 —"first-order lag + pure lag" and "second-order lag + pure lag" control objects. PID regulation is  
31 an effective method for dynamic quality correction of continuous system. Its parameter setting  
32 method is simple and its structure change is flexible (such as PI adjustment, PD adjustment,  
33 etc.). For a long time, PID controller has been used by the majority of technical personnel and  
34 field operators, and has accumulated a lot of experience.

35 Wang Jian et al<sup>[7]</sup>. proposed to use fuzzy PID control to control the idle speed of gasoline engine;  
36 Zheng Yi et al<sup>[8]</sup>. used PID control to control the idle speed of natural gas engine; Yao Chong et  
37 al<sup>[9]</sup>. used air-fuel ratio and speed coordination control to control Marine natural gas engine. Yu  
38 Zhengtong et al<sup>[10]</sup>. adopted two-stage closed-loop control, in which the outer ring took speed  
39 control as the target, the inner ring took acceleration request as the control target, and the speed  
40 slope was introduced to control the diesel engine speed. Although the control strategy is  
41 different, but all are based on PID control improvement. At the same time, it is found that the  
42 application of population optimization algorithm in engine speed control is less.

43 The performance of PID control is directly related to the optimization and setting of proportion,  
44 integral and differential coefficients. Currently, the commonly used PID parameter setting  
45 methods include Ziegler-Nichols method and gradient method, etc. Although they have a good  
46 performance in parameter setting, However, there are some shortcomings such as strong  
47 dependence on worker experience, sensitivity to initial value, slow response to control process,  
48 long stability time and the need for a lot of calibration to determine PID parameters<sup>[11-14]</sup>.With the  
49 deepening of the research of swarm intelligence algorithm in recent years, more and more  
50 swarm intelligence algorithms have been applied in the process of PID parameter optimization.  
51 Therefore, it is of great significance to study modern intelligent PID control. Through the  
52 research and analysis of different group intelligent optimization algorithms. In this paper, the  
53 tuna swarm optimization is used to optimize the fractional PID parameters in the closed- loop  
54 control of engine speed.

## 55 **2. TUNA SWARM OPTIMIZATION**

### 56 **2.1 Algorithm Overview**

57 The tuna swarm optimization (TSO) was proposed by Lei Xie et al in 2021. Inspired by the  
58 cooperative foraging behavior of natural tuna populations, TSO mainly includes spiral foraging  
59 and parabolic foraging. The tuna swarm optimization has the characteristics of fast  
60 convergence speed and high accuracy in the single objective problem, which has been  
61 applied to many industries, but there are relatively few researches on PID parameter  
62 optimization.

### 63 **2.2 Principle of algorithm**

#### 64 **2.2.1 Algorithm initialization**

65 As a kind of swarm algorithm, tuna swarm optimization algorithm is similar to other swarm  
66 optimization algorithms. It starts the optimization process by uniformly and randomly

67 generating initial populations in the search space. The mathematical formula (1) of tuna  
 68 swarm optimization algorithm initialization is as follows.

$$69 \quad X_i^{\text{int}} = \text{rand} \cdot (ub - lb) + lb, i = 1, 2, \dots, NP \quad (1)$$

70 Where,  $X_i^{\text{int}}$  is the initial position of the  $i$ th individual,  $ub$  and  $lb$  is the upper and lower bound  
 71 of the search space respectively. This boundary condition can be set in the program,  $NP$  is the  
 72 number of tuna population, which can also be set in the program. This value mainly affects the  
 73 optimization speed of the tuna population optimization algorithm, and is a random vector in  
 74 uniformly distributed  $[0,1]$ .

### 75 **2.2.2 Spiral foraging**

76 Spiral foraging is one of the main foraging methods of tuna schools, which chase prey by  
 77 forming tight spirals. In addition to chasing prey, schools of tuna exchange information with  
 78 each other. Each tuna is sequenced and closely connected, so neighboring tuna can share  
 79 information with each other. Based on the above principles, the mathematical formula (2) of  
 80 spiral foraging strategy is as follows:

$$81 \quad X_i^{t+1} = \begin{cases} \alpha_1 \cdot (X_{\text{best}}^t + \beta \cdot |X_{\text{best}}^t - X_i^t|) + \alpha_2 \cdot X_i^t, i = 1, \\ \alpha_1 \cdot (X_{\text{best}}^t + \beta \cdot |X_{\text{best}}^t - X_i^t|) + \alpha_2 \cdot X_{i-1}^t, i = 2, 3, \dots, NP, \end{cases} \quad (2)$$

$$82 \quad \alpha_1 = a + (1 - a) \cdot \frac{t}{t_{\text{max}}}, \quad (3)$$

$$83 \quad \alpha_2 = (1 - a) - (1 - a) \cdot \frac{t}{t_{\text{max}}}, \quad (4)$$

$$84 \quad \beta = e^{bl} \cdot \cos(2\pi b), \quad (5)$$

$$85 \quad l = e^{3 \cos(((t_{\text{max}} + 1/t) - 1)\pi)}, \quad (6)$$

86  $X_i^{t+1}$  is the  $i$ th individual of the  $t+1$  iteration,  $X_{\text{best}}^t$  is the current best individual (food),  $\alpha_1$  and  $\alpha_2$   
 87 is the weight coefficient controlling the movement trend of the individual to the best individual  
 88 and the previous individual,  $a$  is a constant, used to determine the extent to which the tuna  
 89 follows the best individual and the previous individual in the initial stage,  $t$  indicates the current  
 90 number of iterations,  $t_{\text{max}}$  indicates the current number of iterations.  $b$  is a random number  
 91 evenly distributed between 0 and 1. When the optimal individual cannot find food, blindly  
 92 following the optimal individual foraging is not conducive to group foraging. Therefore, in order to  
 93 enable each individual to have better spatial search capabilities, a reference point for spiral  
 94 search needs to be provided to generate a random coordinate in the search space, thus

95 enabling TSO to have better global exploration capabilities. The specific mathematical model (7)  
 96 is described as follows:

$$97 \quad X_i^{t+1} = \begin{cases} \alpha_1 \cdot (X_{\text{rand}}^t + \beta \cdot |X_{\text{rand}}^t - X_i^t|) + \alpha_2 \cdot X_i^t, & i = 1, \\ \alpha_1 \cdot (X_{\text{rand}}^t + \beta \cdot |X_{\text{rand}}^t - X_i^t|) + \alpha_2 \cdot X_{i-1}^t, & i = 2, 3, \dots, NP, \end{cases} \quad (7)$$

98 Where,  $X_{\text{rand}}^t$  is a randomly generated reference point in the search space. Tuna swarm  
 99 optimization are typically explored extensively globally at an early stage, then gradually  
 100 transitioned to precise local exploitation. Therefore, with the increasing number of iterations,  
 101 TSO gradually changes the reference point of spiral foraging from random individuals at the  
 102 beginning to optimal individuals. In summary, the final mathematical model of spiral foraging  
 103 strategy (8) is as follows:

$$104 \quad X_i^{t+1} = \begin{cases} \left\{ \begin{array}{l} \alpha_1 \cdot (X_{\text{best}}^t + \beta \cdot |X_{\text{best}}^t - X_i^t|) + \alpha_2 \cdot X_i^t, & i = 1, \\ \alpha_1 \cdot (X_{\text{best}}^t + \beta \cdot |X_{\text{best}}^t - X_i^t|) + \alpha_2 \cdot X_{i-1}^t, & i = 2, 3, \dots, NP, \end{array} \right. & \text{ifrand} \geq \frac{t}{t_{\text{max}}} \\ \left\{ \begin{array}{l} \alpha_1 \cdot (X_{\text{rand}}^t + \beta \cdot |X_{\text{rand}}^t - X_i^t|) + \alpha_2 \cdot X_i^t, & i = 1, \\ \alpha_1 \cdot (X_{\text{rand}}^t + \beta \cdot |X_{\text{rand}}^t - X_i^t|) + \alpha_2 \cdot X_{i-1}^t, & i = 2, 3, \dots, NP, \end{array} \right. & \text{ifrand} < \frac{t}{t_{\text{max}}} \end{cases} \quad (8)$$

105  
 106

### 107 **2.2.3 Parabolic foraging**

108 Tuna will choose parabolic cooperative foraging in addition to spiral foraging. Tuna form a  
 109 parabola with the target food as a reference to the Z-point. Tuna find the target food by  
 110 searching around the parabola. The two foraging methods of tuna are carried out according to  
 111 probability allocation, and if the probability of choice for both foraging methods is 1/2, both  
 112 methods are carried out simultaneously, the specific mathematical model is described below.

$$113 \quad X_i^{t+1} = \begin{cases} X_{\text{best}}^t + \text{rand} \cdot (X_{\text{best}}^t - X_i^t) + TF \cdot p^2 \cdot (X_{\text{best}}^t - X_i^t), & \text{ifrand} < 0.5, \\ TF \cdot p^2 \cdot X_i^t, & \text{ifrand} \geq 0.5, \end{cases} \quad (9)$$

$$114 \quad p = \left(1 - \frac{t}{t_{\text{max}}}\right)^{(t/t_{\text{max}})}, \quad (10)$$

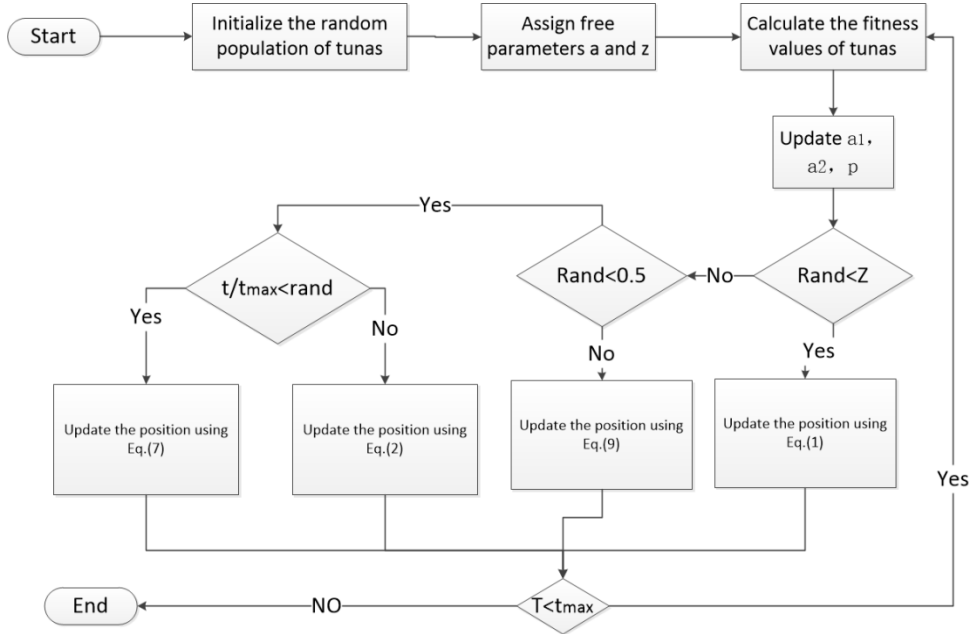
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116 Where,  $TF$  is a random number with a value of 1 or -1.

### 117 **2.2.4 Tuna swarm optimization flow**

118 In order to find their target prey, tuna usually use two foraging strategies to forage cooperatively.  
 119 First, an initial population will be randomly generated in the whole search space, and then in the  
 120 process of continuous iteration, each individual will choose to regenerate the position in the  
 121 search space according to the probability  $Z$ , or randomly choose one of the two foraging

122 strategies. In the whole process of algorithm optimization, each individual of TSO is constantly  
 123 updated and changed, and finally reaches the optimal result, and then returns the optimal  
 124 individual after optimization and the corresponding fitness value. The flow chart of the algorithm  
 125 drawn according to the characteristics of spiral and parabolic foraging of tuna is shown in Figure  
 126 1.



127

128 **FIG. 1 Tuna swarm optimization flow chart**

129 As shown in Figure 1, first of all, the random population of tuna ( $i = 1, 2, 3, \dots, NP$ ) is initialized  
 130 and the free parameters  $a$  and  $z$  are assigned, when ( $t < t_{max}$ ), calculate the fitness value of  
 131 tuna, update the position of  $X_{best}^t$ ,  $\alpha_1$ ,  $\alpha_2$ ,  $p$ , if ( $rand < z$ ) Then, formula (1) is used to update  
 132 position  $X_i^{t+1}$ ; When ( $rand \geq z$ ) and ( $rand < 0.5$ ), if ( $t/t_{max} < rand$ ), then use formula (7)  
 133 to update position  $X_i^{t+1}$ , ( $\frac{t}{t_{max}} \geq rand$ ), then use formula (2) to update position  $X_i^{t+1}$ , if ( $rand$   
 134  $\geq 0.5$ ), use formula (9) to update position  $X_i^{t+1}$ . Finally, the optimal individual  $X_{best}^t$  is returned to  
 135 end the optimization process, and the optimal PID parameter value is output.

### 136 3. EXPERIMENTAL SYSTEM

#### 137 3.1 Hardware system

138 The experimental bench is a 4-cylinder gasoline engine, and the basic parameters are shown  
 139 in Table 1. The engine controller adopts V-shaped development mode. The development  
 140 platform is MotoTron 112-pin ECM-5554-112-0904, The platform has a sealed shell design  
 141 and a better anti-interference circuit design, can work in the ambient temperature  $-40^\circ\text{C}$  to  
 142  $+105^\circ\text{C}$ , vibration noise is large in the harsh environment. MotoTron platforms have been  
 143 tested for humidity, thermal shock, salt spray, solution performance, anti-wetting,  
 144 electromagnetic interference, mechanical shock, vibration and other professional automotive  
 145 tests before delivery. They can operate normally in harsh environments and are well suited for  
 146 engine controller product development in automotive, Marine and non-road applications.

147 which is based on the microcontroller chip MPC5554 developed by Freescale company. The  
 148 chip is a microcontroller chip specially designed for the development of the engine control  
 149 system, which can meet the requirements of the engine control system for complex real-time  
 150 control.

151 **Table 1. Engine parameters**

152	project	parameter
153		
154	cylinder diameter /mm	75
155	Stroke /mm	84.8
156	displacement /L	1.5
157	compression ratio	10.5
158	rated power /KW	80
159	Rated speed/(r/min)	6000
160	Maximum Torque/(N·m)	140

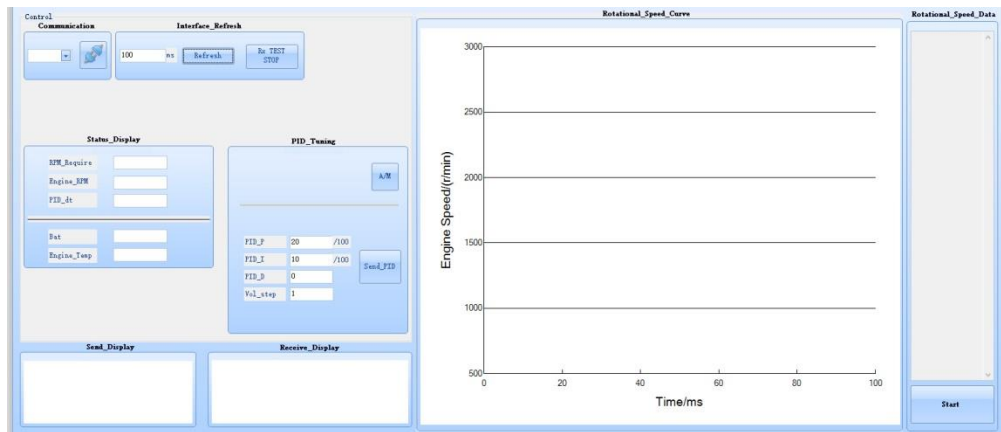
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### 162 **3.2 Software System**

163 The engine speed closed-loop control program is written using the MatLab/Simulink/Motohawk  
 164 tool. Motohawk is a fast control system development tool developed by Woodward Company.  
 165 Based on the MATLAB/Simulink development environment, it allows developers to quickly  
 166 create control models. The developed control model can be seamlessly connected from the  
 167 development stage to the finished product stage. Motohawk not only has the advantages of  
 168 MATLAB/Simulink, such as clear model structure and fine simulation, but also modularizes the  
 169 function of the engine control system, greatly improving the readability and portability of the  
 170 control program. Motohawk packages some basic engine functionality into functional modules  
 171 that developers can invoke to configure the functionality, and the underlying software  
 172 automatically generates the functional code. Such as engine information and synchronization  
 173 configuration module (Encoder Definition), CAN send/receive module, Look-up Table module,  
 174 etc. By calling the function module in Motohawk, calling the hardware interface and  
 175 communication module, In MATLAB/Simulink combined with logic operation module to develop  
 176 the control model, so as to achieve the control system development of functional model building,  
 177 calibration and experimental verification work<sup>[15-19]</sup>.

### 178 **3.3 System monitoring software**

179 The monitoring software of this system is developed based on Visual Studio, which can modify  
 180 the parameters of fractional PID in the closed- loop control of engine speed. The software  
 181 interface is shown in Figure 2.



182

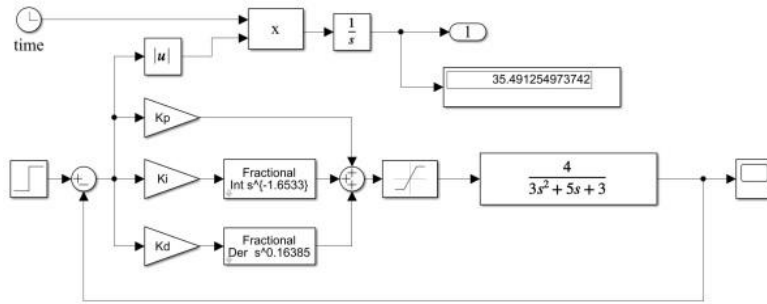
183 **FIG. 2 PID parameters modify the upper computer**

184 The communication setting of the upper computer is connected to the computer by USB  
 185 interface, and the interface is refreshed and set for 100ms. The status display interface can  
 186 display the target speed and real-time speed in real time, and the data display function is set  
 187 below. The PID debugging interface can modify the PID parameters in real time, and the speed  
 188 acquisition function is also set on the right side of the upper computer. It can not only display  
 189 the speed curve in realtime, but also collect the speed change value.

190 **4.XPERIMENTAL ANALYSIS**

191 **4.1 Tuna swarm optimization model simulation test**

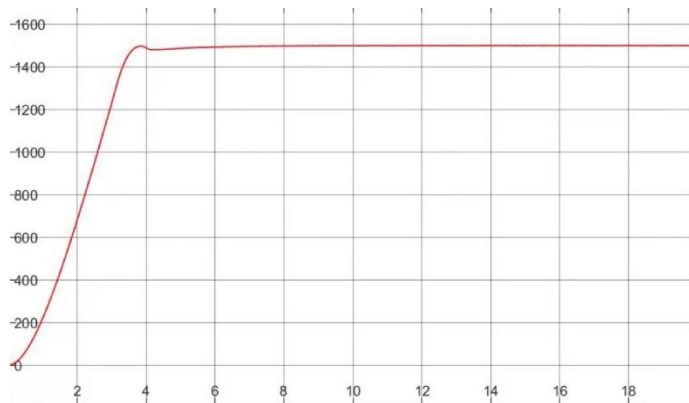
192 Write the tuna swarm optimization model based on MatLab/Simlink. First, write the tuna  
 193 swarm optimization optimization program using MatLab script to initialize the tuna swarm  
 194 optimization, including the setting of the number of optimization variables, the setting of the  
 195 size of the tuna group, the setting of the maximum iteration, etc; Secondly, the tuna swarm  
 196 optimization optimization model is written by Simlink, as shown in Figure 3 below, to determine  
 197 the transfer function between the optimization objective and the actuator. The accuracy of the  
 198 transfer function will have a great impact on the quasi determination and feasibility of the tuna  
 199 optimization algorithm. The optimization objective of this study is the engine speed, and the  
 200 actuator is the accelerator pedal. In order to make the transfer function obtained more accurate,  
 201 the calibration is carried out on the engine test bench. The engine bench speed was read by  
 202 the upper computer, the gas pedal voltage was measured by oscilloscope, and the transfer  
 203 function was determined by the least square fitting method. Finally, modify the variable name  
 204 that needs to be passed into the Simlink program in the MatLab script program to keep  
 205 consistent with the variable in Simlink.



206

207 **FIG. 3 Tuna swarm optimization model**

208 In order to verify the feasibility of the algorithm, an input signal is given in the tuna swarm  
 209 optimization model, the initial value is set as 1000r/min, and the final value is set as 1500 r/min,  
 210 which is used to simulate the process of the engine speed rising from 1000r/min to 1500 r/min.  
 211 The simulation results are obtained as shown in FIG. 4, **the horizontal axis is the number of**  
 212 **iterations and the vertical axis is the engine speed.** At the fourth iteration, the target speed of  
 213 1500 r/min has been reached, there is no obvious overshoot and the steady state is good.  
 214 Therefore, the values of 'P', 'I' and 'D' optimized by the tuna swarm optimization can be used in the  
 215 closed-loop control of engine speed.

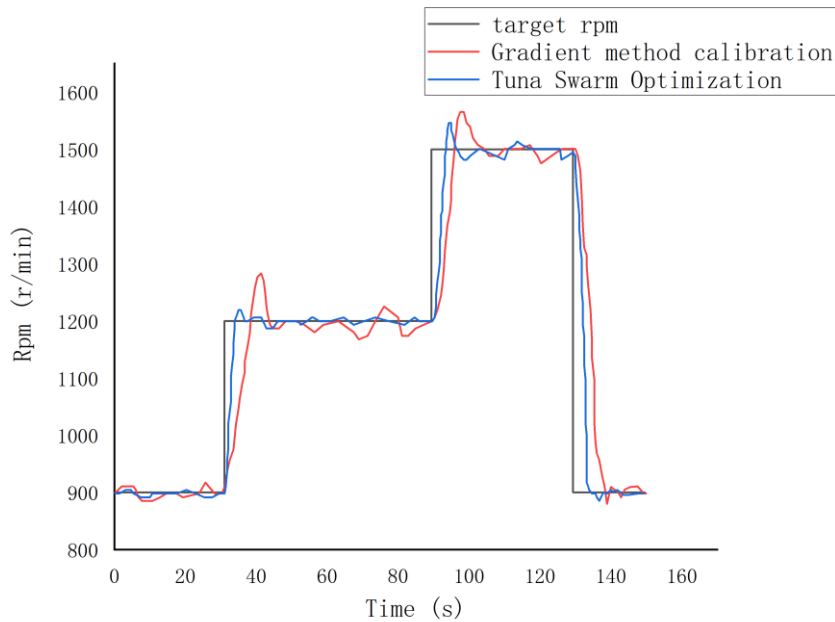


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217 **FIG. 4 Simulation results**

218 **4.2 Experimental Analysis**

219 The feasibility of tuna swarm optimization in PID parameter optimization was verified by  
 220 simulation test, and then tested on real engine bench. Through the upper computer input PID  
 221 parameters obtained by method of gradient and the PID parameters optimized by the tuna  
 222 swarm optimization, the speed change from the idle speed of 900 r/min first increased to 1200  
 223 r/min, then increased to 1500 r/min, and finally decreased from 1500 r/min to 900 r/min. Through  
 224 the speed acquisition function on the right side of the upper computer, the speed value is  
 225 collected, and then the speed curve is drawn to directly describe the influence of different PID  
 226 parameters on the engine speed change process. The speed data obtained through experiments  
 227 are drawn as shown in FIG.5.



228

229 **FIG. 5 Result analysis**

230 FIG.5 shows that PID parameters optimized by the tuna swarm optimization have better  
 231 effects in the engine bench test. When the engine speed increases from 900 r/min to 1200  
 232 r/min, the response speed decreases by 5.5s, the maximum overkill decreases from 7% to 2%,  
 233 and the maximum steady-state error decreases from 2% to 1.2%.When the engine speed  
 234 increases from 1200r/min to 1500 r/min, the response speed decreases by 6.2s, the maximum  
 235 overshoot decreases from 4.3% to 3.1%, and the steady-state maximum error decreases from  
 236 1.7% to 1.3%.The process in which the engine speed decreases from 1500r/min to 900 r/min  
 237 reduces the response speed by 3.2s, the maximum overshoot decreases from 2.2% to 1.7%,  
 238 and the maximum steady-state error decreases from 1.2% to 0.5%.

239 **5.CONCLUSIONS**

- 240 1. In this paper, the tuna swarm optimization is used to optimize PID parameters, which can  
 241 effectively solve the shortcomings of traditional PID parameter setting, such as strong  
 242 dependence on worker experience, sensitivity to initial value, slow response to control  
 243 process, long stability time and large amount of calibration.
- 244 2. Through simulation and experimental tests, it is concluded that the PID parameters  
 245 optimized by tuna swarm optimization can reduce the response speed by 3.2-6.2s, the  
 246 maximum overkill by 0.5%-5%, and the maximum steady-state error by 0.6%-0.8% in the  
 247 practical application, which has a significant effect in the closed- loop PID parameter  
 248 optimization of engine speed control.
- 249 3. This paper proposes to use tuna swarm optimization algorithm to optimize closed-loop PID  
 250 parameters of engine speed, which can provide experience reference for the application of  
 251 other swarm intelligent optimization algorithms in the closed-loop PID parameters of engine  
 252 speed, and expand the application field of swarm optimization algorithm.

253 **COMPETING INTERESTS**

254

255 Authors have declared that no competing interests exist.

256

257 **AUTHORS' CONTRIBUTIONS**

258 Junkai Guo was responsible for the design of tuna optimization algorithm and programming, as

259 well as the design of experimental scheme, completed the experiment with the assistance of

260 others, and wrote the content of the paper with the help of others to revise and guide.

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